Optimizing therapeutic outcomes of immune checkpoint blockade by a microbial tryptophan metabolite

Giorgia Renga, Emilia Nunzi, Marilena Pariano, Matteo Puccetti, Marina Maria Bellet, Giuseppe Pieraccini, Fiorella D’Onofrio, Ilaria Santarelli, Claudia Stincardini, Franco Aversa, Francesca Riuizi, Cinzia Antognelli, Marco Gargaro, Oxana Bereshchenko, Maurizio Ricci, Stefano Giovagnoli, Luigi Romani, Claudio Costantini

ABSTRACT

Background Despite the great success, the therapeutic benefits of immune checkpoint inhibitors (ICIs) in cancer immunotherapy are limited by either various resistance mechanisms or ICI-associated toxic effects including gastrointestinal toxicity. Thus, novel therapeutic strategies that provide manageable side effects to existing ICIs would enhance and expand their therapeutic efficacy and application. Due to its proven role in cancer development and immune regulation, gut microbiome has gained increasing expectation as a potential armamentarium to optimize immunotherapy with ICI. However, much has to be learned to fully harness gut microbiome for clinical applicability. Here we have assessed whether microbial metabolites working at the interface between microbes and the host immune system may optimize ICI therapy.

Methods To this purpose, we have tested indole-3-carboxaldehyde (3-IAld), a microbial tryptophan catabolite known to contribute to epithelial barrier function and immune homeostasis in the gut via the aryl hydrocarbon receptor (AhR), in different murine models of ICI-induced colitis. Epithelial barrier integrity, inflammation and changes in gut microbiome composition and function were analyzed. AhR, indoleamine 2,3-dioxygenase 1, interleukin (IL)-10 and IL-22 knockout mice were used to investigate the mechanism of 3-IAld activity. The function of the microbiome changes induced by 3-IAld was evaluated on fecal microbiome transplantation (FMT). Finally, murine tumor models were used to assess the effect of 3-IAld treatment on the antitumor activity of ICI.

Results On administration to mice with ICI-induced colitis, 3-IAld protected mice from intestinal damage via a dual action on both the host and the microbes. Indeed, paralleling the activation of the host AhR/IL-22-dependent pathway, 3-IAld also affected the composition and function of the microbiota such that FMT from 3-IAld-treated mice protected against ICI-induced colitis with the contribution of butyrate-producing bacteria. Importantly, while preventing intestinal damage, 3-IAld did not impair the antitumor activity of ICI.

Conclusions This study provides a proof-of-concept demonstration that moving past bacterial phylogeny and focusing on bacterial metabolome may lead to a new class of discrete molecules, and that working at the interface between microbes and the host immune system may optimize ICI therapy.

INTRODUCTION

The recognition of the role of the immune system in cancer development has opened up novel therapeutic opportunities for cancer immunotherapy. Several strategies, including the use of chimeric antigen receptor T cells and immune checkpoint blockade, have represented major milestones in the therapy against cancer. Despite the great success of cancer immunotherapy using immune checkpoint inhibitors (ICIs), their therapeutic benefits are limited—60%–70% of patients do not respond to single agent immunotherapy—by either various resistance mechanisms or ICI-associated toxic effects, including frequent gastrointestinal, endocrine, and dermatological toxicities and fatal neurotoxicity and cardiotoxicity. Thus, novel therapeutic strategies that provide manageable side effects to existing ICI would enhance and expand their therapeutic efficacy and application, as recently suggested.

Due to its proven role in cancer development and immune regulation, gut microbiome has gained increasing expectation as a potential armamentarium to improve cancer immunotherapy. Studies have shown that the effectiveness of immunotherapy against different tumors requires the presence of commensal bacteria. Clinical studies have corroborated these findings with compelling evidence that microbial richness and diversity are associated with a durable response to immunotherapy with gut microbiota signatures predicting toxicity associated with combined cytotoxic T-lymphocyte antigen 4 (CTLA-4) and programmed cell death protein 1 (PD-1) blockade. However, there...
is no identifiable pattern among the bacteria found to influence cancer therapies. Both Gram-positive and Gram-negative, both facultative and obligate anaerobes, and both coccus and rod-shaped bacteria are found to influence ICI treatment outcome and adverse effects (AEs). Bacteroides, for instance, have shown a positive effect with anti-CTLA-4 therapies but negative effects with anti-PD-1 therapies, being enriched in non-responders and in patients with ICI-related toxicity. Thus, understanding how the repertoire of commensal microbes can be specifically manipulated to improve ICI therapeutic effectiveness has remained elusive. Considering that the gut microbiota potentially affects the efficacy of ICI through several mechanisms, including the production of critical metabolites, it is not surprising that the expression of certain metabolites is different in responders compared with non-responders to immunotherapy. This implicates that microbial metabolites can be used as predictive biomarkers of response to immunotherapy and points to a mean to optimize the efficacy of immune checkpoint blockade.

Microbiota-dependent tryptophan catabolites are abundantly produced within the gastrointestinal tract and are known to exert profound effects on host physiology, including the maintenance of epithelial barrier function and immune homeostasis. These metabolites are known to act as ligands of the aryl hydrocarbon receptor (AhR), a ligand-activated transcription factor first identified for its role in the binding and metabolism of xenobiotics and now implicated in a multiplicity of activities, such as the regulation of the immune response and the maintenance of the intestinal barrier homeostasis and microbial symbiosis. We have shown that indole-3-carboxaldehyde (3-IAlD), produced in condition of unrestricted tryptophan availability in both mice and humans, is a ligand of both murine and human AhR and evidence has since accumulated to link defective production of 3-IAlD in certain clinical and preclinical settings involving impaired barrier function and mucosal homeostasis.

Based on these premises, in this study, we have evaluated whether and how 3-IAlD could prevent intestinal toxicity, a common side effect of CTLA-4 inhibitors, while preserving the ICI therapeutic efficacy. To this purpose, we have administered an enteric formulation of 3-IAlD, recently shown to increase its efficacy while minimizing unwanted toxicity through localized delivery in the small intestine to mice with anti-CTLA-4-induced colitis. We found that 3-IAlD protected mice from intestinal damage via a dual action on both the host and the microbiota sides. Indeed, paralleling the activation of the host AhR/interleukin (IL)-22-dependent pathway, 3-IAlD also affected the composition and function of the microbiota such that fecal microbiome transplantation (FMT) from 3-IAlD-treated mice protected against ICI-induced colitis likely via butyrate-producing bacteria. Importantly, while preventing intestinal damage, 3-IAlD did not impair the antitumor activity of anti-CTLA-4 in a murine model of melanoma. Therefore, enteric formulated 3-IAlD in combination therapy with ICI may preserve the mucosal integrity in the gastrointestinal tract without negatively affecting ICI therapeutic effectiveness.

