A signature for pan-cancer prognosis based on neutrophil extracellular traps

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ABSTRACT

Background Neutrophil extracellular traps (NETs) were originally thought to be formed by neutrophils to trap invading microorganisms as a defense mechanism. Increasing studies have shown that NETs play a pivotal role in tumor progression and diffusion. In this case, transcriptome analysis provides an opportunity to unearth the association between NETs and clinical outcomes of patients with pan-cancer.

Methods The transcriptome sequencing data of The Cancer Genome Atlas pan-cancer primary focus was obtained from UCSC Xena, and a 19-gene NETs score was then constructed using the Least Absolute Shrinkage and Selection Operator (LASSO) Cox regression model based on the expression levels of 69 NETs initial biomarkers we collected from multistudies. In addition, multiple datasets covering multiple cancer types from other databases were collected and used to validate the signature. Gene ontology enrichment analyses were used to annotate the functions of NETs-related pathways. Immunohistochemistry (IHC) was implemented to evaluate the role of NETs-related genes in clinical patients across types of tumors, including lung adenocarcinoma (n=58), colorectal carcinoma (n=93), kidney renal clear cell carcinoma (n=90), and triple-negative breast cancer (n=80).

Results The NETs score was calculated based on 19-NETs related genes according to the LASSO Cox model. The NETs score was considered a hazardous factor in most cancer types, with a higher score indicating a more adverse outcome. In addition, we found that NETs were significantly correlated to various malignant biological processes, such as the epithelial to mesenchymal transition (R=0.7444, p<0.0001), angiogenesis (R=0.5369, p<0.0001), and tumor cell proliferation (R=0.3835, p<0.0001). Furthermore, in IHC cohorts of a variety of tumors, myeloperoxidase, a gene involved in the model and a classical delegate of NETs formation, was associated with poor clinical outcomes.

Conclusions Collectively, these constitutive and complementary biomarkers represented the ability of NETs formation to predict the development of patients’ progression. Integrative transcriptome analyses plus clinical sample validation may facilitate the biomarker discovery and clinical transformation.

INTRODUCTION

It is known that a constant interplay endures between the tumor and the host immune response, which expedites tumor development and progression.1 2 The tumor microenvironment and immune components matter in tumor progression and metastasis. As the most abundant cells in the human immune system, neutrophils play a pivotal role in the tumor microenvironment.3 4 Neutrophil in peripheral blood has been proposed as a biomarker for risk stratification of patients with cancer. Most clinical evidence in neutrophil to lymphocyte ratios (NLRs) supports the view that neutrophils promote rather than restrain progression.5 The increase of NLRs reflected the deviation of hematopoiesis to the myeloid system, the decline of lymphoid hematopoiesis, the drop in immune system function, as well as poor prognosis. As the disease progresses and relapses, the neutrophil count, or NLR, ascends, while it descends with the recovery of the disease.6 Neutrophils within the tumor are often termed tumor-associated neutrophils (TANs). However, the relationship between TANs and clinical outcome is contradictory,7 suggesting the functional heterogeneity in TANs. In most cases, the existence of TANs was related to a dismal prognosis in most tumors, such as renal cell carcinoma and pancreatic ductal adenocarcinoma.8 9 TANs reflected a natural cytotoxicity of the host and released plenty of factors exerting mostly pro-tumor functions, including extracellular matrix remodeling, angiogenesis, and promotion of tumor growth and invasion.10 Inversely, women seemed to have a better prognosis than men in advanced gastric carcinoma, which differences in neutrophil function may explain to some extent.11

