Association between body mass index, dosing strategy, and efficacy of immune checkpoint inhibitors

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ABSTRACT

Background Increased body mass index (BMI) has been associated with improved response to immune checkpoint inhibitors (ICIs) in multiple cancer types. We evaluated associations between BMI, ICI dosing strategy, and clinical outcomes.

Methods We abstracted clinical data on patients with cancer treated with ICI, including age, sex, cancer type, BMI, ICI type, dosing strategy (weight-based or fixed), radiographic response, overall survival (OS), and progression-free survival (PFS). We compared clinical outcomes between low-BMI and high-BMI populations using Kaplan-Meier curves, Cox regressions, and Pearson product-moment correlation coefficients.

Results A total of 297 patients were enrolled, of whom 40% were women and 59% were overweight (BMI≥25). Of these, 204 (69%) received fixed and 93 (31%) received weight-based ICI dosing. In the overall cohort, overweight BMI was associated with improved PFS (HR 0.69; 95% CI 0.51 to 0.94; p=0.02) and had a trend toward improved OS (HR 0.77; 95% CI 0.57 to 1.04; p=0.08). For both endpoints, improved outcomes in the overweight population were limited to patients who received weight-based ICI dosing (PFS HR 0.53; p=0.04 for weight-based; vs HR 0.79; p=0.2 for fixed dosing); OS HR 0.56; p=0.03 for weight-based; vs HR 0.89; p=0.54 for fixed dosing). In multivariable analysis, BMI was not associated with PFS or OS. However, the interaction of BMI≥25 and weight-based dosing had a trend toward association with PFS (HR 0.53; 95% CI 0.26 to 1.08; p=0.09) and was associated with OS (HR 0.50; 95% CI 0.25 to 0.99; p=0.05). Patients with BMI<25 tended to have better outcomes with fixed-dose compared with weight-based ICI, while patients with BMI≥25 tended to have better outcomes with weight-based ICI, although these differences did not achieve statistical significance. There was no association between radiographic response and BMI with fixed-dose ICI (p=0.97), but a near-significant trend with weight-based ICI (p=0.1). In subset analyses, the association between BMI, ICI dosing strategy, and clinical outcomes appeared limited to men.

Conclusions The clinical benefit of ICI in high-BMI populations appears limited to individuals receiving weight-based ICI dosing. Further research into optimal ICI dosing strategies may be warranted.

In recent years, immune checkpoint inhibitors (ICI) have markedly transformed the treatment of advanced cancers. Although these therapies have led to improved outcomes for a subset of patients, a substantial proportion of patients do not receive benefit. Accordingly, the identification of individuals most likely to benefit from these costly and potentially toxic therapies represents a major area of investigation. To date, the most established predictive markers reflect tumor biology, including programmed death l antigen (PD-L1) expression, microsatellite instability, and mutational burden.

Additionally, certain clinical characteristics are associated with efficacy of ICI. Exposure to steroids has been linked to inferior outcomes, although the extent to which this observation reflects direct immunosuppressive effects versus an indicator of poor prognosis remains unclear. Antibiotic exposure may also portend reduced benefit from ICI, an association attributed to effects on the gut microbiome. In lung cancer, past or current smoking is associated with high tumor mutational burden, which in turn confers benefit from ICI.

Body mass index (BMI) has also been associated with ICI efficacy, with overweight and obese patients having the best outcomes. Such observations stand in contrast to the longstanding and predominant view that obesity promotes tumor development and progression, thereby conveying worse prognosis in oncology populations. In studies in melanoma, lung cancer, and kidney cancer, overweight and/or obese patients receiving immunotherapy have superior outcomes compared with individuals with lower BMI. Conversely, BMI-associated benefits are not observed with conventional
ICI (generally anti-lymphocyte administration of both weight-received ICI combinations featuring concurrent efficacy and tolerability. In cases where patients may have received initial ICI therapy drives treatment outcomes, with treatment according to initial ICI treatment. That is, if a weight-based designation of ‘overweight’).14 We categorized ICI dosing dichotomized BMI as <25 (threshold for WHO normal weight due to cancer-associated weight loss, and less aggressive disease among obese individuals.18–23 ICI dosing approaches differ across agents and have changed over time. While initially these therapies were primarily dosed according to patient weight, more recently a number of commonly used ICI have adopted fixed-dose regimens. We therefore analyzed dosing strategy, BMI, and outcomes in a cohort of patients with cancer treated with ICI.