**METHODS**

**Mice, models and treatments**

C57BL/6 mice were purchased from Charles River Laboratories (Calcio, Italy). B6129 Indo (Indo\(\gamma\), Il10\(\gamma\), Il22\(\gamma\), Nod.Cg-Fprkdc<sup>−/−</sup> Il29<sup>tm1m0</sup> /SzJ (NSG), Rag\(\gamma\) and B6.129-Ahrtm1Bra/J Ahr-deficient (Ahr\(\gamma\)) mice were bred under specific pathogen-free conditions in the Animal Facility of Perugia (Perugia, Italy). The in vivo experiments performed in this work were approved by the Italian Ministry of Health. All knockout mice used in these studies were genotyped by PCR of DNA isolated from tail clippings. Male and female mice 5–10 weeks old were used in all experiments. Murine experiments were performed according to Italian Approved Animal Welfare Authorization 360/2015-PR and Legislative Decree 26/2014 regarding the animal license obtained by the Italian Ministry of Health lasting for 5 years (2015–2020). In the dextran sulfate sodium (DSS) colitis model, mice received 3% or 1% DSS (MP Biomedicals) in their drinking water for 7 days. Weight was recorded daily. Mice were injected intraperitoneally with 100 µg of anti-CTLA-4 mAb (clone 9D9 BioXCell) or isotype control (clone MPC-11-BioXCell) two times (at days 0, 4, and 8 following the DSS administration). 6-Formylindololo (3,2-b) carbazole (FICZ) was administered intraperitoneally at the dose of 50 µg/kg for 5 days, beginning 2 days after the start of DSS administration. Butyrate 1% was administered in drinking water 1 week before the beginning of DSS administration. In the melanoma model, mice were subcutaneously injected into the right flank with 2×10<sup>5</sup> B16 tumor cells. Mice were injected four times at 3-day intervals with 100 µg of isotype control or anti-CTLA-4 mAb. Tumor size, expressed in cubic millimeter, was measured by a caliper for 16 days following tumor inoculation. Tumor volume was determined every 2–3 days after inoculation (width<sup>2</sup>·length/2)·1000. In the Lewis lung carcinoma model, mice were intravenously injected with 2×10<sup>5</sup> LLC1 tumor cells. Mice were injected five times at 3-day intervals with 200 µg of isotype control or anti-PD1 mAb (clone RPM1-14 BioXCell) and euthanized 18 days after tumor cell inoculation. In both DSS colitis and tumor models, 3-IAlD was administered every other day at a dose of 18 mg/kg, starting 4 days before DSS treatment or in concomitance with tumor challenge, as already described.

**Models of immune-mediated colitis**

NGS mice 8–10 weeks old were injected intraperitoneally with 10<sup>7</sup> human peripheral blood mononuclear cells (PBMCs). Human blood samples were obtained from healthy donors, and fresh PBMCs were isolated by density-gradient separation (Ficoll Paque Plus, GE...
Healthcare). Mice were treated intraperitoneally with 100 µg of αhCTLA-4 or human IgG as antibody control (at days 4, 7, 10, 13 and 16). Rag1-/- mice 8–10 weeks old were injected intraperitoneally with 4×10^6 CD4+ T cells and treated with anti-CTLA-4 mAb or control IgG the day after T-cell reconstitution and then on alternate days.29 CD4+ T cells were purified from spleens of C57BL/6 naïve mice using anti-mouse CD4 (clone L3T4) coated MACS beads (Miltenyi Biotec) in accordance with the manufacturer’s instructions. 3-IAlD was administered every other day in concomitance with cells infusion. Mice were monitored daily for overall mortality and body weight loss and sacrificed on day 21.

**Enteric formulation preparation**

Briefly, the enteric microparticles (MPs) were prepared using Eudragit L100 to S100 (Rohm Pharma GmbH, Darmstadt, Germany) at a ratio of 1:2, with the addition EC (30% w/w, ETHOCOL std. 7; Dow Chemical Company, Milan, Italy), as described in Puccetti et al.30 3-IAlD (Sigma-Aldrich, Merck, Milan, Italy) and the polymers were dissolved in ethanol at a feedstock concentration of 3% w/v and spray-dried at an inlet temperature of 75°C using a Mini Spray-dryer model B-290 (Buchi, Milan, Italy) in the cocurrent mode, equipped with a two-fluid nozzle with a 0.7 mm nozzle tip and a 1.5 mm diameter nozzle cap. The aspirator capacity was maintained at 20 m³/hour; the airflow rate was 301 L/hour; and the feed rate was 2.4 mL/min. The obtained dried MPs were recovered by using a high-performance cyclone (Buchi).

**Clinical signs and histopathology scores**

The severity of colitis was assessed by calculating disease activity index. All mice were monitored for stool consistency and rectal bleeding daily as described.28 Briefly, stool scores were determined as follows: 0, well-formed pellets; 1, semi-formed stools that did not adhere to the anus; 2, semi-formed stools that adhered to the anus; and 3, liquid stools that adhered to the anus. Bleeding scores were determined as follows: 0, no blood; 1, positive hemoccult; 2, blood traces in stool visible; and 3, gross rectal bleeding. For histological evaluations, the tissues were removed and fixed in 10% phosphate-buffered formalin (Bio Optica, Milan, Italy), embedded in paraffin, and sectioned at 3 μm. For histological analysis, sections were stained with periodic acid–Schiff (PAS) staining. The mucin production was evaluated with Alcian blue staining. For histological evaluations, colon sections were examined and scored in a blinded fashion to assess four histological components: ‘inflammation extent’, ‘damage in crypt architecture’, ‘hyperemia/edema’, and ‘grade of accumulation with inflammatory cells’. The colonic sections were scored from 0 to 3 points for each parameter. The total histological score, ranging from 0 to 12, was obtained by summing the four histological component scores.