The function of neutrophil extracellular traps (NETs), a web-like structure expelled from dying neutrophils of decondensed DNA chromatin to extracellular space, was mainly to kill harmful microorganisms. DNA chromatin decondensed through citrullination and then expelled from the cell complexed with citrullinated histones and neutrophilic cytoplasmic contents containing granular enzymes, which was termed ‘NETosis’.
Indeed, not all neutrophils release NETs. Only a subset of neutrophils have this capability. For example, the expression of olfactomedin 4 aligned nicely with the percentage of neutrophils that produce NETs when stimulated by lipopolysaccharide, while CD177 neutrophils cannot make NETs. As a substantial step of the innate and adaptive immune response, which both infectious and sterile stimuli can trigger, the role of NETosis in the occurrence and development of tumors is still disputable. From the perspective of the antitumor immunity, NETs inhibit tumor growth by activating the immune system. Neutrophils interact with T cells through NETs to reduce the activation threshold and directly stimulate T cells. However, more studies showed that tumors could coach neutrophils to undergo NETosis to promote metastasis. For example, it was reported that the tumor-neutrophils to undergo NETosis to promote metastasis. Indeed, not all neutrophils release NETs. Only a subset of neutrophils have this capability. For example, the expression of olfactomedin 4 aligned nicely with the percentage of neutrophils that produce NETs when stimulated by lipopolysaccharide, while CD177 neutrophils cannot make NETs. As a substantial step of the innate and adaptive immune response, which both infectious and sterile stimuli can trigger, the role of NETosis in the occurrence and development of tumors is still disputable. From the perspective of the antitumor immunity, NETs inhibit tumor growth by activating the immune system. Neutrophils interact with T cells through NETs to reduce the activation threshold and directly stimulate T cells.

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The z-score evaluation of the biological process

The z-score was an algorithm proposed by Lee et al.\(^4\) to mirror the activity of given pathways by integrating feature gene expressions. Gene sets containing the genes referring to angiogenesis,\(^2\) epithelial to mesenchymal transition (EMT, obtained from Gene Ontology (GO): 0001837), cell cycle,\(^3\) as well as the NETs initial biomarkers (online supplemental table S1, S2 and S4), were subjected to the z-score algorithm implemented in the R package GSVA. The value of each gene set was enumerated as angiogenesis z-score, EMT z-score, Cell Cycle z-score, and NETs z-score, respectively.

Validation using tissue microarray analysis and immunohistochemical staining

Tissue samples from multiple tumor tissue microarrays were used for clinical validation, containing the tumor and adjacent normal tissues from lung adenocarcinoma (LUAD), triple-negative breast cancer (TNBC), colon adenocarcinoma (COAD) and kidney renal clear cell carcinoma (KIRC). In particular, we collected 58 pairs of LUAD tissues matched to their adjacent normal tissue samples in the Cancer Hospital of the Chinese Academy of Medical Sciences (Beijing, China). The use of LUAD specimens in the present study has been approved by the Ethics Committee of the Cancer Hospital, Chinese Academy of Medical Sciences. Informed consents were signed after patients had been fully aware of the purpose of the research. The COAD tissue array, which contains 93 tumor samples with 87 corresponding adjacent normal tissues, and the KIRC tissue array, containing 90 paired tumor-normal tissues, were purchased from Outdo Biotech (Shanghai, China). The tissue array with 80 pairs of TNBC samples was purchased from Super Biotek (Shanghai, China). The clinical information for all patients covered in the model was listed in online supplemental table S5. Immunohistochemistry (IHC) staining was implemented with MPO antibody (#14569, Cell Signaling Technology), interleukin 17A (IL-17A) antibody (ab79056, Abcam), and histone hypercitrullination (H3Cit) antibody (ab5103, Abcam), according to their manufacturers’ protocols. MPO is specifically expressed on stromal cells, and each sample was assigned a score based on the proportion of MPO\(^+\) cells (0%~0, 1%~9%=1, 10~25%=2, 26%~100%=3). IL-17 is expressed in both tumor cells and stromal cells, so we scored tumor cells and stromal cells separately by the extent of staining (percentage of positive cells with the intensity).\(^26\)\(^27\) The score was independently judged by two experienced pathologists with unknown clinicopathological information during this process.

Functional annotation of differently expressed genes between patients with high and low NETs scores

The R package ‘clusterProfiler’ package was used to conduct Gene Ontology (GO enrichment analysis based on the differentially expressed genes (DEGs) (\(\log2FC\geq1, q\text{-value (FDR)} <0.05\)) between the high-risk and low-risk groups.

