

Prediction of severe immune-related adverse events requiring hospital admission in patients on immune checkpoint inhibitors: study of a population level insurance claims database from the USA

Mark Kalinich,¹ William Murphy ,^{1,2,3} Shannon Wongvibulsin,^{3,4} Vartan Pahalyants,^{1,2,3} Kun-Hsing Yu,⁵ Chenyue Lu,⁵ Feicheng Wang,⁵ Leyre Zubiri,⁶ Vivek Naranbhai,^{6,7} Alexander Gusev,⁷ Shawn G Kwatra,⁴ Kerry L Reynolds,⁶ Yevgeniy R Semenov³

To cite: Kalinich M, Murphy W, Wongvibulsin S, *et al.* Prediction of severe immune-related adverse events requiring hospital admission in patients on immune checkpoint inhibitors: study of a population level insurance claims database from the USA. *Journal for ImmunoTherapy of Cancer* 2021;**9**:e001935. doi:10.1136/jitc-2020-001935

MK and WM contributed equally.

MK and WM are joint first authors.

KLR and YRS are joint senior authors.

Accepted 09 March 2021



© Author(s) (or their employer(s)) 2021. Re-use permitted under CC BY-NC. No commercial re-use. See rights and permissions. Published by BMJ.

For numbered affiliations see end of article.

Correspondence to

Dr Yevgeniy R Semenov;
YSEMEV@mgm.harvard.edu

ABSTRACT

Background Immune-related adverse events (irAEs) are a serious side effect of immune checkpoint inhibitor (ICI) therapy for patients with advanced cancer. Currently, predisposing risk factors are undefined but understanding which patients are at increased risk for irAEs severe enough to require hospitalization would be beneficial to tailor treatment selection and monitoring.

Methods We performed a retrospective review of patients with cancer treated with ICIs using unidentifiable claims data from an Aetna nationwide US health insurance database from January 3, 2011 to December 31, 2019, including patients with an identified primary cancer and at least one administration of an ICI. Regression analyses were performed. Main outcomes were incidence of and factors associated with irAE requiring hospitalization in ICI therapy.

Results There were 68.8 million patients identified in the national database, and 14 378 patients with cancer identified with at least 1 administration of ICI in the study period. Patients were followed over 19 117 patient years and 504 (3.5%) developed an irAE requiring hospitalization. The incidence of irAEs requiring hospitalization per patient ICI treatment year was 2.6%, rising from 0% (0/71) in 2011 to 3.7% (93/2486) in 2016. Combination immunotherapy (OR: 2.44, $p<0.001$) was associated with increased odds of developing irAEs requiring hospitalization, whereas older patients (OR 0.98 per additional year, $p<0.001$) and those with non-lung cancer were associated with decreased odds of irAEs requiring hospitalization (melanoma OR: 0.70, $p=0.01$, renal cell carcinoma OR: 0.71, $p=0.03$, other cancers OR: 0.50, $p<0.001$). Sex, region, zip-code-imputed income, and zip-code unemployment were not associated with incidence of irAE requiring hospitalization. Prednisone (72%) and methylprednisolone (25%) were the most common immunosuppressive treatments identified in irAE hospitalizations.

Conclusions We found that 3.5% of patients initiating ICI therapy experienced irAEs requiring hospitalization and immunosuppression. The odds of irAEs requiring hospitalization were higher with younger age, treatment with combination ICI therapy (cytotoxic T lymphocyte-associated 4 and programmed cell death protein 1 (PD-1) or programmed death-ligand 1 (PD-L1)), and lower for other cancers compared with patients on PD-1 or PD-L1 inhibitors with lung cancer. This evidence from the first nationwide study of irAEs requiring hospitalization in the USA identified the real-world epidemiology, risk factors, and treatment patterns of these irAEs which may guide treatment and management decisions.

BACKGROUND

Immune checkpoint inhibitors (ICIs) are medications increasingly used to treat cancer that modulate the endogenous immune response. These medications block interaction of checkpoint proteins on tumor cells binding to T-cells, and include inhibition of programmed cell death protein 1 (PD-1), programmed death-ligand 1 (PDL-1), and cytotoxic T lymphocyte-associated 4 (CTLA-4) thus allowing immune activation.¹⁻³ ICIs strengthen antitumor immunity, resulting in enhanced progression free survival and in many cases also overall survival in numerous cancer types.⁴ Expanding indications for ICIs have rapidly increased ICI use among patients with advanced malignancies, as the percentage of US patients with cancer eligible for ICI therapy has risen from 1.5% in 2011 to 38.5% in 2019.^{5 6}

Activation of the immune system by ICIs can also result in complications of treatment

known as immune-related adverse events (irAEs).⁷ irAEs commonly affect the skin, liver, gastrointestinal tract, and endocrine organs, and although the majority are mild, irAEs may range in severity from mild to life threatening and may require hospitalization with immunosuppression.^{3 8–13} irAEs are stratified by a clinical grading system which guides treatment decisions including whether to continue therapy, suspend therapy, or treat with immunosuppression.^{3 14–18} irAEs represent a considerable source of morbidity and mortality for the patient due to the adverse event itself, holding or discontinuing ICI therapy, and possible blunting of the ICI stimulated immune response through systemic immunosuppression.^{11 19 20}

Predicting which patients are at risk of severe irAEs is challenging due to multiple factors: wide variability and lack of standardization in clinical definition of irAEs, lack of a specific International Classification of Disease (ICD) code to denote irAEs, and significant discordance among providers in classifying irAEs in clinical practice.^{10 21 22} Additionally, the data required to use the Common Terminology Criteria for Adverse Events grading system are frequently unavailable in Insurance Claims databases. Further, reporting of irAEs in clinical trials of ICIs has been shown to be inconsistent and suboptimal, indicating a need for standardized methodology to assess irAEs.²³ Understanding which patients are at high risk prior to initiation of therapy would allow for early diagnosis and treatment of irAEs.²⁴ Although there have been efforts to model the risk of irAEs among different classes of ICI therapy, previous studies have focused on clinical trial data,²³ used single center data,²⁴ or did not report detailed patient demographics for risk factors.^{25–27} Here, we propose a definition of irAE requiring hospitalization as hospitalization with new or escalated immunosuppression within 2 years of ICI therapy initiation, and identify factors associated with risk of irAEs requiring hospitalization using an Aetna national claims database from the USA.