METHODS
Patient selection and study procedures
This study was conducted within a prospective registry of cancer immunotherapy approved by the UT Southwestern Institutional Review Board (IRB #STU 082015-053). We identified patients with a confirmed cancer diagnosis who initiated ICI therapy (PD1, PD-L1 and CTLA4 inhibitors) for active disease between November 2015 (registry initiation) and December 2019 at UT Southwestern Medical Center. Other key inclusion criteria included no prior therapy, BMI, and outcomes in a cohort of patients with cancer treated with ICI.

Clinical data collection and characterization
For enrolled subjects, we collected the following data: BMI; ICI dosing strategy (weight-based or fixed); age; sex; race/ethnicity; cancer type; type and dates of ICI therapy; type of concurrent/sequential therapy; radiographic response (using Response Evaluation Criteria in Solid Tumors (RECIST) V.1.1). For BMI determination, we obtained patient weight and height on the day of ICI initiation from the electronic health record. We calculated BMI as weight (in kilograms) divided by the square root of height (in meters). Based on BMI distribution across the study population and consistent with prior studies, we dichotomized BMI as <25 and ≥25 (threshold for WHO designation of ‘overweight’).14 We categorized ICI dosing strategy according to initial ICI treatment. That is, if a patient started treatment with weight-based dosing and then changed to fixed dosing, we considered the case as weight-based dosing. We selected this approach because initial ICI therapy drives treatment outcomes, with treatment continued only if early evaluation suggests efficacy and tolerability. In cases where patients may have received ICI combinations featuring concurrent administration of both weight-based (generally anti-cytotoxic T lymphocyte antigen 4 (CTLA4) therapy) and fixed-dose ICI (generally anti-PD1/PDL1), we categorized the case as fixed dose because sensitivity analyses excluded CTLA4 therapy. Palliative radiation therapy for control of cancer-related symptoms or complications was not considered concurrent or sequential therapy. For efficacy assessments, we used the most recent available cross-sectional imaging study (most commonly CT) before ICI initiation as a baseline.

Statistical analysis
Progression-free survival (PFS) times were computed from the date of ICI initiation to the date of radiographic or clinical progression (assessed by treating clinician) or death, or censored at last known evaluation. Overall survival (OS) was computed from ICI initiation to date of death or censored at last known contact. Best radiographic response was computed as the percent change between the smallest measured sum of tumor diameters after baseline and the sum of diameters at baseline. The fixed dose equivalent for each patient was computed by dividing their total administered dose/week (accounting for weight, where applicable) by the standard fixed dose/week regimen for the primary ICI agent (anti-PD1/PD1 in combinations with anti-CTLA4). A fixed dose equivalent of 1 corresponded to a standard fixed-dose regimen. We computed this value only for patients whose primary ICI agent had both weight-based and fixed-dose regimens during the study: durvalumab, nivolumab, and pembrolizumab.

Kaplan-Meier curves and Cox regressions (including associated statistics) were generated using the R survival package (V.3.1-8). P values between survival curves were computed using the log-rank test. P values for box and whisker plots and for best radiographic response were generated using a Mann-Whitney U test to compare patients with BMI<25 and BMI≥25 in the same category. P values in table 1 were generated by comparing the BMI<25 and BMI≥25 groups using Fisher’s exact test for categorical variables and t-tests for continuous variables. All computation was performed using R (V.3.6.3).