Statistical analyses

All statistical analyses were performed using the R V.3.5.2 (http://www.r-project.org). The training and test sets were standardized by zero-mean normalization. We used the Kaplan-Meier analysis to assess the probability of survival outcomes in patients within low-risk and high-risk groups. The log-rank test was accustomed to determining the statistical significance of differential groups. By integrating multivariate indicators, nomogram construction and validation allowed the multivariable Cox regression analysis to be visualized and readable. Decision curve analysis (DCA), first proposed by Vickers and Elkin,\(^28\) was used to show the clinical benefit provided by each model. The time-dependent receiver operating characteristic curve was used to measure the prognosis performance by the areas under the curves (AUC) of the NETs score and the nomogram model. For all, a p value<0.05 was considered statistically significant.

RESULTS

Identification of a 19-gene NETs-related signature for pan-cancer

The workflow for constructing the NETs-related signature of pan-cancer was depicted in online supplemental figure S1. First, 69 NETs-initial biomarkers were input into LASSO regression analysis in the TCGA pan-cancer training set (figure 1A), and 24 NETs-related genes with non-zero coefficients were obtained. Subsequently, pairwise correlation analysis was performed on these genes, and we found that some of the genes had similar expression patterns (figure 1B). The correlation coefficients between CTSG and SELP, IL-6 and IL-8, IL-6 and FRP2, TLR4 and FPR1, FCGR3B and FPR1, FCGR3B and FPR2, FPR1 and FPR2 were higher than the others. Therefore, we removed CTSG, IL-6, TLR4, FPR1, and FCGR3B and used the remaining 19 NETs-related genes for further model construction.

The Cox regression analysis was applied to the 19 NETs-related genes, and a score (the NETs score) based on their normalized expression levels was derived (online supplemental table S6). In addition, the hazard ratio (HR) of every single gene for the prognosis was observed (figure 1C). Not surprisingly, MPO, as an important representative of the tendency of NETosis, was involved in the model.

Panorama of NETs score in cancers

The NETs score showed an obvious organ specificity, as the tumors originating from the brain and gastrointestinal tract generally had greater NETs scores, while the tumors from some secreting glands, for example, prostate adenocarcinoma, thyroid carcinoma, and breast invasive carcinoma (BRCA), usually had lower ones (figure 2A). Patients in the TCGA training cohort were assigned to the high-risk or low-risk group according to whether their NETs scores were higher than the median of the population. Compared with those in the low-risk
group, patients with pan-cancer with higher NETs scores were associated with various adverse survival indicators, including DSS, overall survival (OS), and progression-free interval (PFI) (figure 2C). The Kaplan-Meier survival curves referring to DSS, OS, and PFI of each type of tumor in the training set were presented in online supplemental figures S2-S4. The result of the univariate Cox analyses used to characterize the effect of NETs score on various predicting prognoses showed that NETs score was related to a dismal prognosis in most types of cancers (figure 2B).

In order to examine the effectiveness and generality of the signature, we first verified the prognostic effect of the NETs score in the TCGA test series. Consistent with the training set results, survival analyses of the patients in the test cohort showed that the higher NETs score corresponded to the worse progression (figure 2D). The multivariable Cox proportional hazards model comprising the NETs score and cancer types illustrated that the NETs score was an independent predictor of the OS (p=0.0070, HR=1.22) of the patients in the TCGA test cohort. We observed that there was a quite powerful predictive ability of the NETs score on prognosis in a variety of tumors (figure 2E), including KIRC (log-rank test: p=0.0001), COAD (log-rank test: p=0.0197), and LUAD (log-rank test: p=0.0067). However, for BRCA with relatively low NETs score, the prognostic predictive value of NETs score could not be reproduced in the test cohort (log-rank test: p=0.1060). As the most frequently diagnosed cancer in women, there was a huge repertoire of BRCA sample data in TCGA, which gave rise to the fact that there was high statistical efficiency and overfitting in the model of the training cohort. Interestingly, we found that NETs score had different effects on cancers from the same organ, as it was significantly correlated with the unfavorable prognosis of patients with LUAD, but not on patients with lung squamous cell carcinoma (LUSC) even though the latter ones had a higher NETs score than the former ones (figure 2B), which indicated the roles of NETosis in tumor progression are not only affected by its hosting organs but also related to the nature of the tumor.