METHODS

Using unidentifiable Aetna insurance national claims data from January 2011 to December 2019, all patients receiving ICI treatment were identified by Current Procedural Terminology (CPT) procedure codes, and separated into three treatment groups, PD-1 or PD-L1, CTLA-4, and combination (CTLA-4 and PD-1/PD-L1) therapy. Combination therapy was defined as receiving ICI in two classes on the same day. Patient cancer diagnoses were identified using ICD codes, and stratified into major cancer groups: melanoma, lung cancer, renal cell carcinoma (RCC), bladder cancer, colon cancer, head and neck squamous cell carcinoma, Hodgkin's lymphoma, gastric cancer, liver cancer, cervical cancer, breast cancer, prostate cancer, or Merkel cell carcinoma. ICD codes denoting a "personal history," "cancers in situ," or "benign" conditions were removed by searching the ICD code text for those strings. Patients with only one cancer diagnosis in

the month preceding their first ICI treatment and who had at least three diagnosis codes with that cancer over the study period were included in the study population to minimize contribution from ICD coding errors. Patients with a primary cancer diagnosis of breast and prostate cancer were excluded, as these indications were not Food and Drug Administration (FDA) approved (except those with microsatellite instability-high) for the majority of the study duration^{28–30}). For the analysis, cancers were grouped into melanoma, lung cancer, RCC, and other, which included bladder cancer, colon cancer, head and neck squamous cell carcinoma, Hodgkin's lymphoma, gastric cancer, liver cancer, cervical cancer, or Merkel cell carcinoma. Demographic data, including age, gender, region, zip-code-imputed income, and zip-code-imputed unemployment from 2010 census data, were calculated for each patient. Charlson Comorbidity Index (CCI) score without contribution from cancer (as all patients had advanced cancer) was calculated for each patient using ICD codes prior to initiation of immunotherapy.³¹

irAEs requiring hospitalization were defined as any inpatient hospitalization associated with commencement of a new immunosuppressive drug not present in a claim in the 14 days prior to admission, or a dose escalation of an immunosuppressive medication that was present in a claim in the 14 days prior to admission (list of medications in online supplemental table 1) within 2 years after initiation of ICI. Combination ICI was defined as administration of two ICIs administered on the same day, and were codified into Nivolumab combination therapy (Nivolumab and any other ICI) and pembrolizumab combination therapy (pembrolizumab and any other ICI). All occurrences of combination therapy were with these two medications. Inpatient hospitalization was defined as place of service being coded as inpatient, with length of stay defined as the discharge date minus the admission date. Medication type and dose were identified by Healthcare Common Procedure Coding System (HCPCS) and National Drug Code (NDC) code. For each hospitalization, new immunosuppressive medications or a dose escalation of prior immunosuppressive medications were identified, and the highest treatment dose given of each was codified. When dosing was "up to" a certain dose, the maximum dose was used.

Study outcomes

The primary study outcome is incidence of irAE, requiring hospitalization as defined as a hospitalization with new or dose escalation of immunosuppression within 2 years of ICI initiation. The secondary outcomes are hospitalizations without immunosuppression, intensive care unit (ICU) admission, and immunosuppressant treatment in irAE hospitalizations.

Statistical analysis

Analyses were performed in R V.3.6.3 (R Statistical Software). Continuous variables were compared with a t-test, and categorical variables were compared with the Pearson's

χ^2 . Linear regressions were performed on continuous variables, and logistic regressions performed on categorical variables. Where data were non-normal (eg, income), variables were normalized using the scale function in R.

RESULTS

There were 68.8million patients identified in the national database. Fourteen thousand three hundred seventy-eight patients were identified as having received an ICI administration, an identified primary cancer, and complete demographic information. A summary of demographic characteristic is reported in table 1. The

Table 1 Demographic, treatment, and outcome characteristics of patients with and without severe immune-related adverse event (irAE)

	Patients without irAE	Patients with severe irAE	P value
Number (%)	13874 (96.5%)	504 (3.5%)	
Men (%)	8172 (58.9%)	298 (59.1%)	0.96
Women (%)	5702 (41.1%)	206 (40.9%)	0.96
Avg age (years)	66.7	63.5	<0.001
Avg follow-up time (days)	735.5	926.5	<0.001
Average time to hospitalization (days)	172.0	148.7	<0.001
Immune checkpoint inhibitor use			
PD-1	10815 (78.0%)	372 (73.8%)	0.03
PD-L1	1052 (7.6%)	12 (2.4%)	<0.001
CTLA-4	1022 (7.4%)	42 (8.3%)	0.47
CTLA4/PD-1 or CTLA-4/PD-L1 combination	985 (7.1%)	78 (15.5%)	<0.001
Average ICI treatment Length	163.0 days	168.2 days	0.58
Underlying conditions			
Lung cancer	7114 (51.3%)	279 (55.4%)	0.08
Melanoma	3036 (21.9%)	121 (24.0%)	0.28
Renal cell carcinoma	1430 (10.3%)	56 (11.1%)	0.61
Other cancer	2294 (16.5%)	48 (9.5%)	<0.001
Average Charlson Comorbidity Index	1.5	1.5	0.90
Secondary outcomes			
Number of hospitalizations	1	3.1	<0.001
Hospital length (days)	3.1	6	<0.001
Proportion in ICU	0.02	0.056	<0.001
Regional information			
Average zip code unemployment	0.06	0.06	0.33
Average zip code income	63 168.1	64 332.8	0.32
East North Central	2123 (15.3%)	80 (15.9%)	0.77
East South Central	445 (3.2%)	14 (2.8%)	0.68
Mid-Atlantic	3272 (23.6%)	110 (21.8%)	0.39
Mountain	626 (4.5%)	24 (4.8%)	0.88
New England	661 (4.8%)	38 (7.5%)	0.01
Pacific	1089 (7.8%)	30 (6.0%)	0.14
South Atlantic	3261 (23.5%)	107 (21.2%)	0.26
West North Central	470 (3.4%)	25 (5.0%)	0.08
West South Central	1927 (13.9%)	76 (15.1%)	0.49

Other cancers include: bladder cancer, colon cancer, head and neck squamous cell carcinoma Hodgkin's lymphoma, gastric cancer, liver cancer, cervical cancer, and Merkel cell carcinoma.