**Immunofluorescence and immunohistochemistry**

For immunofluorescence staining, sections were rehydrated and, after antigen retrieval in citrate buffer (10 mM, pH 6), fixed in 2% formaldehyde for 40 min at room temperature and permeabilized in a blocking buffer containing 5% fetal bovine serum (FBS), 3% bovine serum albumin (BSA), and 0.5% Triton X-100 in PBS. The slides were then incubated at 4°C with primary antibodies anti-Ki67 (Abcam), anti-ZO-1 (Invitrogen), and anti-indoleamine 2,3-dioxygenase 1 (IDO1) (clone 10.1, Millipore). After extensive washing with PBS, the slides were then incubated at room temperature for 60 min with secondary antibodies, anti-mouse Alexa Fluor 555 (ThermoFisher Scientific) and anti-rabbit TRITC (Bethyl). Nuclei were counterstained with Hoechst 33342 (Invitrogen). For immunohistochemistry staining, sections were rehydrated and antigens were retrieved by boiling in a citrate buffer (10 mM, pH 6). Subsequently, the endogenous peroxidase was quenched with 3% H2O2 for 10 min at room temperature and then incubated with a blocking buffer (10% horse serum in tris-buffered saline (TBS). After rinsing, the slides were treated overnight at 4°C with primary antibodies anti-CD4 (clone GK1.5, Santa Cruz Biotechnology) and anti-CD8 (clone UCH-T4, Santa Cruz Biotechnology). The slides were then incubated with biotinylated anti-rat IgG or biotinylated anti-mouse IgG (Fisher Scientific and ThermoFisher Scientific). Antibody binding was detected with a VECTASTAIN Elite ABC Kit (Vector Laboratories, Maravai LifeSciences, Burlingame, California, USA). Diaminobezidine was used as a chromogen, followed by counterstaining with hematoxylin. Images were acquired using a microscope BX51 and analySIS image processing software (Olympus). Infiltrated lymphocytes were observed at ×100 magnification and expressed as mean of positive cell count around the tumor site per field (10 randomly selected high power fields/slide).

**Intestinal permeability**

Intestinal permeability was measured in fasted C57BL/6 mice for 4 hours prior to the administration of 40 mg/100 g mouse weight of fluorescein isothiocyanate (FITC)-dextran (4 kDa, Sigma-Aldrich) as described.28 Serum was collected retro-orbitally 4 hours later and diluted 1:3 in PBS. The amount of fluorescence at 488 nm for emission and absorption at 525 nm was read on the Infinite 200 plate reader (Tecan) using the manufacturer’s I-control V.1.3 software.

**Kynurenine and tryptophan assay**

IDO1 functional activity was measured in colon homogenates in terms of the ability to metabolize tryptophan to kynurenine whose concentrations were measured by using competitive ELISA kits according to the manufacturer’s instructions (Labor Diagnostika Nord).

**ELISA and quantification of short-chain fatty acid (SCFA)**

Murine IL-1β, IL-10, IL-17A, IL-22 and tumour necrosis factor alpha (TNF-α) cytokine concentration as well as
calprotectin levels were determined in colon homogenates. sCD14 was determined in serum by using specific ELISA kits according to the manufacturers’ instructions (eBioscience, R&D System and Biolegend). SCFAs in serum and feces were analyzed by gas chromatography–mass spectrometry as described in online supplemental materials.

**Real-time PCR**

Real-time PCR was performed using the CFX96 Touch Real-Time PCR detection system and iTaq Universal SYBR Green Supermix (Bio-Rad). Organs were lysed and total RNA was extracted using TRIzol Reagent (ThermoFisher Scientific) and reverse transcribed with PrimeScript RT Reagent Kit with gDNA Eraser (Takara) according to the manufacturer’s directions. Amplification efficiencies were validated and normalized against β-actin. The murine primers used in this study are detailed in online supplemental materials.

**Detection of methylation in promoter of Foxp3 gene**

Total genomic DNA from mesenteric lymph nodes of mice was isolated using Nucleospin Tissue (Macherey-Nagel). Bisulfite modification of total DNA was performed using EpiTect Bisulfite Kit (Qiagen). To detect methylation/demethylation in the promoter of Foxp3 containing CpG islands, real-time methylated PCR was performed using EpiTect MethyLight PCR (Qiagen). Bisulfite converted genomic DNA was amplified using mouse Foxp3-specific forward (5-GGGATTAGGAGGGATT TT-3) and reverse (5-GAAAAATTTTACCTAAATCCACATTTT-3) primer pairs and TaqMan hybridization probes (methylated Foxp3 probe: FAM-5-TCGTTTTAGGAGCCG AGTACGTTTTT-3, demethylated Foxp3 probe: HEX-5-TT GGTTTTAGGAGCCGTAGTATGTTTTT-3). Real-time methylated PCR reactions were carried out in a volume of 20 µL using EpiTect MethyLight master Mix (Qiagen), 500 ng bisulfite converted genomic DNA, and pairs of forward and reverse primers (0.4 µM) and TaqMan probes (0.2 µM). Amplification and detection were carried out using the following profile: one step at 95°C for 5 min, and 45 cycles at 95°C for 15 s and 58°C for 1 min. The methylation index (%) of each sample was calculated using the following equation: methylation index=M/M+U×100%, where M is the quantity of methylated and U is the quantity of demethylated Foxp3 real-time MSP following bisulfite conversion, as already described. All samples were run in duplicate and the average values were used.

**Sample collection, processing, and sequencing for microbial composition**

DNA was isolated from murine feces by the QIAamp Fast DNA Stool Mini Kit (Qiagen) according to the manufacturer’s instructions. The bacterial microbiota was evaluated by 16S rRNA sequencing performed at LGC Group (next-generation sequencing; Genomics, Berlin, Germany). The V4–V5 hypervariable regions of the bacterial 16S rRNA gene were amplified by the 515F/926R primer pair, and amplicons were sequenced on a 300 bp paired-end read sequencing on the Illumina MiSeq platform (V3). Statistical analysis was performed as described in online supplemental materials.