RESULTS
Patient characteristics
A total of 297 patients were included in this study. Median age was 68 years, and 120 (40%) were women. Additional case characteristics are shown in table 1. For the 82 cases (27%) designated as ‘other’ cancer type, specific diagnoses were as follows: renal cell carcinoma (n=20), head and neck squamous cell carcinoma (n=21), small cell lung cancer (n=13), mesothelioma (n=4), pancreatic cancer (n=4), rectal cancer (n=3), urothelial cancer (n=3), hepatocellular carcinoma (n=1), soft tissue sarcoma (n=1), brain cancer (n=1), ovarian cancer (n=1), cervical cancer (n=1), uterine cancer (n=1), breast cancer (n=1), non-Hodgkin’s lymphoma (n=1), thyroid cancer (n=1), skin squamous cancer (n=1), cholangiocarcinoma (n=1), adenoid cystic carcinoma (n=1), sinonasal cancer (n=1), and unknown primary (n=1). The 78 cases
that were classified as concurrent or sequential therapy received the following treatments: concurrent chemotherapy (n=49), concurrent/sequential (chemo) radiation (n=27), concurrent targeted therapy (n=2). Patient BMI was distributed as follows: BMI<25 (n=121, 41%), BMI \(\geq\) 25 (n=176, 59%). BMI was significantly associated with gender (men>women) and cancer type (melanoma>other).

Among the enrolled patients, 204 (69%) received fixed-dose ICI, and 93 (31%) received weight-based ICI. A total of 39 patients (15%) received combination ICI (all anti-CTLA4+anti-PD1/PDL1, generally ipilimumab+nivolumab). Of these cases, 28 received weight-based dosing for both drugs. The remaining 12 patients received weight-based ipilimumab plus fixed-dose nivolumab and were characterized as fixed-dose ICI. There was a clear temporal association with dosing approach. The first ICI approved for fixed-dose administration was nivolumab.\(^{25}\)

Prior to this point, only 1 out of 30 patients (3%) in our cohort initiated on ICI received fixed-dose ICI. After this point, 208 out of 269 patients (77%) received fixed-dose ICI. Cox regression analyses are shown in table 2. In univariate analysis, higher BMI and melanoma diagnosis had improved PFS. Younger age and melanoma diagnosis had improved OS. While BMI had a significant or near-significant association with PFS and OS in univariable analysis, there was no association with either endpoint in multivariable analysis. However, the interaction of BMI and weight-based dosing had a near-significant trend toward association with PFS and was significantly associated with OS.

Because melanoma cases had significantly higher BMI and better clinical outcomes than other cancer types, compared with 305 days for the BMI\(\geq\)25 group (HR 0.69; 95% CI 0.51 to 0.94; p=0.02). Median OS was 414 days in the BMI<25 group compared with 503 days in the BMI\(\geq\)25 group (HR 0.77; 95% CI 0.57 to 1.04; p=0.08).

Figure 2 displays PFS and OS according to BMI in weight-based and fixed-dose cohorts. With weight-based dosing, overweight patients (BMI\(\geq\)25) had significantly improved PFS and OS compared with the BMI<25 group. Specifically, median PFS was 81 days for BMI<25 vs 406 days for BMI\(\geq\)25 (HR 0.53; 95% CI 0.3 to 0.96; p=0.04). Median OS was 158 days for BMI<25 vs 742 days for BMI\(\geq\)25 (HR 0.56; 95% CI 0.33 to 0.95; p=0.03). By contrast, we observed no difference in outcomes according to BMI with fixed dosing: PFS (HR 0.79; 95% CI 0.54 to 1.14; p=0.2); OS (HR 0.89; 95% CI 0.62 to 1.29; p=0.54).

### Clinical outcomes

Median follow-up in the study population was 323 days (IQR 159–575 days). In the overall cohort, patients with higher BMI had improved outcomes with ICI therapy (figure 1). Median PFS was 160 days in the BMI<25 group compared with 305 days for the BMI\(\geq\)25 group (HR 0.69; 95% CI 0.51 to 0.94; p=0.02). Median OS was 414 days in the BMI<25 group compared with 503 days in the BMI\(\geq\)25 group (HR 0.77; 95% CI 0.57 to 1.04; p=0.08).