CTLA-4, cytotoxic T lymphocyte-associated 4; ICI, immune checkpoint inhibitor; ICU, intensive care unit; PD-1, programmed cell death protein 1; PD-L1, programmed death-ligand 1.

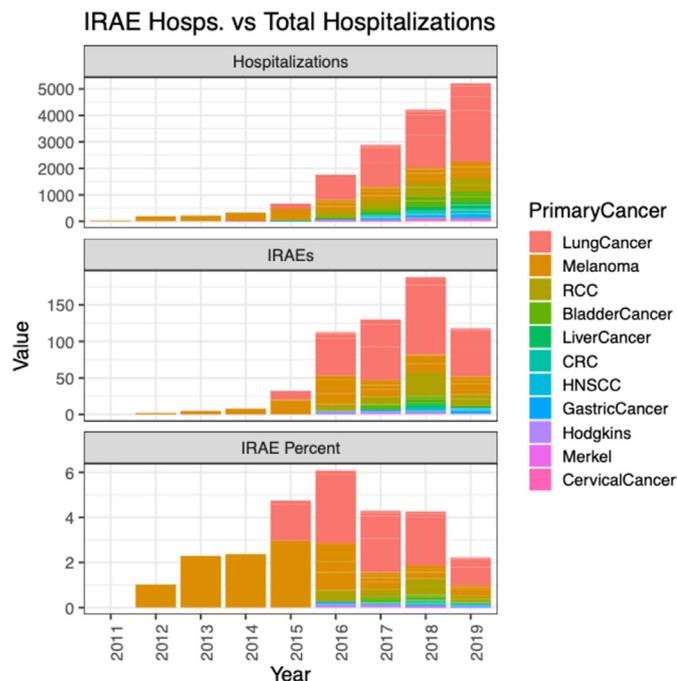


Figure 1 Incidence of immune-related adverse event (irAE) hospitalizations and total hospitalizations in patients on ICI therapy. CRC, colorectal cancer; HNSCC, head and neck squamous cell carcinoma; Merkel, Merkel Cell Carcinoma; RCC, renal cell carcinoma.

most commonly identified cancer for patients receiving an ICI was lung cancer (N=7393, 51.4%), followed by melanoma (N=3157, 22.0%), RCC (N=1486, 10.3%), and other cancers (N=2342, 16.3%) a group which includes bladder cancer, colon cancer, head and neck squamous cell carcinoma Hodgkin's lymphoma, gastric cancer, liver cancer, cervical cancer, and Merkel cell carcinoma (table 1). The cumulative follow-up time in years after ICI commencement in the 14378 ICIs recipients was 19177 (median follow-up, 927 days for patients with irAE, 736 for patients without irAE), and the total number of irAE admissions identified during those years was 504. The overall incidence rate of irAEs requiring hospital admission per patient year was 2.6%, ranging from 0% in 2011 to 3.7% in 2016 (figure 1, table 2). The most common ICI drug exposure was to pembrolizumab, with 7574 (39.5%) patient years, followed by nivolumab with 7367 (38.4%) patient years, and ipilimumab 1561 (8.1%) patient years (table 2).

The incidence of irAEs requiring hospitalization as defined by hospitalization with new or escalated immunosuppression within 2 years of ICI initiation was 504/14378 patients (3.5%) (table 2). irAEs requiring hospitalization were identified in 372 (3.3%) patients on PD-1 therapy, 12 (1.1%) on PD-L1 therapy, 42 (3.9%) on CTLA-4 therapy, and 78 (7.3%) on combination CTLA-4 and PD-1 or PD-L1 therapy. The average time from start date of ICI treatment to irAE requiring hospitalization was 168.2 days (range: 1–722). Seven thousand five hundred eighty-seven (53%) patients were hospitalized in 16053 hospitalizations within 2 years of immunotherapy

initiation. The total number of patients that were hospitalized more than once was 3888 (27%). Patients with irAE requiring hospitalization had more total hospitalizations than those without irAE requiring hospitalization in the 2 years after ICI initiation (3.1 vs 1 hospitalizations, $p<0.001$). Length of stay was greater for patients with irAEs requiring hospitalization than those without irAE requiring hospitalization (6 vs 3.1 days, $p<0.001$). Patients with irAE requiring hospitalization were more likely to be in the ICU than those without (0.06 vs 0.02 ICU visits per patient, $p<0.001$).

Multivariable modeling

Regressions were performed on the incidence of irAEs requiring hospitalization, all cause hospitalizations, and ICU stay (tables 3–5). In each regression, female patients with lung cancer on PD-1/PD-L1 therapy were used as the reference group.

Severe irAE incidence regression (table 3): compared with the reference group, combination ICI therapy (anti-PD-1/PD-L1 and CTLA-4) was associated with increased odds of irAE requiring hospitalization (OR: 2.44, $p<0.001$). Older patients (OR 0.98 per additional year, $p<0.001$), patients with other cancers (OR 0.50, $p<0.001$), patients with melanoma (OR 0.71, $p=0.01$) and patients with RCC (OR 0.71, $p=0.03$) were associated with decreased odds of a irAE requiring hospitalization than the reference group.

Hospitalization incidence regression (table 4): compared with the reference group, anti-CTLA-4 therapy (OR: 2.34, $p<0.001$), combination ICI therapy (OR: 1.70, $p<0.001$), and higher non-cancer CCI (OR: 1.06, $p<0.001$) were associated with increased odds of all cause hospitalization. Patients with melanoma were associated with decreased odds of all cause hospitalization compared with the reference group (OR: 0.55, $p<0.001$). Region was also associated with all cause hospitalization, notably decreased in the Pacific region (OR: 0.69, $p<0.001$).

ICU stay incidence regression (table 5): compared with the reference group, older patients were associated with decreased odds of all cause admission to the ICU (OR: 0.97 per additional year, $p<0.001$). Patients with an irAE hospitalization (OR: 2.60, $p<0.001$), higher non-cancer CCI (OR: 1.07, $p=0.01$), and higher zip code average income (OR: 1.19, $p=0.01$) were associated with increased odds of all cause ICU admission than the reference group.

Immunosuppressant use in hospitalizations

Of the immunosuppressants identified in online supplemental table 1), prednisone (72%) and methylprednisolone (25%) accounted for the majority of immunosuppressant medications found in irAE hospitalizations. The remaining identified immunosuppressants each accounted for less than 1% immunosuppressive medications identified during an irAE hospitalization: azathioprine, cyclosporine, everolimus, infliximab, methotrexate, mycophenolate, sirolimus, and tacrolimus (table 6).

