Efficacy and immune-related adverse event associations in avelumab-treated patients

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ABSTRACT

Background Adverse events (AEs) of special interest that arise during treatment with immune checkpoint inhibitors, including immune-related AEs (irAEs), have been reported to be associated with improved clinical outcomes. We analyzed patients treated with avelumab from the JAVELIN Solid Tumor and Merkel 200 trials, examining the association between AEs and efficacy while adjusting for confounding factors such as treatment duration and event order.

Methods We analyzed efficacy and safety data from 1783 patients treated with the programmed death ligand 1 inhibitor avelumab who were enrolled in expansion cohorts of the JAVELIN Solid Tumor and Merkel 200 trials. To analyze the association between irAEs and efficacy with regard to survival, we used a time-dependent Cox model with time-varying indicators for irAEs, as well as multistate models that accounted for competing risks and time inhomogeneity.

Results 295 patients (16.5%) experienced irAEs and 454 patients (25.5%) experienced infusion-related reactions. There was a reduced risk of death in patients who experienced irAEs compared with those who did not (HR 0.71, 95% CI 0.59 to 0.85) using the time-dependent Cox model. The multistate model did not suggest that the occurrence of irAEs could predict response; however, it predicted a higher chance of irAEs occurring after a response. No association was observed between response and infusion-related reactions.

Conclusions Patients who experience irAEs showed improved survival. Although irAEs are not predictors for response to immune checkpoint inhibitors, increased vigilance for irAEs is needed after treatment with avelumab.

Trial registration numbers NCT01772004 and NCT02155647.

BACKGROUND

Immune checkpoint inhibitors (ICIs) show a wide spectrum of clinical activity and are approved for use in a variety of solid tumors.^{1,2} However, ICIs are also associated with unique adverse events of special interest (AESIs), including immune-related AEs (irAEs) and infusion-related reactions (IRRs).^{3,4} It has been suggested that the occurrence of

irAEs and their corresponding management may compromise responsiveness to immunotherapy; however, there is conflicting evidence on the impact of immunosuppressive therapy used to manage irAEs on the clinical benefit of ICI therapy.^{5–7} Patients who respond to treatment appear to have a higher likelihood of developing irAEs, and this might in part be related to increased immune cell activation, cross-reactivity between tumor neoantigens and normal tissue antigens or treatment duration^{8–10}; however, not all autoimmune mechanisms elicited by ICIs are necessarily associated with antitumor response.¹¹ Steroids are used for the management of irAEs, but accompanying immunosuppression can potentially compromise the antitumor response associated with immunotherapy; however, a short course of treatment with steroids generally does not have considerable impact.^{6,8} Immunotherapy dose interruption for management of irAEs can also compromise clinical activity.¹²

The development of irAEs has been associated with improved overall survival (OS) and clinical efficacy in various cancers.^{13–19} The mechanisms accounting for the association of irAEs with improved efficacy are still unknown, although shared antigens between normal and cancer cells may be one potential explanation^{10,15,20,21}; longer treatment duration is also a possible confounding factor for the development of irAEs.¹⁰ AEs are also linked to improved outcomes in other forms of cancer therapy, such as chemotherapy and kinase inhibitors.^{22–25}

To date, comprehensive analyses of safety and efficacy that assess the potential association between the occurrence of response and AESIs, while adjusting for confounding factors, are either lacking or are not adequately performed. No appropriately powered studies exist that examine the association between IRRs and

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efficacy, adequately adjust for immortal time bias, as well as factor in treatment duration and/or the range of possible flexible event sequences. Studies also contradict each other in regard to whether an association exists.^{15 16 26–29} Lastly, many analyses have sample sizes that were too small to sufficiently draw strong conclusions.^{29 30} Thus, a proper understanding of the association between efficacy and safety using a multistate model that appropriately accounts for time dependency, treatment duration, and event order may improve the assessment of benefit/risk and aid in informed treatment decisions for patients with cancer.