**Validation of NETs-related signature in other independent data sets**

To further confirm the prognostic value of the NETs score, we applied it to multiple external validation cohorts and obtained similar results. We got 651 patients with glioma mRNA matrix and clinical information from the CGGA database and proved that the patients with glioma with higher NETs scores were accompanied by worse prognosis, especially for those with high-grade disease (grade III or IV, figure 3A). External validations suggested that the NETs score was not a reliable prognosis predictor for breast cancer as it was not significant in seven out of the eight validation data sets (figure 3B), which was in concert with the validation result of the TCGA cohort. Extra NSCLC data sets were collected to confirm the distinct prognostic effects of NETs scores on patients with LUAD and LUSC. Similarly, we found that the NETs score was significantly related to the prognosis of patients with LUAD (p=0.0087 in GSE8894, and p=0.0023 in GSE43767) (figure 3C), but not to those with LUSC (p=0.5970 in GSE19188, and p=0.4970 in GSE67061) (figure 3D).
Establishment of a nomogram based on the NETs score for clinical predicting the survival of pan-cancer

To provide a readable and quantitative measurement for the NETs signature to clinically predict the probability of adverse events, we constructed a comprehensive nomogram that combined the NETs score and several clinicopathological characteristics, including the patients’ age and cancer types (figure 4A). The calibration curve of the 3-year DSS, was plotted in figure 4B, almost coincided with the standard one, a straight line with a slope of 1 through a coordinate axis dot, indicating the nomogram signature was very close to the actual survival probability.
As shown in figure 4C, we found that the AUC of nomogram prediction was better than NETs score alone in the training set and the test cohort (TCGA_train: 0.700 vs 0.726, TCGA_test: 0.661 vs 0.718), suggesting the prediction efficiency of nomogram signature would be better after considering a variety of clinical factors. Furthermore, we found the net benefits (NB) of both NETs score alone and comprehensive nomogram were higher than 0, with the maximum NB greater than 15% in DCA clinical evaluation (figure 4D,E), indicating the importance of the NETs score coordinated with other clinical traits for prognostic prediction.

The NETs signature and malignant features of the tumor

In the process of normal cells dissimilating to a malignant state, rapid proliferation, active EMT, and angiogenesis were acquired, which were the hallmarks of malignant tumor capabilities. It was reported that NETs could advance the progression of the neoplasm by stimulating angiogenesis and transforming tumor cells from dormant to the proliferative phase. To investigate the connection between the NETosis and these malignant features, we quantified the ability of tumor in NETs promotion, angiogenesis, EMT, and cell cycle by z-score algorithm (see Methods for details). We found that there were pronounced positive correlations between NETs z-score with Angiogenesis z-score (R=0.5369, p<0.0001), EMT z-score (R=0.7444, p<0.0001), and Cell Cycle z-score (R=0.3835, p<0.0001), respectively, in the overall TCGA pan-cancer cohort (figure 5A–C), or in most of the tumor types (figure 5D,E, and online supplemental figure S5). In other words, the tumor with strong potential to promote NETosis was generally accompanied by more active angiogenesis in microenvironments, and more aggressive tumor cells.

In conclusion, these results suggested that the more actively the NETosis occurred in the tumor microenvironment, the more aggressively the malignant cells acted.

The characteristics comparison of the high-risk and low-risk patients

To further characterize and compare the tumor biological behaviors and the immune microenvironments of the high-risk and low-risk patients, we extracted a total of 1599 patients with LUAD, COAD, KIRC, and TNBC from TCGA data set. Based on their NETs scores, the patients with each type of tumor were divided into high-risk and low-risk groups according to the optimal cut-off value. The DEGs between the high-risk and low-risk groups were applied to GO enrichment analyses. As expected, DEGs were characterized consistently by the features of the NETs formation process, such as neutrophil activation, neutrophil degranulation, and neutrophil-mediated immunity, as well as the steps referred to the tumor metastasis, for example, positive regulation of cell adhesion, extracellular organization, and the extracellular matrix degradation (online supplemental figure S6).
The reappearance of the NETs-related genes in clinical cohorts