Table 2 Immune checkpoint inhibitor usage and immune-related adverse event (irAE) incidence over time

Event	Therapy class	2011	2012	2013	2014	2015	2016	2017	2018	2019	Total	% of total
Number of patients												
Pembrolizumab	PD-1	-	-	-	-	113	393	1341	2342	3385	7574	39.5%
Nivolumab	PD-1	-	-	-	-	422	1702	1652	1922	1669	7367	38.4%
Ipilimumab	CTLA-4	71	193	196	319	306	205	140	74	57	1561	8.1%
Nivolumab combination therapy		-	-	-	-	17	129	219	478	566	1409	7.3%
Atezolizumab	PD-L1	-	-	-	-	-	57	207	278	658	1200	6.3%
Avelumab	PD-L1	-	-	-	-	-	-	6	31	26	63	0.3%
Pembrolizumab combination therapy		-	-	-	-	-	-	2	1	-	3	0.0%
Number of irAEs												
Pembrolizumab	PD-1	-	-	-	-	-	13	36	67	50	166	32.9%
Nivolumab	PD-1	-	-	-	-	10	58	52	54	32	206	40.9%
Ipilimumab	CTLA-4	-	2	4	6	17	6	6	1	-	42	8.3%
Nivolumab combination therapy		-	-	-	-	-	-	16	11	30	21	78
Atezolizumab	PD-L1	-	-	-	-	-	-	-	4	5	2	11
Avelumab	PD-L1	-	-	-	-	-	-	-	-	1	-	1
<i>irAE/patient year</i>		0.0%		1.0%	2.0%	1.9%	3.1%	3.7%	3.1%	3.1%	1.7%	2.6%

CTLA-4, cytotoxic T lymphocyte-associated 4; PD-1, programmed cell death protein 1; PD-L1, programmed death-ligand 1.

**Table 3** Multivariate regression results for incidence of severe immune-related adverse event

	Estimate	SE	Statistic	P value	OR	OR SE
Patient characteristics						
Age	-0.02	0.00	-5.49	<0.001	0.98	0.05
Male gender	0.07	0.09	0.76	0.45	1.07	0.15
Charlson Comorbidity Index (excluding cancer)	0.03	0.02	1.61	0.11	1.03	0.23
Immune checkpoint inhibitor use						
CTLA-4 therapy	0.29	0.2	1.42	0.16	1.34	0.2
Combination ICI therapy	0.89	0.14	6.18	<0.001	2.44	0.11
Underlying conditions						
Other cancer	-0.69	0.16	-4.29	<0.001	0.5	0.03
Melanoma	-0.35	0.14	-2.45	0.01	0.71	0.21
Renal cell carcinoma	-0.35	0.16	-2.17	0.03	0.71	0.11
Regional information						
Zip code average income (normalized)	0.04	0.05	0.74	0.46	1.04	0.17
Zip code average unemployment (normalized)	-0.02	0.05	-0.39	0.7	0.98	0.16
East South Central	-0.25	0.3	-0.83	0.41	0.78	0.02
Mid-Atlantic	-0.17	0.15	-1.09	0.28	0.85	0.14
Mountain	-0.08	0.24	-0.32	0.75	0.93	0.19
New England	0.35	0.21	1.71	0.09	1.42	0.34
Pacific	-0.37	0.22	-1.68	0.09	0.69	0.14
South Atlantic	-0.18	0.15	-1.18	0.24	0.84	0.2
West North Central	0.23	0.24	0.96	0.34	1.26	0.12
West South Central	-0.04	0.17	-0.23	0.82	0.96	0.14

Note: reference group is female patients on programmed cell death protein 1 or programmed death-ligand 1 therapy with lung cancer in East North Central Region.

Other cancers include: bladder cancer, colon cancer, head and neck squamous cell carcinoma Hodgkin's lymphoma, gastric cancer, liver cancer, cervical cancer, and Merkel cell carcinoma.

CTLA-4, cytotoxic T lymphocyte-associated 4; ICI, immune checkpoint inhibitor .

DISCUSSION/CONCLUSION

ICIs have shown great promise in treating a variety of advanced cancers. However, activation of the immune system during treatment frequently leads to immune-related adverse events in many patients. This study describes the experience of ICI use and the incidence of irAEs requiring hospitalization for patients in a national Aetna insurance database, and proposes an algorithm to identify and study irAEs requiring hospitalization using claims data. The results of this study can be used to identify patients at higher risk of being admitted with irAE and enable analysis of other large claims databases to compare experience across payors and institutions.

Our study showed that the absolute incidence of irAEs requiring hospitalization in the study population increased over time from 2011 to 2019, a finding that is consistent with the increased use of ICI over that time period. We demonstrate that combination therapy is associated with increased odds of irAE requiring hospitalization, which is in agreement with prior findings that combination therapy³² and CTLA-4 therapy^{23, 33–35} are associated with higher incidence of irAEs relative to PD-1

or PD-L1 treatment. The incidence of irAEs requiring hospitalization in this study was 3.5% overall, with 3.3% for patients receiving PD-1, 1.1% for patients receiving PD-L1, 3.9% for patients receiving CTLA-4 therapy, and 7.3% for patients on combination therapy. These figures are lower compared with early estimates of clinical trial data, which reported high-grade irAEs in 6.3% of patients with PDL-1, 7.1% of patients taking PD-1, 21.5% of patients taking CTLA-4, and 54.8% in CLTA-4 and PD-1 combination therapy.³⁴ Similarly, a meta-analysis of phases II and III clinical trials showed incidence of grade 3 or 4 immune-related adverse events for atezolizumab, nivolumab, pembrolizumab, and ipilimumab of 15.1%, 14.1%, 19.8%, and 28.6%, respectively.³⁶ However, a recent publication identified a similar rate (3.6%) of high-grade (grade 3+) irAEs in a single-center experience with PD-1 therapy.²⁴ The lower rate found in our population relative to clinical trial data may be representative of less toxic ICI treatment regimens or reflect real-world treatment patterns and identification of high-grade events outside of clinical trial settings. Additionally, our study would not include irAE admissions where