Avelumab is a human anti-programmed death ligand 1 (PD-L1) immunoglobulin G1 monoclonal antibody that inhibits the interaction between PD-L1 and programmed cell death protein 1 (PD-1).³¹ Avelumab differs from other currently licensed IgG1 isotype anti-PD-L1 antibodies, namely atezolizumab and durvalumab, as the Fc receptors of the latter have been genetically modified to reduce their potential of binding to the FcγR1 receptor; thereby reducing or eliminating innate immune inducer functions.³² It has been shown in preclinical studies that avelumab induces innate effector functions against tumor cells in vitro.³³ Avelumab is approved as monotherapy for metastatic Merkel cell carcinoma (MCC) and platinum-treated urothelial carcinoma (UC) in various countries, and in combination with axitinib for advanced renal cell carcinoma in the USA and European Union. We report findings on the association of efficacy and AESIs that were observed in patients who received avelumab in the JAVELIN Solid Tumor and JAVELIN Merkel 200 clinical trials.

METHODS

We pooled and analyzed efficacy and safety data from 1783 patients enrolled in expansion cohorts of JAVELIN Solid Tumor (n=1695) and part A of JAVELIN Merkel 200 (n=88). These trial designs have been described previously.³⁴ JAVELIN Solid Tumor (NCT01772004) is an international, multicohort, open-label, dose-escalation and dose-expansion phase 1 trial of avelumab in patients with advanced solid tumors; JAVELIN Merkel 200 (NCT02155647) is an international, prospective, open-label, single-arm phase 2 trial of avelumab in patients who experienced disease progression after ≥1 prior line of chemotherapy for metastatic MCC (part A). For both trials, additional patient eligibility criteria included immune-competent status; Eastern Cooperative Oncology Group performance status of 0 or 1; adequate hematological, hepatic and renal function; estimated life expectancy of ≥3 months, ≥1 unidimensional measurable lesion according to Response Evaluation Criteria in Solid Tumors (RECIST) version 1.1 (including skin lesions); and availability of fresh or archival biopsy material. Patients were not involved in the design or conduct of this study. All patients provided written informed consent.

Avelumab was administered at 10 mg/kg over a 1-hour intravenous infusion every 2 weeks. AEs were assessed and graded by the National Cancer Institute Common

Terminology Criteria for Adverse Events V.4.0. The statistical analyses of AEs included treatment-emergent AEs only (ie, those with onset from start of study treatment up to 30 days after last study treatment). All serious AEs suspected to be related to the study treatment had to be reported regardless of the time elapsed since last treatment administration. irAEs were identified using a prespecified list of Medical Dictionary for Regulatory Activities preferred terms and then followed by a medical review of qualified medical personnel to determine whether the AE met all the criteria for an immune-related adverse reaction (onset, duration, immunosuppressive therapy, etiology). The association of efficacy and irAEs was analyzed using approaches that account for time dependency and treatment duration. A time-dependent Cox model considering time-varying indicators for irAEs was used for the analysis of OS. Furthermore, multistate models explicitly modeling competing risks and time inhomogeneity, while allowing different event order of response and irAE occurrence, were used to gain insights into underlying mechanisms. The multistate model considers a stochastic process representing the individual progress of each patient through different states while receiving avelumab treatment (figure 1).³⁴ Each patient starts treatment at time zero, and treatment discontinuation is an absorbing state and includes discontinuation due to withdrawal, loss to follow-up, progressive disease, death or AE. As long as the patient is receiving treatment, experiencing an irAE, having a response or experiencing a combination state (irAE and response, in either order) are transient events. Occurrence of response and irAEs are modeled as transitions between the states of the model. Given that a patient is in state i at time t , the probability that the patient is in state j at time s is given by:

$$P_{ij}(s|t) = P(X(s) = j | X(t) = i), s > t$$

Transition probabilities are time dependent (ie, the process is time inhomogeneous) and assume the Markov property (ie, a future state only depends on the present state and not on the history). All statistical approaches were used in an exploratory way and were performed in R.^{35–37}