The NETs score was built to evaluate the NETotic potential in tumors based on the expression levels of several transcripts. To better elucidate the relationship between NETosis and patient’s prognosis, we put MPO and H3Cit, the two classic NETosis markers, indicating NETs formation, into IHC validation involving tumor types, not only covered KIRC, LUAD, and COAD, of which the NETs score was significantly correlated with the patients’ outcomes, but also contained the unrelated TNBC samples. It was confirmed that the staining pattern of MPO was mainly intense and clear in the cytoplasm of tumor-infiltrating stromal cells (figure 6A) which were significantly rare in the adjacent normal tissues (online supplemental figure S7). So, we took the proportion score of MPO+ cells in the stromal cells (MPO score) to measure the degree of NETosis in tumor tissue. It was shown that the MPO score could stratify the outcomes of patients with COAD, KIRC, and LUAD (figure 6B–D), but not significant in patients with TNBC (figure 6E). Consistent with the relatively low NETs score of the BRCA in TCGA cohort, we observed that MPO stain rate in TNBC sample (7/66) was significantly lower than that in LAUD (14/58), COAD (55/84), and KIRC (54/81) ($\chi^2$ tests, with all p values<0.05). All available clinical indicators were then subjected to univariable Cox analyses, such as sex, age, tumor, node, metastases (TNM) stage, and pathological differentiation, et al. Those with statistical significance were put into perform the subsequent multivariable Cox regression analysis. As the forest plots shown, the MPO score was an independent factor in the prognosis of patients with COAD and KIRC (figure 6F,G). However, we found that the MPO score was significantly positively correlated with the TNM stages in the LUAD IHC cohort (Kruskal-Wallis test, p=0.0371), so
that it was not an independent prognostic factor in the multivariable Cox model (figure 6H).

H3Cit is known as a substantial step in NETosis and considered an essential and specific element of NETs.32 Maybe due to its high specificity for indicating the formed NETs, the positive rate of H3Cit in our IHC cohort was too low to be evaluated (only eight patients were stained positively). Therefore, we could not evaluate the prognostic value of NETs formation tendency based on H3Cit.

Since the NETs score model was an evaluating system composed of multigenes, the expression levels of any one of them alone might not directly map the prediction of the model. For example, IL-17, encoded by IL-17A, has been known as a neutrophil recruiter and NETs promoter,33 34 and consequently was originally included in the model construction as a component contributing to NETs formation. However, the coefficient of IL-17A turned to be negative in the final model (online supplemental table S6). We speculated that it may be related to the wide variety of sources of IL-17 in tumor tissues, which complicated the biological effects of this gene. Our IHC results indicated that IL-17 was expressed by various types of cells, including tumor cells, in concert with previous reports.35–37 The overall levels of IL-17 in tumor tissue were not correlated with the patients’ clinical outcomes in our cohort (data not shown). However, the proportion score of IL-17+ cells in tumor stroma (referred to as IL-17 score, hereafter) was significantly correlated to
the MPO score (Kruskal-Wallis test, p=0.0411). Likely aligned with the MPO score, the IL-17 score was also an independent prognostic factor for the patients with COAD and KIRC (online supplemental figure S8A,B), as a higher IL-17 score was accompanied by a shorter OS (online supplemental figure S8C,D). However, the situation was not optimistic in patients with LUAD, which might be explained by the MPO score was not being an independent prognostic factor in our LUAD cohort as mentioned. The constitutive results of the IL-17 and MPO scores suggested that it was the expression of IL-17 by stromal cells rather than other cells that may have an important role in promoting NETosis. Accordingly, the overall expression level of a single gene like IL-17A in tumor tissues may be insufficient for representing the results of the NETs score model.