Table 4 Multivariate regression results for incidence of hospitalization

Variable	Estimate	SE	Statistic	P value	OR	OR SE
Patient characteristics						
Age	0.00	0.00	0.81	0.42	1.00	0.01
Male gender	−0.02	0.03	−0.71	0.48	0.98	0.07
Charlson Comorbidity Index (excluding cancer)	0.06	0.01	7.19	<0.001	1.06	0.02
Immune checkpoint inhibitor use						
CTLA4 therapy	0.85	0.08	10.81	<0.001	2.34	0.04
Combination ICI therapy	0.53	0.07	7.55	<0.001	1.7	0.03
Underlying conditions						
Other cancer	−0.08	0.05	−1.59	0.11	0.93	0.06
Melanoma	−0.6	0.05	−11.39	<0.001	0.55	0.03
Renal cell carcinoma	−0.28	0.06	−4.75	<0.001	0.75	0.07
Regional information						
Zip code average income (normalized)	0.04	0.02	1.94	0.05	1.04	0.01
Zip code average unemployment (normalized)	0.04	0.02	2.28	0.02	1.05	0.07
East South Central						
Mid-Atlantic	−0.12	0.06	−2.11	0.04	0.89	0.03
Mountain	−0.33	0.09	−3.63	<0.001	0.72	0.05
New England	−0.16	0.09	−1.8	0.07	0.85	0.04
Pacific	−0.37	0.08	−4.93	<0.001	0.69	0.04
South Atlantic	−0.27	0.06	−4.89	<0.001	0.76	0.07
West North Central	−0.19	0.1	−1.87	0.06	0.83	0.04
West South Central	−0.19	0.06	−2.96	<0.01	0.83	0.05

Note: reference group is female patients on programmed cell death protein 1 or programmed death-ligand 1 therapy with lung cancer in East North Central Region.

Other cancers include: bladder cancer, colon cancer, head and neck squamous cell carcinoma Hodgkin's lymphoma, gastric cancer, liver cancer, cervical cancer, and Merkel cell carcinoma.

CTLA4, cytotoxic T lymphocyte-associated 4; ICI, immune checkpoint inhibitor.

immunosuppression is not indicated for treatment, such as endocrinopathies. In our study, average hospitalization length of stay for irAE patients was 6 days, which is consistent with previous study results.³² Our study reports that patients with severe irAEs were more likely to have an ICU admission (OR 2.60, $p < 0.001$), which is not a widely reported outcome in large scale ICI studies.³⁴

As ICI indications have expanded and use of ICIs has continued to grow, the percentage of patients with cancer eligible for ICI therapy has been estimated at up to 38.5% in 2019, representing up to 233 790 potential US ICI patients treated with standard of care therapy alone.⁶ If, as in our study population, 3.5% of patients receiving ICI therapy experience irAEs requiring hospitalization, that would represent approximately 8200 hospitalizations if all eligible patients received therapy—a population that is expected to grow.⁶ This increasing incidence of irAEs requiring hospitalization necessitates greater knowledge and training among emergency department, primary care, internal medicine, and specialty providers to accurately diagnose and treat severe immune-related adverse

events.³⁷ If these irAEs are not treated appropriately, there is risk of substantially increased morbidity and mortality.²⁶

Additionally, these data show that older age is protective of both irAEs requiring hospitalization and ICU stay. Previous studies have shown mixed results between age and the risk of irAE in ICI recipients, with alternate studies finding no association between age and irAEs,⁷ higher incidence with older patients³⁸ and higher incidence with younger patients.³⁹ With regards to the likelihood of ICU stay, our findings are similar to a single center study showing that patients <70 years old have a higher likelihood of an ICU stay as well as longer ICU stays than older patients.³⁸ This may be due to relatively less severe irAEs, immune senescence in older patients, differing goals of care, more cautious treatment in the elderly, lower proportion of combination ICI therapy in the elderly, or a combination of all. Male gender was not predictive of irAE, hospitalization, or ICU stay in our study. A study reviewing PD-1 therapy found a greater incidence of all grade irAEs in women, but no gender difference in high-grade irAEs, which is more similar to

**Table 5** Multivariate regression results for incidence of intensive care unit admission

	Estimate	SE	Statistic	P value	OR	OR SE
Patient characteristics						
Age	-0.03	0.00	-5.41	<0.001	0.97	0.00
Male gender	0.11	0.12	0.89	0.37	1.12	0.28
Charlson Comorbidity Index (excluding cancer)	0.06	0.03	2.36	0.02	1.06	0.07
Immune checkpoint inhibitor use						
CTLA4 therapy	0.36	0.25	1.47	0.14	1.44	0.29
Combination ICI therapy	0.21	0.22	0.96	0.34	1.23	0.46
Underlying conditions						
Other cancer	0.11	0.17	0.67	0.5	1.12	0.24
Melanoma	-0.07	0.19	-0.38	0.7	0.93	0.19
Renal cell carcinoma	0.11	0.2	0.55	0.58	1.12	0.03
Regional information						
Zip code average income (normalized)	0.17	0.06	2.72	0.01	1.19	0.08
Zip code average unemployment (normalized)	0.05	0.07	0.68	0.5	1.05	0.37
East South Central						
Mid-Atlantic	-0.08	0.2	-0.39	0.7	0.92	0.16
Mountain	0.21	0.29	0.7	0.48	1.23	0.25
New England	-0.58	0.37	-1.56	0.12	0.56	0.21
Pacific	0.15	0.25	0.6	0.55	1.16	0.14
South Atlantic	-0.1	0.21	-0.48	0.63	0.91	0.17
West North Central	0.11	0.35	0.31	0.76	1.11	0.27
West South Central	0.22	0.22	1	0.32	1.24	0.37

Note: reference group is female patients on programmed cell death protein 1 or programmed death-ligand 1 therapy with Lung Cancer in East North Central Region.

Other cancers include: bladder cancer, colon cancer, head and neck squamous cell carcinoma Hodgkin's lymphoma, gastric cancer, liver cancer, cervical cancer, and Merkel cell carcinoma.

CTLA4, cytotoxic T lymphocyte-associated 4; ICI, immune checkpoint inhibitor.

the irAE definition in this study.⁴⁰ This study also showed that severe irAEs are more frequent among patients with lung cancer than patients with RCC, melanoma, or other primary cancers. The difference in incidence may be due different provider experience using ICI therapy among these cancer types. Although previous studies have shown differences in type of irAEs for different primary cancers,³³ the current analysis of irAEs requiring hospitalization defines the overall likelihood of developing an irAE requiring hospitalization by primary cancer.