IRRs (IRR, drug hypersensitivity or hypersensitivity) occurring on the day of or day after infusion and IRR symptoms occurring on the day of infusion that resolved within 2 days after onset were included in the list of prespecified AEs for analysis. Tumors were investigator assessed according to RECIST 1.1 every 6 weeks for the first 12 months after the start of study treatment and then every 12 weeks thereafter. Analyses of the association between achieving a best overall response (BOR) of confirmed complete response (CR) or partial response (PR) and IRRs were performed using two-way frequency tables, χ^2 tests with Yates correction, boxplot analyses of time to response by occurrence of IRRs and Kaplan-Meier analysis of duration of response (DOR) grouped by the occurrence of IRRs. Adjustment for time dependency was not required because IRRs occurred early during treatment.

Questions to be addressed by multistate model:

Figure 1 Multistate model definition and hypotheses to be addressed. Diagram shows the transition states that the multistate model aims to capture, which all begin with treatment, lead to either a response or irAE, and then may further lead to both response and irAE. Blue and pink arrows along with accompanying text describe two research questions regarding treatment sequencing to be investigated by the multistate model. irAE, immune-related adverse events.

RESULTS

The data cut-off dates for the JAVELIN Solid Tumor cohorts and part A of JAVELIN Merkel 200 were February 15 2017, and March 24 2017, respectively. The pooled data set contained 1783 patients with varying tumor types who were enrolled between September 2013 and October 2016 (table 1); all patients received ≥ 1 dose of avelumab. Median duration of treatment was 12.0 weeks (range 2.0–172.9 weeks). The overall incidence of AESIs and

onset of AESIs are shown in table 2 and figure 2. Patients with a BOR of CR or PR received treatment for a median of 14.3 months compared with 4.6 months for patients with stable disease (SD) and 1.4 months for patients with progressive disease or who were not evaluable.

In general, the incidence of AESIs by type were similar across tumor types (table 2). Of the 1783 patients in the study, 295 patients (16.5%) experienced irAEs and 51 patients (2.9%) experienced grade ≥ 3 irAEs. Considering only the subset of patients who experienced irAEs ($n=295$), 57 of these patients (19.3%) first experienced a response and subsequently an irAE, 19 (6.4%) first experienced an irAE before experiencing a response and 219 patients (74.2%) did not have a response. Additionally, in this same subset, an irAE occurred in 87 patients (29.5%) before the first tumor assessment (first to third infusion), in 87 (29.5%) before the second tumor assessment (fourth to sixth infusion), and in 121 (40.8%) later; thus, irAEs occurred throughout treatment. Grade ≥ 3 irAEs were not observed in $>10\%$ of the patients across tumor types; three deaths due to irAEs were observed. A 51-year-old female patient with metastatic breast cancer and known liver metastases experienced acute hepatic failure after administration of three infusions of avelumab. No autopsy was performed. Progression of underlying breast cancer metastatic to liver was considered as an alternative explanation for the event. A 50-year-old female patient with gastroesophageal junction cancer experienced grade 5 autoimmune hepatitis and grade 5 hepatic failure after 1 infusion of avelumab. Underlying cancer disease (gastric cancer/gastroesophageal junction cancer) and treatment with concomitant medications (lansoprazole, rebamipide, phazyme and oxycodone) was considered as an alternative explanation. A 54-year-old male patient experienced pneumonitis 19 days after the first and only infusion of avelumab. A CT scan revealed perihilar ground glass opacities (superimposed pneumonia could not be

Table 1 Patient characteristics

Characteristics	N=1783
Median age (range), years	64 (19–91)
Sex, n (%)	
Male	931 (52.2)
Female	852 (47.8)
Geographic information, n (%)	
North America	1267 (71.1)
Europe	390 (21.9)
Asia	121 (6.8)
Australia	5 (0.3)
Racial designation, n (%)	
White	1343 (75.3)
Non-white	436 (24.5)
No data	4 (0.2)
ECOG PS, n (%)	
0	680 (38.1)
$\geq 1^*$	1103 (61.9)
Mean prior therapies, n (SD)	2 (0.87)

*Includes patients whose ECOG PS increased to >1 between screening and start of treatment.
ECOG PS, Eastern Cooperative Oncology Group performance status.