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we performed the same analyses in the non-melanoma cohort (n=240) (online supplemental figures 1 and 2). We obtained similar results. The BMI≥25 group had superior PFS compared with the BMI<25 group with weight-based dosing (HR 0.53; 95% CI 0.28 to 1.00; p=0.05) but not with fixed dosing (HR 0.82; 95% CI 0.55 to 1.2; p=0.3). The BMI≥25 group had nearly significantly superior OS compared with the BMI<25 group with weight-based dosing (HR 0.57; 95% CI 0.32 to 1.02; p=0.06) but not with fixed dosing (HR 1.01; 95% CI 0.69 to 1.48; p=0.95).

Similarly, because CTLA4 therapies are most commonly used for melanoma treatment and are only administered by weight-based dosing, we also repeated the analyses after removing cases treated with anti-CTLA4 ICI alone or in combination. In the resulting cohort (n=258), our findings did not differ meaningfully from the overall study population. BMI≥25 had better PFS in the overall cohort (p=0.02) and the weight-based dosing group (p=0.04), but not in the fixed-dose group (p=0.2). For OS, BMI≥25 had a trend toward superior outcomes (p=0.1). For weight-based dosing, BMI≥25 had a trend toward superior OS (p=0.08). However, there was no difference in OS according to BMI with fixed-dose ICI (p=0.44).

When one considers outcomes according to dosing strategy for each BMI category (online supplemental figure 3), patients with BMI<25 tended to have better outcomes with fixed-dose compared with weight-based ICI (median PFS 199 vs 81 days; p=0.16; median OS 455 vs 158 days; p=0.04). Conversely, patients with BMI≥25 had numerically better outcomes with weight-based compared with fixed-dose ICI, although these differences were not significant (median PFS 406 vs 264 days; p=0.39; median OS 742 vs 502 days; p=0.47).

Because underweight BMI has been associated with inferior clinical outcomes in multiple cancer types, we examined whether these patients could be driving our observed results.26–28 However, using the WHO definition of BMI<18.5, we identified only nine patients (3%) in this category. As would be expected given such small numbers, sensitivity analysis performed after removing these cases demonstrated no meaningful differences from our overall findings (online supplemental figure 4).

Earlier reports have found that the association between BMI and immunotherapy outcomes may be limited to male patients.14 Accordingly, we performed our analyses in female-only and male-only cohorts (online supplemental figures 5–7). Overall, in men, we observed a significant difference according to BMI for both PFS (p=0.03) and OS (p=0.002). However, for women, there was no significant difference according to BMI for PFS (p=0.51) or OS (p=0.72). Among men, there was no significant difference according to BMI with fixed dosing (PFS p=0.35; OS p=0.25). However, with weight-based dosing, patients with BMI≥25 had significantly better PFS (p=0.02) and OS (p<0.001). Among women, there was no difference in PFS or OS according to BMI, regardless of ICI dosing strategy.

Earlier studies have suggested discrete effects of obese (in contrast to overweight) status on BMI outcomes, with some reports identifying further benefit and others noting less advantage.14 20 In the present study, obese and overweight individuals had similar clinical outcomes (online supplemental figure 8).

Figure 3 displays the best radiographic response measured by RECIST according to BMI in the overall cohort and separate weight-based and fixed-dose cohorts. With weight-based dosing, there was a trend toward a greater reduction in tumor measurements in patients with BMI≥25 (p=0.1). With fixed dosing, there was no association between BMI and radiographic response (p=0.97).

To investigate further the association between dosing methods and outcomes, we analyzed fixed-dose equivalents according to BMI in all patients and in weight-based and fixed-dose cohorts (figure 4). In the overall cohort, patients with a BMI≥25 had a significantly greater dose equivalent fraction (p=0.003). In the weight-based dosing cohort, the difference in dose exposure was more pronounced and had a near significant trend (p=0.08).