**Fecal microbiota transplantation**

Fresh fecal pellets from C57BL/6 control or 3-IAlD-treated mice were collected, added with sterile PBS at 10 mg/mL, homogenized, and centrifuged at 4000 rpm for 10 min at 4°C. The fecal preparations were administrated intragastrically to C57BL/6, Il10−/− and Il22−/− mice once a day 1 day before and 2 days after the induction of colitis.32

**Flow cytometry analysis**

For the Lewis lung carcinoma model, lungs containing orthotopic tumors were harvested, minced with scissors, and digested with Collagenase P (Sigma-Aldrich) and DNase in Hank’s balanced salt solution (HBSS) for 30 min at 37°C. The total cell suspension was resuspended in FACS analysis buffer and then stained with the following antibodies for 30 min at 4°C in the dark: allophycocyanin (APC)-conjugated anti-CD4 (clone REA604, Miltenyi), APC Cy7-conjugated anti-CD45 (clone 104, BD PharMingen), PE Cy7-conjugated anti-B220 (clone RA-6B2, BioLegend), SB600-conjugated anti-CD3 (clone 145-2C11, ThermoFisher Scientific), PE Cy7-conjugated anti-CD25 (clone PG61, BD PharMingen), AF488-conjugated anti-Foxp3 (clone 150D, BioLegend). Intracellular staining was conducted using the Cytotest/Cytoperm plus kit (BD PharMingen). After staining, the cells were washed with FACS PBS and quantified using the BD LSRSorter cell analyzer (Becton Dickinson). Gating strategy has been shown in online supplemental figure S1.

**Statistical analysis**

Student’s t test, one-way and two-way analysis of variance with Bonferroni post hoc test was used to determine the statistical significance. Significance was defined as p<0.05. Data are pooled results (mean±SD, mean±SEM) or representative images from two or three (for the murine colitis model) or two experiments (for the tumor models). The histological scores were compared using the Kruskal-Wallis test. The in vivo groups consisted of three to six mice/group. Mice were allocated in each group by simple randomization. No criteria were set for including/excluding animals during the experiments. The order of treatment was maintained throughout the experiment. No blinding procedures were used. No animals were excluded in the analysis. Sample size was decided based on our previous manuscript with the same experimental models.28 GraphPad Prism software V.6.01 (GraphPad Software) was used for analysis.

**RESULTS**

**3-IAlD protects against ICI-induced colitis**

To evaluate whether enteric formulated 3-IAlD would rescue from intestinal damage and toxicity in ICI-induced colitis, we resorted to a murine model of ICI-induced colitis, using a murine model of ICI-induced colitis.
colitis in which the vigorous T-cell response promoted by anti-CTLA-4 treatment exacerbated the DSS-induced intestinal damage. Administration of 3-IAld starting the week before and continuously until the end of the experiment (figure 1A) improved survival (figure 1B), prevented weight loss (figure 1C), ameliorated disease activity index (figure 1D), and improved gross pathology (figure 1E) in colitic mice. Of note, the protective effects of 3-IAld were comparable with those observed on the administration of the high-affinity AhR ligand, FICZ (figure 1B–E). Similar results were obtained in models of immune-mediated colitis obtained by infection of human PBMCs or murine CD4+ T cells in NSG (figure 1F–J) or RAG1-deficient mice (online supplemental figure S2), respectively, and subsequent treatment with anti-CTLA-4. The treatment with 3-IAld increased survival (figure 1F), reduced weight loss (figure 1G), improved inflammatory histopathology and barrier function, as revealed by the expression of the tight junction zonula occludens 1 (ZO-1) (figure 1H,1I), and decreased inflammatory cytokine production (figure 1J) in NSG and RAG1-deficient mice (online supplemental figure S2). Similarly, the protective effects of 3-IAld were associated with an improved colon histopathology (figure 2A,B) and intestinal barrier integrity in mice with DSS-colitis, as revealed by ZO-1 expression and proliferation of intestinal stem cells, revealed by Ki-67 staining (figure 2C) and Lgr5 expression (figure 2D). Of interest, 3-IAld also promoted the expression of Nfia3, a transcription factor that directs the development of innate lymphoid cells (ILC) known to maintain epithelia barrier integrity, as well as of Mucl, a cell surface mucin that functions as a barrier to infection and as regulator of inflammation (figure 2D). In agreement with these data, the passage of dextran-FITC across the intestinal barrier was reduced (figure 2E), and the levels of soluble CD14, a marker of gut permeability, were decreased (figure 2F). These changes were paralleled by a switch towards an anti-inflammatory profile with reduced levels of TNF-α, IL-1β and IL-17A, and increased amounts of IL-10 (figure 2G). Consistent with the anti-inflammatory profile, the levels of calprotectin were also reduced (figure 2H). Considering that 3-IAld is defective in mice with colitis, these results indicate that 3-IAld supplementation may protect against ICI-induced colitis by maintaining epithelial barrier integrity and dampening the inflammatory response, an activity consistent with its AhR-agonistic activity and comparable to FICZ.

**Beneficial effects of 3-IAld are not dependent on IDO1 and IL-10**

We have previously demonstrated that IDO1, an enzyme involved in the kynurenine pathway of tryptophan metabolism, mediated protection from intestinal pathology in a murine model of ICI-induced colitis with increased production of IL-10. We evaluated whether the IDO1/IL-10 pathway was involved in the therapeutic activity of 3-IAld. As shown in figure 3, 3-IAld increased neither IDO1 gene nor protein expression (figure 3A,B) nor its enzymatic activity (figure 3C), as measured by the kynurenine/tryptophan ratio. Accordingly, the downstream genes Hifα and Kynu were not increased on administration of 3-IAld (figure 3D), likely indicating that the activity of 3-IAld occurs independently of IDO1 engagement. Experiments in IDO1-deficient mice with ICI–colitis confirmed that the protective effects of 3-IAld did not involve IDO1 (figure 3E,F). Likewise, experiments in IL-10-deficient mice revealed that the activity of 3-IAld did not involve IL-10 either. Due to the existing epithelial damage, a chronic enterocolitis develops in these mice by 2–3 months of age. Therefore, we administered 3-IAld, as indicated in figure 1A, to 10-week-old mice treated with a lower dosage of DSS with and without concomitant anti-CTLA-antibody. We first noticed that IL-10-deficient mice at variance with wild-type mice developed signs of colitis on anti-CTLA-4 treatment alone (figure 3G), one first finding demonstrating that anti-CTLA4 treatment alone can drive intestinal inflammation de novo. As expected, the severity of colitis increased on concomitant DSS and anti-CTLA-4 treatment (figure 3H). Strikingly, 3-IAld not only promoted mucin homeostasis as seen on PAS/Alcian blue staining and the resolution of the rectal prolapse (online supplemental figure S3) in IL-10-deficient mice with DSS-colitis but also prevented weight loss (figure 3I) and improved histopathology (figure 3J) in these mice on anti-CTLA-4 treatment alone or combined with DSS. These results would indicate that 3-IAld protects from epithelial damage and immune-mediated damage in the relative absence of IL-10.