Table 2 Incidence and severity of IRRs and irAEs by tumor type

Cohort	N	IRRs, n (%)		irAEs, n (%)	
		Any grade	Grade ≥ 3	Any grade	Grade ≥ 3
NSCLC	340	91 (26.8)	10 (2.9)	62 (18.2)	9 (2.6)
GC/GEJC	282	63 (22.3)	1 (0.4)	36 (12.8)	8 (2.8)
UC	249	76 (30.5)	2 (0.8)	45 (18.1)	10 (4.0)
OC	228	53 (23.2)	0	28 (12.3)	4 (1.8)
MBC	168	30 (17.9)	0	26 (15.5)	4 (2.4)
HNSCC	153	23 (15.0)	0	20 (13.1)	1 (0.7)
MCC	88	19 (21.6)	0	17 (19.3)	4 (4.5)
RCC	82	27 (32.9)	0	16 (19.5)	2 (2.4)
Mesothelioma	53	27 (50.9)	0	13 (24.5)	4 (7.5)
Melanoma	51	18 (35.3)	0	9 (17.6)	0
ACC	50	13 (26.0)	0	17 (34.0)	5 (10)
CRC	21	8 (38.1)	0	2 (9.5)	0
CRPC	18	6 (33.3)	0	4 (22.2)	0

ACC, adrenocortical carcinoma; CRC, colorectal cancer; CRPC, castration-resistant prostate cancer; GC/GEJC, gastric cancer or gastroesophageal junction cancer; HNSCC, head and neck squamous cell carcinoma; irAE, immune-related adverse event; IRR, infusion-related reaction; MBC, metastatic breast cancer; MCC, Merkel cell carcinoma; NSCLC, non-small cell lung cancer; OC, ovarian cancer; RCC, renal cell carcinoma; UC, urothelial carcinoma.

excluded). Despite high-dose steroids, the subject's condition deteriorated, with a fatal outcome after 4 days. No biopsy or autopsy was performed. Altogether, 542 irAEs were observed: 177 patients (60.0%) experienced 1 irAE, 66 (22.4%) experienced 2 irAEs, 24 (8.1%) experienced 3 irAEs and 28 (9.6%) experienced ≥ 4 irAEs. The most common irAEs were thyroid disorders ($n=120$ (6.7%)) and rash ($n=116$ (6.5%)) and 39 of 1783 patients (2.2%) discontinued avelumab due to irAEs.

The time-dependent Cox model of OS showed a lower risk of death in patients who experienced any-grade irAEs compared with those who did not (HR 0.71, 95%

CI 0.59 to 0.85). Supplemental analysis in different irAE subgroups supported this observation of a survival benefit (eg, subsets of patients who experienced grade ≥ 2 irAEs (HR 0.78, 95% CI 0.63 to 0.95), rash irAEs only (HR 0.65, 95% CI 0.49 to 0.87), thyroid irAEs only (HR 0.53, 95% CI 0.39 to 0.71) or subgroups excluding patients receiving steroids (40 mg prednisone or equivalent daily; HR 0.63, 95% CI 0.50 to 0.78). The multistate model was used to assess the relationship between the likelihood of experiencing a response and the occurrence of an irAE. The estimated transition probabilities of patients progressing from one treatment event to another is shown in figure 3. Each row of graphs in this figure depicts the estimated transition probabilities of the given events over time beginning at three different time points. The model did not suggest that the occurrence of irAEs could predict response (blue arrows and text in figure 1); however, patients with a response were more likely to develop irAEs than those who did not have a response but continued receiving treatment (pink arrows and text in figure 1). At any given point in time, the time-dependent probability for an irAE is higher for a responder than for a non-responder who is receiving treatment. Furthermore, the treatment discontinuation rate did not increase after experiencing an irAE, regardless of whether a response occurred. These results were confirmed in subgroup analyses that accounted for the severity and type of irAEs (results not shown).