DISCUSSION

The death of patients with tumor is attributed more frequently to metastases, rather than the primary tumors. About 90% of patients died of organ failure, cancer-associated thrombosis, or other complications caused by tumor metastasis.38 Increasingly, more evidence has demonstrated that heterogeneity exists across tumor types and even among tumors arising in the same tissue. Therefore, it is hard to construct a prediction system that affects the prognosis of pan-cancer from the tumor essence. Importantly, as the soil of tumor development, the tumor immune microenvironment of different types of tumors has something in common. Neutrophils could be the link between the tumor parenchyma and the immune microenvironment. NETs, a unique derivative of neutrophils, could prevent tumor cells from being attacked by the immune system and promote invasion and metastasis. Meanwhile, the mechanism of NETs promoting malignant metastasis is gradually emerging. For example, some studies have proved that NETs enhanced diffuse large B-cell lymphoma (DLBCL) migration by TLR9 signaling in human DLBCL cell lines.39 Not alone, another study suggested that the formation of NETs boosted breast cancer to lung dissemination through cathepsin C.40

Neutrophil accumulation varies in different tumor types. Neutrophils with certain molecular markers may have a variety of biological functions. The subset that produces NETs is important in terms of the patient’s prognosis. Our findings shed light on a constitutive NETs-related signature that contributed to the progression of patients with pan-cancer. The NETs score classified all patients of pan-cancer into high-risk and low-risk groups. Most tumor progresses occurred in high-risk patients while low-risk patients had longer survival.

Clinical validation and application are the core practical significance of our model construction. For selecting the delegate gene, MPO is one of the most abundant

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**Figure 6** IHC validation of MPO in our clinical cohorts. COAD, colon adenocarcinoma; IHC, immunohistochemistry; KIRC, kidney renal clear cell carcinoma; LUAD, lung adenocarcinoma; MPO, myeloperoxidase; TNBC, triple-negative breast cancer; TNM, tumor, node, metastases. MS, microsatellite; MSI, microsatellite instability; MSS, microsatellite stable.
proteins in neutrophils, stored in the azurophilic granules and released when neutrophils are stimulated. MPO contributed to DNA decondensation, binding to DNA, and catalyzing oxidative reactions, promoting the relocation of ELANE from the cytoplasm to the nucleus. Furthermore, it was recorded that MPO was necessary for making NETs and acted cell-autonomously to promote NETs formation and was considered as a representative marker of NETosis. In the clinical validation phase, we found that the MPO score in tumors was significantly higher than in adjacent normal tissues and was associated with weaker survivals of patients with COAD, KIRC, and LUAD. Consistent with the relatively low NETs scores of the BRCA data set among TCGA cohorts, we found the MPO score was statistically lower in patients with TNBC than that of patients with COAD, KIRC and LUAD in our IHC cohorts, and did not have any prognostic value. We conjectured that MPO staining in tumor stroma cells reflected the infiltration and function of TAN and could act as a preserver of the tendency of NETs formation in tumor tissues, as well as the NETs score model did, based on evaluating multiexpression.

NETs are competent to damage vascular endothelial cells to activate inflammatory responses as well as promote angiogenesis. When stimulated by angiopoietin 1/2, the amounts of NETs produced by neutrophils were about 2.5 times higher than that in the natural state, which further promoted angiogenesis. By evaluating the activity of tumor-related biological pathways, we found that the synchronization between NETs formation and vascularization. In pancreatic ductal adenocarcinoma, a very malignant and one of the worst prognostic tumors has been confirmed that many TANs promote angiogenesis in several studies, which was likely part of contributed by the NETs. Besides allowing patients in a hypercoagulable state, NETs also boost vascular endothelial cells proliferating and further shaping into tubular structures, which could be explained by the coherence between NETs z-score and cell-cycle z-score in our study.

There are several limitations in our study. First of all, the number of evaluated NETs-representing genes is small. Also, only a few cancer types were validated in this study, and the number of samples in each tumor group is limited. Last but not least, although MPO is a reliable marker of TANs, it is not sufficient for a comprehensive assessment of NETosis and should be implemented in combination with other markers, such as H3Cit. In light of the unsuccessful test of H3Cit in IHC cohorts, we will explore and validate other reliable markers of NETosis as a supplementation in the future.

CONCLUSIONS
In summary, the NETs formation potential of tumor tissues was highly coordinated with many malignant features and was associated with the clinical outcomes of patients with pan-cancer. Evaluating and targeting the NETs formation in tumor lesions may facilitate personalized treatment for cancer.

REFERENCES