**Beneficial effects of 3-IAld involve the AhR/IL-22 axis**

It has been suggested that signaling by indoles in response to inflammation induced by acute stressors occurs through IL-22, a cytokine that depends on AhR and partners with IL-10 in maintaining mucosal homeostasis. We found that administration of 3-IAld to DSS +anti-CTLA-4 antibody-treated mice induced the expression of the AhR-dependent genes Cyp1a1 and Ahrr (figure 4A), a finding suggesting AhR engagement. Experiments in AhR-deficient mice confirmed the lack of 3-IAld’s activity in these mice (figure 4B,C) as already described. Moreover, 3-IAld also induced IL-22 gene and protein expression (figure 4D), as well as the downstream target Reg3γ (figure 4E), an antimicrobial peptide produced by intestinal epithelial cells. In agreement with previous findings, experiments in IL-22-deficient mice directly proved that IL-22 is instrumental for 3-IAld’s activity in colitis. We found that the weight loss (figure 4F), disease activity index (figure 4G), and colon histopathology (figure 4H) were not rescued by 3-IAld in these mice. Similarly, 3-IAld neither prevented epithelial barrier damage, as shown by ZO-1 staining (figure 4I), nor reverted the inflammatory profile (figure 4J) in the relative absence of IL-22. These results indicate that the protective activity of 3-IAld against colitis depends on AhR and IL-22, while IDO1 and IL-10 are dispensable.
Figure 1  3-IAlD protects mice from ICI-induced colitis. C57BL/6 mice were treated with DSS in drinking water for 1 week followed by a recovery period of another week, and administered 100 µg of anti-CTLA-4 mAb or isotype control two times (at days 0, 4, and 8 following DSS administration). 3-IAlD (18 mg/kg) was administered intragastrically every other day starting 4 days before DSS treatment. FICZ was used as control as depicted in the experimental schedule (A). Mice were evaluated for (B) % survival, (C) % weight change, (D) disease activity index, and (E) rectal bleeding. NSG mice infused intraperitoneally with hPBMCs were treated with αhCTLA-4 and 3-IAlD. Mice were sacrificed at 21 days and evaluated for (F) % survival, (G) % weight change, (H) histology score, (I) colon histology (PAS staining) and ZO-1 protein expression and (J) inflammatory cytokine expression. Photographs were taken with a high-resolution microscope (Olympus BX51), ×20 magnification (scale bars, 200 µm). White arrow indicates rectal bleeding. Yellow arrows indicate inflammatory cells recruitment. For histology, data are representative of two or three independent experiments. Each in vivo experiment includes four to six mice per group (16–24 mice in each experiment). Data are represented as means±SEM. (B–D) Anti-CTLA-4-treated mice versus none (isotype control), anti-CTLA-4+3-IAlD- versus anti-CTLA-4-treated mice, (F–J) hPBMCs+αhCTLA-4 versus hPBMCs (+human IgG) or hPBMCs+αhCTLA-4+3-IAlD. Two-way analysis of variance, Bonferroni post hoc test. H2O, untreated mice. *P<0.05, **P<0.01, ***P<0.001, ****P<0.0001. 3-IAlD, indole-3-carboxaldehyde; FICZ, 6-formylindolo(3,2-b)carbazole; hPBMC, human peripheral blood mononuclear cell; ICI, immune checkpoint inhibitor; PAS, periodic acid–Schiff.
In addition to preserving an intact epithelium, the AhR/IL-22 axis maintains a balanced microbiota and a functioning defense system. We therefore evaluated whether treatment with 3-IAld would affect the fecal microbial composition. Bacteroidota represented the most abundant phyla in murine feces followed by Firmicutes, while Proteobacteria and Actinobacteria were present at very low abundances (figure 5A). At genus level, Muribaculaceae (former S24–7) were the most abundant, followed by members of Clostridia (Lachnospiraceae and Oscillospiraceae) and Bacteroidia (Prevotellaceae, Rikenellaceae, and Bacteroidaceae) (online supplemental figure S4). The analysis of alpha diversity did not reveal significant differences in richness and evenness between untreated and 3-IAld-treated groups, as measured by observed operational taxonomic units (OTUs), Chao1 and Shannon indexes (figure 5B).

**3-IAld modifies intestinal microbiota composition and function**

In addition to preserving an intact epithelium, the AhR/IL-22 axis maintains a balanced microbiota and a functioning defense system. We therefore evaluated whether treatment with 3-IAld would affect the fecal microbial composition. Bacteroidota represented the most abundant phyla in murine feces followed by Firmicutes, while Proteobacteria and Actinobacteria were present at very low abundances (figure 5A). At genus level, Muribaculaceae (former S24–7) were the most abundant, followed by members of Clostridia (Lachnospiraceae and Oscillospiraceae) and Bacteroidia (Prevotellaceae, Rikenellaceae, and Bacteroidaceae) (online supplemental figure S4). The analysis of alpha diversity did not reveal significant differences in richness and evenness between untreated and 3-IAld-treated groups, as measured by observed operational taxonomic units (OTUs), Chao1 and Shannon indexes (figure 5B).