Of the 1783 patients in the study, 454 patients (25.5%) had IRRs and 13 patients (0.7%) had grade ≥ 3 IRRs. No deaths occurred due to IRRs. Of the subset of patients who experienced IRRs ($n=454$), 362 of these patients (79.7%)

Figure 2 Onset of first AESI. Shown are the number of infusions administered before the onset of the first irAE (aquamarine) or IRR (magenta) across the study population. AE, adverse event; AESI, adverse event of special interest; irAE, immune-related adverse event; IRR, infusion-related reaction.

Figure 3 Estimated probabilities that a patient transitions between given treatment events. Each row of panels depicts the probability beginning at three different time points (42, 90 and 182 days) after initiation of treatment that a patient transitions from a given model state to another. Shaded areas indicate 90% CIs. The first row compares the time varying transition probability for response given previous occurrence of irAE or not. The second row compares the time varying transition probability for irAE given previous occurrence of response or not. The third and fourth rows depict time varying transition probability for discontinuation with or without response comparing previous occurrence of irAE or not. irAE, immune-related adverse event.

had a first occurrence of IRRs at the time of first infusion, 63 (13.9%) at the second infusion, 17 (3.7%) at the third infusion, 5 (1.1%) at the fourth infusion and 7 (1.5%) at the fifth infusion to the 22nd infusion. A total of 97.4% of first IRRs occurred before the first response assessment. Of the 454 patients who experienced an IRR, 340 (74.9%) experienced 1 IRR, 88 (19.4%) experienced 2 IRRs, 20 (4.4%) experienced 3 IRRs and 6 (1.2%) experienced ≥ 4 IRRs. Of the 609 total IRR events observed, including recurrences, 287 (47.1%) occurred during infusion, 314 (51.6%) occurred later on the day of infusion and 8 (1.3%) occurred on the day after infusion. A total of 30 of 1783 patients (1.7%) discontinued avelumab due to IRRs.

No association was observed between response and IRRs. IRRs were observed in 57 of 218 patients (26.1%) who had a response and in 397 of 1565 patients (25.4%) who did not (χ^2 test $p=0.87$, with Yates correction). Results

were confirmed in relevant analyses of subgroups defined by tumor type from JAVELIN Solid Tumor (eg, UC, melanoma, non-small cell lung cancer (NSCLC), given sufficient sample size) and when limited to clinically relevant IRRs only (grades 2–4). There was a wider distribution of time to response for patients who experienced IRRs, but no conclusions can be drawn due to limited sample size. In addition, DOR did not appear to be associated with the occurrence of IRRs (figure 4). Since a total of 97.4% of first IRRs occurred before the first response assessment, the analysis would not be needed to account for time dependency. Please note that in the avelumab product label, it is recommended to premedicate with antihistamine and acetaminophen prior to the first four infusions to manage proactively the possibility of IRRs.^{38 39}

DISCUSSION

In this study, we investigated the association between avelumab efficacy and the occurrence of AESIs in patients with a wide variety of solid tumors. Our study addressed the methodological shortcomings present in prior studies using approaches that overcome statistical challenges such as time dependency and event order, thus helping to reconcile contradictory results in the literature.

According to the time-dependent Cox model, patients who experienced irAEs had an improved chance of survival compared with those who did not. Also, patients with irAEs who were not treated with steroids (40 mg prednisone or equivalent daily) also showed improved chances of survival. One limitation of this analysis is that treatment-related irAEs were only considered within a 30-day window (irAEs can occur months after the last dose of immunotherapy). Although the analysis is still valid, estimates of the true effect of irAE occurrence can be expected to be more pronounced. The multistate model gives meaningful insights to the mechanisms of action in immunotherapy, specifically allowing a flexible event order of response, irAEs and discontinuation. The model did not support the possibility that experiencing irAEs could predict response; not having irAEs did not preclude a response to avelumab. The model also adjusted for treatment duration, as patients with PR/CR continued receiving treatment for much longer than patients with SD (median, 14.3 vs 4.6 months). The ideal length of treatment for patients who achieve a PR or CR remains an area of active investigation. However, the suggestion that at each time point the likelihood to develop an irAE was higher for responders emphasizes the need for increased vigilance for irAEs following a response. However, patients who undergo treatment with anti-PD-(L)1 therapy for extended lengths of time also do not experience an increased cumulative incidence of irAEs.⁴⁰ The probability of treatment discontinuation does not increase with irAEs, which indicates that irAEs are manageable.