DISCUSSION

In recent years, BMI has joined the ranks of smoking history, steroid exposure, antibiotic use, HLA type, and...
tumor characteristics (including PDL1 expression, mutational burden, and microsatellite instability) as a potential predictor of immunotherapy efficacy. Specifically, patients with higher BMI, whether categorized as overweight (BMI 25–29) or obese (BMI ≥ 30) have been shown to have more favorable outcomes from checkpoint inhibitors than patients with lower BMI.12 14–17 In the present study, we examined clinical outcomes not only according to patient BMI, but also according to ICI dosing strategy (which differs among ICI types and has changed over time).

As with prior studies, we identified improved outcomes in overweight patients. However, this benefit appeared limited to those patients who received weight-based ICI and was not apparent in patients who received fixed-dose ICI. This benefit spanned all efficacy endpoints, including radiographic response, PFS, and OS. Furthermore, patients with BMI <25 tended to have better outcomes with fixed-dose compared with weight-based ICI, while patients with BMI ≥25 tended to have better outcomes with weight-based compared with fixed-dose ICI. These observations are all the more noteworthy because the weight-based ICI cohort represented less than one-third of the overall study population, suggesting that the statistically significant differences in outcomes reflect large effect sizes. Removal of outlying subgroups, such as patients with melanoma, patients receiving anti-CTLA4 therapy, or underweight patients, did not alter our findings. Additionally, although the use of fixed-dose ICI has coincided to some extent with approvals for combination regimens incorporating chemotherapy, targeted therapy, and/or radiation therapy, controlling for receipt of sequential or concurrent therapies did not impact results.

To place these observations in context, it is worth reviewing the evolution of anti-PD1/PDL1 therapy dosing. For the anti-PD1 agent pembrolizumab, clinical trials in melanoma and lung cancer initially employed two weight-based doses—2 and 10 mg/kg intravenous31—which were found to be equivalent in a lung cancer trial.31 Subsequent trials and indications of pembrolizumab used a fixed dose of 200 mg intravenous every 3 weeks, with the approval of 400 mg intravenous every 6 weeks in 2020.32 The anti-PD1 agent nivolumab was initially dosed by weight (3 mg/kg), but since 2016 has been available as fixed dose (240 mg every 2 weeks) and more recently as 480 mg every 4 weeks.25 33 34

Because most ICI clinical trials did not collect intensive, serial time-course pharmacokinetic samples, the potential application of fixed dosing has been investigated using population pharmacokinetics.33 35 For pembrolizumab, after establishing the range of exposures from dose regimens with comparable efficacy and tolerability (ranging from 5th percentile of 2 mg/kg q3wks to 95th percentile of 10 mg/kg q2wks), it was determined that a fixed dose of 200 mg/kg q3wks would have substantial overlap with

![Figure 2](image-url)
the 2 mg/kg q3wks dose. While fixed-dose nivolumab and pembrolizumab simplifies prescribing, preparation, and inventory, potentially improving safety by reducing dosing errors, a number of studies have projected that fixed-dose results in increased drug costs, translating to a difference of hundreds of thousands of dollars annually across the growing population of patients eligible for ICI.37–39 Other concerns include inadequate accounting for the complexity of dose modeling for checkpoint inhibitors (which often include an immune-related biomarker such as IL-2 release as well as translational PK/PD response models from preclinical studies) and insufficient power to compare directly outcomes from 2 and 10 mg/kg pembrolizumab dose cohorts in clinical trials.40 It is also possible that 10 mg/kg may not be an ideal comparator, as it has been suggested that high-dose antibody administration paradoxically results in reduced exposure. Potential explanations include saturable endocytosis and/or saturable degradation processes,41 such as the neonatal Fc receptor recycling process,42 thereby leading to increased antibody clearance and reduced half-life.