On the contrary, significant differences were observed in compositional structure, as measured by Jaccard and Bray-Curtis indexes (figure 5C). Indeed, PCoA analysis derived from Jaccard and Bray-Curtis distances revealed the presence of distinct clusters (online supplemental figure S5). In order to identify putative 3-IAld microbial signatures, we performed high-dimensional class comparisons using LEfSe. As shown in figure 5D,E, we observed that treatment with 3-IAld was associated with a predominance of members of Bacteroidota (Prevotellaceae, Bacteroidaceae, and Muribaculaceae), Desulfovibertota and, among Firmicutes, of Lachnospiraceae (Roseburia genus). Increased levels of alphaproteobacteria and different members of Bacteroidota (Rikenellaceae and Bacteroidales F082) were instead observed
Figure 3  Protective activity of 3-IAld is not dependent on IDO1 and IL-10. C57BL/6 (A–D,G), Indo1–/– (E–F) and Il10–/– (G–J) mice were subjected to anti-CTLA-4 with (A–F,H–J) or without (G) DSS and administered 3-IAld as described in the legend of figure 1. Mice were evaluated for (A) IDO1 gene and (B) protein expression, (C) Trp, Kyn levels and Kyn:Trp ratio, (D) Haao and Kynu expression, (E,H) % weight change, (F–J) colon histology (periodic acid–Schiff staining), (I) histology score. For immunofluorescence, nuclei were counterstained with Hoechst 33342. Photographs were taken with a high-resolution microscope (Olympus BX51), ×20 magnification (scale bar, 200 µm). For histology and immunofluorescence, data are representative of three independent experiments. Each in vivo experiment includes 3 mice per group (9–18 mice total). Data are represented as mean±SEM. Anti-CTLA-4+3-IAld- versus anti-CTLA-4-treated mice. One-way analysis of variance, Bonferroni post hoc test, (I) Kruskal-Wallis test. H2O, untreated mice. *P<0.05, **P<0.01. 3-IAld, indole-3-carboxaldehyde; IDO1, indoleamine 2,3-dioxygenase 1; IL, interleukin; Kyn, kynurenine; ns, not significant; Trp, tryptophan.
in untreated mice. We then resorted to Phylogenetic Investigation of Communities by Reconstruction of Unobserved States 2 (PICRUSt2) and the KEGG database to determine the abundance of functional modules inferred from 16S data, and LEfSe was applied to evaluate significant association in either untreated or 3-IAlD-treated samples. As shown in figure 5F, pectin degradation followed by transport systems, such as phosphate, lipopolysaccharide, tungstate, glutamine and the phosphoenolpyruvate–carbohydrate phosphotransferase (PTS) system, and sulfur reduction, were the modules most increased by 3-IAlD, while biosynthetic pathways, that is, ubiquinone, fatty acids, polyamine, cysteine and threonine biosynthesis, were reduced. The relative abundance of pectin-degrading and sugar-degrading anaerobic bacteria on 3-IAlD treatment led us to assess the fecal and serum levels of SCFAs that, together with different gases (including hydrogen sulfide, H₂S), are known end products of bacterial fermentation of pectin. Consistent with the selective increase of the genus Roseburia, known to produce high levels of butyrate, the fecal levels of n-butyric acid were significantly increased along with those of valeric acid and, to a lesser extent, propionic acid (figure 5G). However, SCFAs were not increased, if not decreased for the acetic acid, at the systemic level (figure 5G). Thus, the combined metagenomics and metaproteomic analysis revealed that 3-IAlD is able to shift the microbial composition toward sugar-fermenting bacteria and localized SCFA production.

3-IAlD-modified microbiota provides protection to ICI-induced colitis

We resorted to fecal microbiota transplantation to evaluate the activity of 3-IAlD-modified microbiota in colitis. Feces were collected from untreated or 3-IAlD-treated mice for 6 days and transplanted (FMT) into recipient

Figure 4 Beneficial effect of 3-IAlD involves the AhR/IL-22 axis. C57BL/6 (A,D,E), AhR−/− (B,C), IL22−/− (F–J) mice were subjected to DSS plus anti-CTLA-4-induced colitis and administered 3-IAlD as described in the legend of figure 1. Mice were evaluated for (A) AhR-related genes, (B,F) % weight change, (C,I) colon histology (periodic acid–Schiff staining), (D) IL-22 levels, (E) Reg3γ gene expression, (G) disease activity index, (H) histology score, (I) ZO-1 expression, and (J) cytokine production in colon homogenates. For immunofluorescence, nuclei were counterstained with Hoechst 33342. Photographs were taken with a high-resolution microscope (Olympus BX51), ×20 magnification (scale bars, 200 μm). For histology and immunofluorescence, data are representative of three independent experiments. Each in vivo experiment includes 3 mice per group (9–12 mice in each experiment). Data are represented as mean±SEM. Anti-CTLA-4+3-IAlD- versus anti-CTLA-4-treated mice. One-way analysis of variance, Bonferroni post hoc test, (F) Kruskal-Wallis test. H₂O, untreated mice. None, DSS+isotype control. **P<0.01. 3-IAlD, indole-3-carboxaldehyde; IL, interleukin; ns, not significant; TNF-α, tumour necrosis factor alpha.
Figure 5  3-I Ald modifies intestinal microbiota composition and function. (A) Barplot showing bacterial composition (abundance percentage) of each sample at phylum level. Taxa are differentiated by colors. Samples are ranked based on the abundance of the most abundant Phylum (Bacteroidota) and grouped by None and 3-I Ald-treated. (B) Boxplots of observed features, Chao1 and Shannon alpha diversity indexes. Significance was evaluated by applying a Kruskal-Wallis test (ns).

(C) Boxplots of Jaccard and Bray-Curtis beta diversity indexes evaluating distances within or between none and 3-I Ald-treated samples. Significance was evaluated by applying a Kruskal-Wallis test (p = 0.004). (D) LEfSe at genus level. LEfSe emphasizes a set of features that significantly discriminate between none and 3-I Ald treatments. A p value of <0.05 and an LDA score of ≥3.5 were regarded as significant in Kruskal-Wallis and pairwise Wilcoxon tests, respectively. The cladogram (D) simultaneously highlights both phyla and specific genera. Taxa (circles) are colored green when significantly associated to none, red when significantly associated with 3-I Ald-treated samples, and yellow when not significantly associated to either groups. The size of each circle is proportional to the abundance of the corresponding taxon in all samples. The barplot of the LDA scores (E) shows genera significantly associated with either none or 3-I Ald-treated samples accordingly to legend colors. LEfSe has been applied with default alpha values. (F) LEfSe on KEGG modules. Barplot of the LDA score computed on metabolic functions inferred by PICRUSt2 analysis (KEGG modules) and significantly associated with either none or 3-I Ald-treated samples accordingly to legend colors. The threshold value of the logarithmic LDA score was set to 2.0. (G) Levels of SCFA measured in the feces and serum by mass spectrometry. (A–F) Six mice per group (12 mice total); (G) 3–6 mice per group (9 mice total). Data are represented as mean±SD. None versus 3-I Ald, t test. *P<0.05, **P<0.01. 3-I Ald, indole-3-carboxaldehyde; LDA, linear discriminant analysis; LEfSe, linear discriminant analysis together with effect size evaluation; ns, not significant.
mice before and at the onset of colitis. At variance with transfer of feces from untreated mice, the transplantation of feces from 3-IAld-treated mice prevented weight loss (figure 6A), and ameliorated colon histopathology (figure 6B–D) in DSS-treated mice and similarly in DSS +anti-CTLA-4-treated mice (figure 6E). The reduced colonic inflammation was accompanied by the induction of IL-10-producing regulatory T cells (Treg) (figure 6F), as revealed by the reversal of DNA hypermethylation of Foxp3 promoter, likely occurring via butyrate (figure 6F), as already shown. Indeed, the finding that the protective activity of feces from 3-IAld-treated mice involved host IL-22 more than IL-10 (figure 6G–I), indicates that the beneficial activity of 3-IAld may occur through different pathways that include the modification of the composition and function of the microbiota, the increase intestinal barrier via the AhR/IL-22 axis and the control of inflammation via Treg cells.