In our study, an association between response and IRRs was not observed; the chance of having a response and

Figure 4 DOR according to occurrence of IRRs. Kaplan-Meier plot shows the estimated DOR based on whether or not a patient experienced an IRR throughout the course of treatment, along with number of patients at risk and breakdown of censored patients at each time point below. Shaded areas indicate 95% CIs. DOR, duration of response; IRR, infusion-related reaction.

the DOR were not impaired by the occurrence of IRRs. To our knowledge, this is the first study to investigate the association between the occurrence of IRRs and efficacy; although IRRs are AESIs that accompany the use of immunotherapy, their appearance should not be considered an indicator of potential clinical benefit. Thus, the chance of having a response and DOR are not impacted by IRR occurrence.

Studies investigating the association of safety and efficacy in patients receiving PD-(L)1 therapy have concluded that the incidence of irAEs is linked to increased OS, progression-free survival (PFS) and/or improved objective response. The occurrence of irAEs has been associated with clinical benefit in the treatment of a wide range of malignancies with various anti-PD-(L)1 agents.^{13 15 16 26 28 29 41–46} However, conflicting results have also been reported in studies on anti-PD-(L)1 therapy in patients with a variety of tumors, for which there was no significant association between irAEs and OS or PFS.^{29 30 47} One reason for the conflicting results may be the methodological limitations in many of the published studies; indeed, many existing analyses are simple association analyses between the occurrence of an irAE and response, which are susceptible to spurious correlation fallacies (ie, that longer treatment is likely to be associated with higher chances of an irAE occurring, as well as the possibility of experiencing a response), and do not adjust for immortal time bias.^{16 26–28 41 44 45 48} Several studies used landmark analyses to explore the correlation between the incidence of irAEs and efficacy, but predetermined selection of the landmark time points will neglect the value of late-occurring irAEs and their contributions to a potential

associative effect.^{15 29 47 49} Our analysis of the timing of AEs during treatment and their relationship with OS was captured using a time-dependent Cox model,^{13 14} which allows irAEs to occur at any time. Furthermore, method selection often also implies directionality in the association, which can result in incorrect conclusions regarding causality; indeed, some analyses have suggested that irAEs may predict response while not considering flexible event order (ie, that a response or disease progression can occur either before or after an irAE).^{15 16 28 29 49} Maher *et al*⁴⁶ attempted to consider the possibility that AEs may occur both before and after disease response by fitting two independent models to describe the complex relationship. Our multistate model analysis allows a flexible order of events in the same model while appropriately accounting for treatment discontinuation. Lastly, the sample size used by several studies was very small, thus potentially limiting the conclusions that can be drawn from the analysis.^{28–30 43}

In this study, we addressed these limitations by properly accounting for each issue using appropriate methodology. The multistate model allowed us to gain new insights regarding the underlying mechanisms of timings of irAE occurrence, response and discontinuation while allowing flexible event order. Furthermore, the conclusions are based on, to our knowledge, one of the few studies with a large patient population used for the analysis of the association between irAEs and efficacy. Understanding the association between AESIs and response is essential for improving patient care. In conclusion, our study indicates that patients who developed irAEs had an improved chance of survival. Although irAEs could not

be used to predict a response, patients who responded to avelumab and remained on treatment should be continuously monitored for the emergence of irAEs. However, recent 5-year OS data from patients with advanced NSCLC treated with pembrolizumab show little evidence of late-onset or new toxicities.⁵⁰ This study may also guide future anti-PD(L)1 treatment discontinuation studies, and future analyses involving other anti-PD(L)1 regimens should be conducted with the methodologies outlined here to determine the association between AESIs and efficacy in other treatment contexts.

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