We show that by far the most frequent immunosuppressive treatments used with patients on ICIs in this study are prednisone (72%) and methylprednisolone (25%), with limited use of other immunosuppressants. This is consistent with guidance that grade 3+irAEs should be treated with prednisone or methylprednisolone, and other immunosuppressants such as infliximab should be reserved for those who do not respond to initial therapy.³ This result signifies that few (3%) hospitalizations for severe irAEs require immunosuppressive medication other than prednisone or methylprednisolone. These data imply that in our population, refractory irAEs requiring second line

immunosuppression are not common, and incidence of such refractory toxicities is likely low.

This analysis is limited by a retrospective study design, lack of comprehensive mortality data, and inability to review chart-level data to determine clinician interpretation of suspected irAEs. As a result, our definition of severe irAEs is likely overly strict and limited to patients with more severe events than what has been previously reported in literature. In particular, this definition of severe irAE used in this analysis would not include low-grade toxicities that did not result in hospitalization with new or escalated immunosuppression, and as such the results may not be generalizable to low-grade irAE and the overall number is likely underestimated. Additionally, the definition of severe irAE in this analysis is impacted by regional, hospital system, and individual provider capabilities and practice patterns; for example, the ability of a hospital to provide emergency care for an irAE in the inpatient setting. Also, as our inclusion criteria involve selecting for patients with cancer diagnosis codes in a post-treat matter, it may incur collider bias. In this first, population-level analysis of immunotherapy toxicities in

Table 6 Number of immune-related adverse events hospitalizations with administration of immunosuppressants

Immunosuppressant	Number of hospitalizations
Prednisone	454 (71.8%)
Methylprednisolone	160 (25.3%)
Mycophenolate	5 (0.8%)
Tacrolimus	3 (0.5%)
Cyclosporine	2 (0.3%)
Everolimus	2 (0.3%)
Infliximab	2 (0.3%)
Sirolimus	2 (0.3%)
Azathioprine	1 (0.2%)
Methotrexate	1 (0.2%)
Basiliximab	0
IVIg	0
Leflunomide	0
Rituximab	0
Tocilizumab	0
Vedolizumab	0

IVIg, Intravenous immunoglobulin.

the USA, we describe a method of querying large, insurance claims databases for suspected high-grade irAEs and identify the incidence, risk factors, and outcomes associated with severe irAEs. We further identified patient factors that can be used to predict the likelihood of severe irAEs and lay the methodological basis for future research on this topic utilizing insurance claims data. Additionally, we described that patients with an identified irAE hospitalization have more total hospitalizations in the 2 years after starting ICI (3.1 vs 1 hospitalizations), and the length of stay for irAE hospitalizations is double that for non-irAE hospitalizations (6 vs 3.1 days). This, coupled with the increasing incidence of ICI use, underscore the importance of understanding the likelihood of and factors related to severe irAEs and large, population-level databases will enable researchers to answer important questions regarding risk factors and outcomes for severe irAEs that cannot be answered in clinical trials or with individual studies. It is critical that we build on this study to further refine queries and that open-access is granted to replicate these important findings across other databases.

Author affiliations

¹Harvard Medical School, Boston, Massachusetts, USA

²Harvard Business School, Boston, Massachusetts, USA

³Department of Dermatology, Massachusetts General Hospital, Boston, Massachusetts, USA

⁴Department of Dermatology, Johns Hopkins University School of Medicine, Baltimore, Maryland, USA

⁵Department of Biomedical Informatics, Harvard Medical School, Boston, Massachusetts, USA

⁶Department of Oncology, Massachusetts General Hospital Cancer Center, Boston, Massachusetts, USA

⁷Department of Medicine, Dana-Farber Cancer Institute, Boston, Massachusetts, USA

Acknowledgements The authors thank Susan Churchill of the Department of Biomedical Informatics in the Blavatnik Institute at Harvard Medical School and Kathe Fox of Aetna for providing assistance with claims data access.

Contributors MK and WM contributed equally to this manuscript. KLR and YRS contributed equally to this manuscript. MK, WM, SW, VP, and CL performed analyses, contributed to study design, and drafted the manuscript. K-HY, FW, LZ, VN, AG, SK, KLR, and YRS contributed to study design, and manuscript review.

Funding This work was supported by the National Institutes of Health: 5T32GM007309, T32GM007753, and F30CA224588 awarded to Wongvibulsin, S and Kalinich, M. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health. Yu, KH is supported in part by the Blavatnik Center for Computational Biomedicine Award.

Competing interests None declared.

Patient consent for publication Not required.

Ethics approval The Harvard Medical School Institutional Review Board granted blanket approval for the use of the de-identified claims database.

Provenance and peer review Not commissioned; externally peer reviewed.

Data availability statement Data may be obtained from a third party and are not publicly available. The data are licensed unidentifiable insurance claims data from a national insurer.

Supplemental material This content has been supplied by the author(s). It has not been vetted by BMJ Publishing Group Limited (BMJ) and may not have been peer-reviewed. Any opinions or recommendations discussed are solely those of the author(s) and are not endorsed by BMJ. BMJ disclaims all liability and responsibility arising from any reliance placed on the content. Where the content includes any translated material, BMJ does not warrant the accuracy and reliability of the translations (including but not limited to local regulations, clinical guidelines, terminology, drug names and drug dosages), and is not responsible for any error and/or omissions arising from translation and adaptation or otherwise.

Open access This is an open access article distributed in accordance with the Creative Commons Attribution Non Commercial (CC BY-NC 4.0) license, which permits others to distribute, remix, adapt, build upon this work non-commercially, and license their derivative works on different terms, provided the original work is properly cited, appropriate credit is given, any changes made indicated, and the use is non-commercial. See <http://creativecommons.org/licenses/by-nc/4.0/>.