3-IAld does not interfere with the antitumor activity of anti-CTLA-4 antibody

The potential application of 3-IAld requires that the protection against side effects does not compromise the therapeutic efficacy of ICI. For this reason, we assessed the effect of 3-IAld in the anti-CTLA-4-responsive B16 melanoma model. 3-IAld neither modified tumor growth nor interfered with the therapeutic efficacy of anti-CTLA-4 antibody (figure 7A,B) and did not affect recruitment of CD4+ and CD8+ tumor-infiltrating lymphocytes (figure 7C,D), consistent with the increased expression of leukocyte-recruiting chemokine Cxcl9 and effector Perforin (figure 7E). Likewise, 3-IAld did not interfere with the therapeutic efficacy of anti-PD-1 antibody in a model of Lewis lung carcinoma. Indeed, 3-IAld did not prevent the ability of anti-PD-1 antibody to increase survival (figure 7F), decrease tumor growth (figure 7G), improve gross pathology (figure 7H), and reduce the recruitment of Foxp3+CD25+Treg cells in the lung (figure 7I,J). Thus, the beneficial activity of 3-IAld may extend to combination immunotherapy.

DISCUSSION

This study is a proof-of-concept demonstration of the therapeutic potential of bacterial metabolites as biologics capable of alleviating ICIs-induced intestinal toxicity without interfering with ICIs antitumor activity. We found that 3-IAld, directly delivered in the intestine, activated the AhR/IL-22 pathway for epithelial barrier function and immune homeostasis during colitis. Despite the ability of 3-IAld to promote IL-10R1 expression in the gut and the ability of the IDO1/IL-10 pathway to afford protection from ICIs-induced intestinal damage, we did not find the involvement of this pathway in our setting. Interestingly, however, the chronic administration of 3-IAld (online supplemental figure 8A) only slightly prevented weight loss (online supplemental figure 8B) and improved colon histopathology in DSS colitis of aged IL-10-deficient mice (online supplemental figure 8C,D), implicating a possible role for IL-10 in mediating the clinical response of 3-IAld during chronic intestinal epithelial damage.

Gastrointestinal inflammation is a common immunerelated AE of anti-CTLA-4 treatment due to alterations in gut barrier integrity. However, decreased gut barrier function induced by immunotherapy increased the therapeutic efficacy of ICI by favoring systemic translocation of microbes and microbial metabolites that act through innate immune pathways contributing to the therapeutic success of immunotherapy. It has been found that patients who experience some degree of intestinal inflammation had a significantly higher chance of responding to ICI treatment. Studies in mice and humans with melanoma have shown that members of the Bacteroidales order were instrumental for the T helper 1 (Th1) antitumor immunity elicited by anti-CTLA-4. Paradoxically, however, transplantation of Th1-promoting Bacteroides fragilis was associated with a reduced deterioration of the enteric mucosa, thus segregating its role in immunotherapeutic effectiveness from that in toxicity. Our results are consistent with this observation as 3-IAld supplementation during immunotherapy, while strengthening the mucosal barrier function, did not impede the recruitment of CD4+ and CD8+ lymphocytes at the tumor site. Thus, the therapeutic success of anti-CTLA-4 antibody may occur independently of bacteria translocation and accumulation at the tumor site and likely occurs through alternative mechanisms, including the systemic translocation of metabolites, as recently proposed. In this regard, we found that 3-IAld itself, which is systemically detected after enteric administration, did not show a direct antitumor activity in vivo or in vitro on cultured B16 cells (data not shown), a finding consistent with the disparate role of AhR ligands in cancer. Thus, metabolites other than 3-IAld may contribute to the antitumor activity of CTLA-4 inhibitors in our setting. This is likely to occur as we have found that the levels of n-butyric and valeric acid were significantly increased after 3-IAld’s treatment. That indoles are able to induce butyrate, known to promote IL-22 production while repressing IDO1 expression in the gut has already been reported. However, while protecting from intestinal epithelial damage, systemic butyrate limited the antitumor effect of CTLA-4 blockade in hosts with cancer. Neither the antitumor activity of anti-CTLA-4 antibody was impaired nor the serum levels of butyrate increased in 3-IAld-treated mice, as opposed to butyrate-treated mice (data not shown), a finding pointing to the potential clinical utility of 3-IAld in finely regulating the local versus systemic butyrate levels. Of interest, we found that valeric acid, a potent histone deacetylase inhibitor endowed with antitumor activity was increased after 3-IAld treatment. Although an attractive working hypothesis, whether and how the valeric acid contributes to the overall effects of 3-IAld is presently unknown.