How should we interpret our results against findings from earlier studies identifying associations between elevated BMI and favorable ICI outcomes? It seems likely that patients included in these other studies primarily or exclusively received weight-based ICI dosing. A large Italian registry study of nivolumab for kidney cancer included patients treated July 2015 through April 2016, which precedes the late 2016 approval of fixed-dose nivolumab.16 Similarly, a study published in February 2018 of patients with melanoma treated with various PD1/PDL1 inhibitors had median cohort follow-up of 25 months, suggesting that most patients would have initiated nivolumab or pembrolizumab prior to the approval of fixed-dose regimens.14

It is also important to consider the impact of patient size on the pharmacokinetic impact of fixed-dose approaches. In the present study, in the overweight population, we observed a benefit of weight-based dosing.

<table>
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<th>Table 2</th>
<th>Progression-free and overall survival Cox regression analyses</th>
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<td><strong>Covariate</strong></td>
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**BMI**, body mass index; **CTLA4**, cytotoxic T lymphocyte antigen 4; **ICI**, immune checkpoint inhibitor; **irAE**, immune-related adverse event; **NSCLC**, non-small cell lung cancer.
further observed that in patients treated with weight-based dosing, overweight and obese patients receive relatively higher dose than patients with BMI<25. However, in a population study of 273 Japanese patients, fixed-dose nivolumab 240 mg intravenous every 2 weeks led to a 37% increase in exposure compared with 3 mg/kg intravenous every 2 weeks.44 This finding reflects expected regional patient characteristics, as the average adult weight in Asia is 58 kg, and only 24% of individuals are overweight.45 By contrast, in North America, where over 40% of metastatic cancers may be eligible for ICI therapy,46 the average adult weight is 81 kg, with 74% overweight.45

Consistent with earlier studies, we found that the association between BMI and ICI clinical outcomes appeared limited to male patients.14 20 Potential explanations for this observation include differences in muscle mass, as skeletal muscle supplies essential nutrients, such as glutamine, for lymphocyte and monocyte function.47 48 With other studies not confirming this finding, there is clearly a need for more investigation in this area.49

Key strengths of this study include the detailed clinical data abstraction and ample clinical follow-up. Limitations include the absence of tumor-related predictive markers and clinical information potentially relevant to ICI efficacy such as steroid use, antibiotic exposure, and smoking history, as well as performance status. We also recognize that characteristics of a single-institution patient cohort may not be generalizable across centers. The proportion of overweight patients was somewhat lower than that for the general US adult population (59% vs 74%), which could reflect the older age or nutritional status of individuals with cancer. Fewer than 5% of cases were underweight, preventing analysis of a population associated with adverse clinical outcomes in multiple cancer types, and a relatively small sample size may have obscured differences between overweight and obese patients.26–28 Finally, the present study does not provide mechanistic insight into these novel clinical observations.

In conclusion, as noted previously, this study found that overweight patients appear to experience superior outcomes from cancer immunotherapy. However, this clinical benefit may be limited to weight-based ICI. Because the prevalence of overweight and obesity is increasing in the USA and globally, and the most commonly used ICI now employ fixed-dosing approaches, further research...
into the interplay between patient characteristics, ICI dosing strategy, and treatment efficacy are warranted.

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Acknowledgements
The authors thank Ms Dru Gray for assistance with manuscript preparation.

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Funding
Funded in part by a National Cancer Institute Midcareer Investigator Award in Patient-Oriented Research (K24 CA01543-01; to DEG), the National Institute of Allergy and Infectious Disease (U01AI156189-01; to DEG, EKW, YX), an American Cancer Society-Melanoma Research Alliance Team Award (MRAT-18-114-01-LIB; to DEG), a V Foundation Robin Roberts Cancer Survivorship Award (DT2019-007; to DEG), the University of Texas Lung Cancer Specialized Program of Research Excellence (SPORE) (P50CA079007-21), and the Harold C. Simmons Comprehensive Cancer Center Data Sciences Shared Resource (IP30 CA142543-03).

Competing interests
Drs SAK, SK, FF, JYP, YX, QZ, EKW, and DEG report a US patent application (62/654,025).

Patient consent for publication
Not required.

Provenance and peer review
Not commissioned; externally peer reviewed.

Data availability statement
Data are available on reasonable request. The dataset using during the present study, including deidentified participant data, is available from the corresponding author on reasonable request.

Supplemental material
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