ORCID iD

William Murphy <http://orcid.org/0000-0002-8884-3760>

REFERENCES

- Schadendorf D, Hodi FS, Robert C, *et al.* Pooled analysis of long-term survival data from phase II and phase III trials of ipilimumab in unresectable or metastatic melanoma. *J Clin Oncol* 2015;33:1889–94.
- Gong J, Chehrizi-Raffle A, Reddi S, *et al.* Development of PD-1 and PD-L1 inhibitors as a form of cancer immunotherapy: a comprehensive review of registration trials and future considerations. *J Immunother Cancer* 2018;6:8.
- Brahmer JR, Lacchetti C, Schneider BJ, *et al.* Management of immune-related adverse events in patients treated with immune checkpoint inhibitor therapy: American Society of clinical oncology clinical practice guideline. *J Clin Oncol* 2018;36:1714–68.
- Das S, Johnson DB. Immune-Related adverse events and anti-tumor efficacy of immune checkpoint inhibitors. *J Immunother Cancer* 2019;7:306.
- Haslam A, Prasad V. Estimation of the percentage of US patients with cancer who are eligible for and respond to checkpoint inhibitor immunotherapy drugs. *JAMA Netw Open* 2019;2:e192535.
- Haslam A, Gill J, Prasad V. Estimation of the percentage of US patients with cancer who are eligible for immune checkpoint inhibitor drugs. *JAMA Netw Open* 2020;3:e200423.
- Ksienski D, Wai ES, Croteau NS, *et al.* Association of age with differences in immune related adverse events and survival of patients with advanced nonsmall cell lung cancer receiving pembrolizumab or nivolumab. *J Geriatr Oncol* 2020;11:807–13.



- 8 Postow MA, Sidlow R, Hellmann MD. Immune-Related adverse events associated with immune checkpoint blockade. *N Engl J Med* 2018;378:158–68.
- 9 Kosche C, Stout M, Sosman J, et al. Dermatomyositis in a patient undergoing nivolumab therapy for metastatic melanoma. *Melanoma Res. September* 2019;1.
- 10 Sosa A, Lopez Cadena E, Simon Olive C, et al. Clinical assessment of immune-related adverse events. *Ther Adv Med Oncol* 2018;10:175883591876462–11.
- 11 Wang DY, Salem J-E, Cohen JV, et al. Fatal toxic effects associated with immune checkpoint inhibitors: a systematic review and meta-analysis. *JAMA Oncol* 2018;4:1721–8.
- 12 Weber JS, Kähler KC, Hauschild A. Management of immune-related adverse events and kinetics of response with ipilimumab. *J Clin Oncol* 2012;30:2691–7.
- 13 Inno A, Metro G, Bironzo P, et al. Pathogenesis, clinical manifestations and management of immune checkpoint inhibitors toxicity. *Tumori* 2017;103:405–21.
- 14 Puzanov I, Diab A, Abdallah K, et al. Managing toxicities associated with immune checkpoint inhibitors: consensus recommendations from the Society for immunotherapy of cancer (SITC) toxicity management Working group. *J. immunotherapy cancer* 2017;5:1–28.
- 15 Geisler AN, Phillips GS, Barrios DM, et al. Immune checkpoint inhibitor-related dermatologic adverse events. *J Am Acad Dermatol* 2020;83:1255–68.
- 16 Schadendorf D, Wolchok JD, Hodi FS, et al. Efficacy and safety outcomes in patients with advanced melanoma who discontinued treatment with nivolumab and ipilimumab because of adverse events: a pooled analysis of randomized phase II and III trials. *J Clin Oncol* 2017;35:3807–14.
- 17 Hassel JC, Heinzerling L, Aberle J, et al. Combined immune checkpoint blockade (anti-PD-1/anti-CTLA-4): evaluation and management of adverse drug reactions. *Cancer Treat Rev* 2017;57:36–49.
- 18 Rudzki JD. Management of adverse events related to checkpoint inhibition therapy. *Memo* 2018;11:132–7.
- 19 Puzanov I, Diab A, Abdallah K, et al. Managing toxicities associated with immune checkpoint inhibitors: consensus recommendations from the Society for immunotherapy of cancer (SITC) toxicity management Working group. *J. immunotherapy cancer* 2017;5.
- 20 Jamal S, Hudson M, Fifi-Mah A, et al. Immune-Related adverse events associated with cancer immunotherapy: a review for the practicing rheumatologist. *J Rheumatol* 2020;47:166–75.
- 21 Hsiehchen D, Watters MK, Lu R, et al. Variation in the assessment of immune-related adverse event occurrence, grade, and timing in patients receiving immune checkpoint inhibitors. *JAMA Netw Open* 2019;2:e1911519.
- 22 Weber JS, Hodi FS, Wolchok JD, et al. Safety profile of nivolumab monotherapy: a pooled analysis of patients with advanced melanoma. *J Clin Oncol* 2017;35:785–92.
- 23 Chen TW, Razak AR, Bedard PL, et al. A systematic review of immune-related adverse event reporting in clinical trials of immune checkpoint inhibitors. *Ann Oncol* 2015;26:1824–9.
- 24 Eun Y, Kim IY, Sun J-M, et al. Risk factors for immune-related adverse events associated with anti-PD-1 pembrolizumab. *Sci Rep* 2019;9:1–8.
- 25 Wang P-F, Chen Y, Song S-Y, et al. Immune-Related adverse events associated with anti-PD-1/PD-L1 treatment for malignancies: a meta-analysis. *Front Pharmacol* 2017;8:730.
- 26 Baxi S, Yang A, Gennarelli RL, et al. Immune-Related adverse events for anti-PD-1 and anti-PD-L1 drugs: systematic review and meta-analysis. *BMJ* 2018;360:k793.
- 27 Komaki Y, Komaki F, Yamada A, et al. Meta-Analysis of the risk of immune-related adverse events with Anticytotoxic T-Lymphocyte-Associated antigen 4 and Antiprogrammed death 1 therapies. *Clin Pharmacol Ther* 2018;103:318–31.
- 28 Narayan P, Wahby S, Gao JJ, et al. Fda approval summary: Atezolizumab plus paclitaxel protein-bound for the treatment of patients with advanced or metastatic TNBC whose tumors express PD-L1. *Clin Cancer Res* 2020;26:2284–9.
- 29 Vaddepally RK, Kharel P, Pandey R, et al. Review of indications of FDA-approved immune checkpoint inhibitors per NCCN guidelines with the level of evidence. *Cancers* 2020;12:738.
- 30 Haslam A, Prasad V. Estimation of the percentage of US patients with cancer who are eligible for and respond to checkpoint inhibitor immunotherapy drugs. *JAMA Netw Open* 2019;2:e192535.
- 31 Quan H, Sundararajan V, Halfon P, et al. Coding algorithms for defining comorbidities in ICD-9-CM and ICD-10 administrative data. *Med Care* 2005;43:1130–9.
- 32 Balaji A, Zhang J, Wills B, et al. Immune-Related adverse events requiring hospitalization: spectrum of toxicity, treatment, and outcomes. *J Oncol Pract* 2019;15:e825–34.
- 33 Khoja L, Day D, Wei-Wu Chen T, et al. Tumour- and class-specific patterns of immune-related adverse events of immune checkpoint inhibitors: a systematic review. *Ann Oncol* 2017;28:2377–85.
- 34 El Osta B, Hu F, Sadek R, et al. Not all immune-checkpoint inhibitors are created equal: meta-analysis and systematic review of immune-related adverse events in cancer trials. *Crit Rev Oncol Hematol* 2017;119:1–12.
- 35 Wang LX, Quach HT, Moodabigil NV, et al. Health care utilization and steroid-refractory toxicities from immune checkpoint inhibitors. *Cancer* 2020;126:322–8.
- 36 Xu C, Chen Y-P, Du X-J, et al. Comparative safety of immune checkpoint inhibitors in cancer: systematic review and network meta-analysis. *BMJ* 2018;7:k4226.
- 37 Johnson DB, Reynolds KL, Sullivan RJ, et al. Immune checkpoint inhibitor toxicities: systems-based approaches to improve patient care and research. *Lancet Oncol* 2020;21:e398–404.
- 38 Ahmed T, Lycan T, Dothard A, et al. Performance status and age as predictors of immunotherapy outcomes in advanced Non-Small-Cell lung cancer. *Clin Lung Cancer* 2020;21:e286–93.
- 39 Shah KP, Song H, Ye F, et al. Demographic factors associated with toxicity in patients treated with Anti-Programmed cell death-1 therapy. *Cancer Immunol Res* 2020;8:851–5.
- 40 Duma N, Abdel-Ghani A, Yadav S, et al. Sex differences in tolerability to Anti-Programmed cell death protein 1 therapy in patients with metastatic melanoma and Non-Small cell lung cancer: are we all equal? *Oncologist* 2019;24:e1148.