It is clear that 3-IAld modulates the composition and function of the gut microbiome, an activity consistent with the ability of indole and indolyl compounds to act as transkingdom signaling molecules. We have indeed shown that 3-IAld exhibited potent antimicrobial activity in vitro and modulated the composition of airway and gut microbiota in

Figure 6  3-I Ald-modified microbiota provides protection to ICI-induced colitis. C57BL/6 (A–F), Il22–/– and Il10–/– (G–I) mice were subjected to DSS-colitis with (E) or without (A–D,F–I) anti-CTLA-4 and transplanted with fresh fecal pellets from control or 3-I Ald-treated mice 1 day before and 2 days after colitis induction. One group of mice was treated with 1% butyrate in drinking water 1 week before starting DSS administration. Mice were sacrificed 7 (A–D,F–I) or 14 (E) days after colitis induction and evaluated for (A,E) % weight change, (B) gross pathology, (C,H) histology score, (D,G) colon histopathology (periodic acid–Schiff staining), (F) methylation/demethylation status of Foxp3 promoter in mesenteric lymph nodes, and (I) sCD14 serum levels. Photographs were taken with a high-resolution microscope (Olympus BX51), ×10 and ×20 magnification (scale bars, 500 and 200 µm). For histology, data are representative of three independent experiments. Each in vivo experiment includes 3 mice per group (6–12 mice in each experiment). Data are represented as mean±SD. Treated versus control FMT mice. One-way analysis of variance, Bonferroni post hoc test, (C) Kruskal–Wallis test. H2O, untreated mice. *P<0.05, **P<0.01, ***P<0.001, ****P<0.0001. 3-I Ald, indole-3-carboxaldehyde; FMT, fecal microbiota transplantation. ns, not significant.

3-I Ald, indole-3-carboxaldehyde; FMT, fecal microbiota transplantation.
murine model of cystic fibrosis. Alternatively, 3-IAlld may affect the microbiota composition via the regulatory activity of IL-22 on the microbiota. This mechanism has been recently reported for indole-3-carbinol that, by inducing IL-22 from ILC type 3, promoted the expansion of butyrate-producing bacteria, such as Roseburia spp. Consistent with the ability of 3-IAlld to promote ILC3 (16), we obtained similar results here by showing the predominant expansion of sugar-degrading members of Bacteroidota and Firmicutes by 3-IAlld. While Bacteroidota are involved in multiple activities, in addition to monosaccharide metabolism, Firmicutes rely on the PTS system for transport and metabolism of disaccharides, monosaccharides and other sugar derivatives, eventually converging on the butyrate biosynthesis pathway and butyrate production. Growing experimental evidences support the importance of butyrate, a four-carbon
SCFA, for intestinal health, even though diverging results were obtained on butyrate induction or supplementation in patients with inflammatory bowel disease. Transplantation of feces from 3-I Ald-treated mice or butyrate supplementation was able to protect from colitis in IL-22-sufficient mice, thus directly proving that 3-I Ald at the forefront of host-microbe interactions coordinates the activity of the microbiota and the host during ICI therapy. Likely as a result of the cross-feeding networks, we also found that sulfate reducing bacteria belonging to the Desulfovibacter phylum, abundantly present in the human gut, were expanded after 3-I Ald treatment. It is known that dietary components provide fiber and macromolecules that are degraded by bacterial enzymes to monomers, and these are fermented by intestinal bacteria with the production to molecular hydrogen which promotes the metabolic dominance by sulfate-reducing bacteria. As one of the three mammalian gasotransmitters, H2S plays a major role in maintaining physiological homeostasis. Numerous homeostatic functions have been proposed for H2S, including cytoprotection and anti-inflammatory activity in the gut, neuromodulation, and cardiovascular function. Therefore, 3-I Ald appears to modulate the function of the microbiota to engage multiple protective functions, mainly centered on carbohydrate metabolism, which raises the possibility of conceptualizing 3-I Ald as a prebiotic substance.

Much has to be learned to fully harness gut microbiome for clinical applicability, including the definition of a shared gut microbiome across various solid tumors treated with ICI. Our study provides a piece of evidence that moving past bacterial phylogeny and focusing on bacterial metabolome may lead to a new class of discrete molecules that working at the interface between microbes and the host immune system may optimize ICI therapy via a precision enteric medicine that targets the epithelial barrier for mucosal homeostasis and prevention of distant immunopathology. Future human studies are needed to confirm whether 3-I Ald, commonly detected in humans, could be used as a modifier of the mucosal milieu to provide an allied microbiota to optimize ICI regimens and/or as a biomarker for predicting ICI efficacy. In this regard, it is encouraging that 3-I Ald attenuated the increase in epithelial permeability caused by inflammatory stimuli in an in vitro preclinical screen using the human Caco-2 epithelial cell line.

Contributors Conceptualization: GR, FA, LR, and CC; methodology: GR, MPs, MMB, FD’O, IS, CS, FR, CA, MG, and OB; formulation of 3-I Ald for oral administration: MR, MPU, and SS; mass spectrometry: GP; metagenomics analysis: EN; writing—original draft preparation and review and editing: GR, CC, and LR; supervision and funding acquisition: LR. LR acts as the guarantor for the overall content. All authors have read and agreed to the published version of the manuscript.

Funding This work was supported by MicroTher (ERC-2018-PoC-813099, to LR).

Competing interests None declared.

Patient consent for publication Not applicable.

Ethics approval All animal care and experimental protocols were performed according to Italian Approved Animal Welfare Authorization 360/2015-PR and Legislative Decree 26/2014 regarding the animal license obtained by the Italian Ministry of Health lasting for 5 years (2015–2020).

Provenance and peer review Not commissioned; externally peer reviewed.

Data availability statement Data sharing was not applicable as no datasets were generated and/or analyzed for this study. Data are available on reasonable request.

Supplemental material This content has been supplied by the author(s). It has not been vetted by BMJ Publishing Group Limited (BMJ) and may not have been peer-reviewed. Any opinions or recommendations discussed are solely those of the author(s) and are not endorsed by BMJ. BMJ disclaims all liability and responsibility arising from any reliance placed on the content. Where the content includes any translated material, BMJ does not warrant the accuracy and reliability of the translations (including but not limited to local regulations, clinical guidelines, terminology, drug names and drug dosages), and is not responsible for any error and/or omissions arising from translation and adaptation or otherwise.

Open access This is an open access article distributed in accordance with the Creative Commons Attribution Non Commercial (CC BY-NC 4.0) license, which permits others to distribute, remix, adapt, build upon this work non-commercially, and license their derivative works on different terms, provided the original work is properly cited, appropriate credit is given, any changes made indicated, and the use is non-commercial. See http://creativecommons.org/licenses/by-nc/4.0/.

ORCID iDs Giorgia Renga http://orcid.org/0000-0002-9762-6493 Giuseppe Pieraccini http://orcid.org/0000-0003-3103-3924

18 Hubbard TD, Murray IA, Perdew GH. Indole and tryptophan metabolism: endogenous and dietary routes to Ah receptor activation. Drug Metab Dispos 2015;43:1522–35.