Supplementary Table 1: List of immunosuppressive medications

Adalimumab
 Azathioprine
 Everolimus
 Infliximab
 IVIG
 Jakafi
 Leflunomide
 Methotrexate
 Methylprednisolone
 Mycophenolate
 Prednisone
 Rituximab
 Sirolimus
 Tacrolimus
 Tocilizumab
 Vedolizumab

Supplementary Table 2: Outpatient immunosuppressive claims in the 14 days prior to hospitalization

	Number of outpatient prescriptions	% of all outpatient immunosuppressive prescriptions
Adalimumab	1	0.1%
Azathioprine	2	0.3%
Everolimus	9	1.2%
Infliximab	14	1.8%
IVIG	4	0.5%
Jakafi	2	0.3%
Leflunomide	0	0.0%
Methotrexate	9	1.2%
Methylprednisolone	289	37.1%
Mycophenolate	3	0.4%
Prednisone	416	53.3%
Rituximab	9	1.2%
Sirolimus	13	1.7%
Tacrolimus	4	0.5%
Tocilizumab	1	0.1%
Vedolizumab	4	0.5%
Total	780	

Supplementary Table 3: ICI length of treatment by decile of life

Decade of age	n	Mean ICI Length (Days)	SD ICI Length (Days)
0	1	24	NA
10	8	243.50	290.05
20	102	201.96	273.36
30	300	191.70	253.91
40	786	197.79	269.46
50	2476	173.01	234.43
60	4579	156.95	213.18
70	4008	161.38	215.76
80	1903	149.74	199.55
90	215	145.29	197.66

Spearman coefficient: $r=-0.05$

$p < 0.001$

Supplementary Table 4: Combination ICI treatment by decile of life

Decade of age	n	Patients receiving combination ICI therapy	% patients receiving combination ICI therapy
0	1	0	0.0%
10	8	0	0.0%
20	102	16	15.7%
30	300	45	15.0%
40	786	94	12.0%
50	2476	255	10.3%
60	4579	354	7.7%
70	4008	241	6.0%
80	1903	55	2.9%
90	215	3	1.4%

Supplementary Table 1: List of immunosuppressive medications

Adalimumab
 Azathioprine
 Everolimus
 Infliximab
 IVIG
 Jakafi
 Leflunomide
 Methotrexate
 Methylprednisolone
 Mycophenolate
 Prednisone
 Rituximab
 Sirolimus
 Tacrolimus
 Tocilizumab
 Vedolizumab

Supplementary Table 2: Outpatient immunosuppressive claims in the 14 days prior to hospitalization

	Number of outpatient prescriptions	% of all outpatient immunosuppressive prescriptions
Adalimumab	1	0.1%
Azathioprine	2	0.3%
Everolimus	9	1.2%
Infliximab	14	1.8%
IVIG	4	0.5%
Jakafi	2	0.3%
Leflunomide	0	0.0%
Methotrexate	9	1.2%
Methylprednisolone	289	37.1%
Mycophenolate	3	0.4%
Prednisone	416	53.3%
Rituximab	9	1.2%
Sirolimus	13	1.7%
Tacrolimus	4	0.5%
Tocilizumab	1	0.1%
Vedolizumab	4	0.5%
Total	780	

Supplementary Table 3: ICI length of treatment by decile of life

Decade of age	n	Mean ICI Length (Days)	SD ICI Length (Days)
0	1	24	NA
10	8	243.50	290.05
20	102	201.96	273.36
30	300	191.70	253.91
40	786	197.79	269.46
50	2476	173.01	234.43
60	4579	156.95	213.18
70	4008	161.38	215.76
80	1903	149.74	199.55
90	215	145.29	197.66

Spearman coefficient: $r=-0.05$

$p < 0.001$

Supplementary Table 4: Combination ICI treatment by decile of life

Decade of age	n	Patients receiving combination ICI therapy	% patients receiving combination ICI therapy
0	1	0	0.0%
10	8	0	0.0%
20	102	16	15.7%
30	300	45	15.0%
40	786	94	12.0%
50	2476	255	10.3%
60	4579	354	7.7%
70	4008	241	6.0%
80	1903	55	2.9%
90	215	3	1.4%