Simple and effective bacterial-based intratumoral cancer immunotherapy

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ABSTRACT

Background We describe intratumoral injection of a slow-release emulsion of killed mycobacteria (complete Freund’s adjuvant (CFA)) in three preclinical species and in human cancer patients.

Methods Efficacy and safety were tested in mammary tumors in mice, in mastocytomas in mice and dogs, and in equine melanomas. In mice, survival, tumor growth, and tumor infiltration by six immune cell subsets (by flow cytometry) were investigated and analyzed using Cox proportional hazards, a random slopes model, and a full factorial model, respectively. Tumor growth and histology were investigated in dogs and horses, as well as survival and tumor immunohistochromy in dogs. Tumor biopsies were taken from human cancer patients on day 5 (all patients) and day 28 (some patients) of treatment and analyzed by histology. CT scans are provided from one patient.

Results Significantly extended survival was observed in mouse P815 and 4T1 tumor models. Complete tumor regressions were observed in all three non-human species (6/186 (3%) of mouse mastocytomas; 3/14 (21%) of canine mastocytomas and 2/11 (18%) of equine melanomas). Evidence of systemic immune responses (regression of non-injected metastases) was also observed. Analysis of immune cells infiltrating mastocytoma tumors in mice showed that early neutrophil infiltration was predictive of treatment benefit. Analysis of the site of mastocytoma regression in dogs weeks or months after treatment demonstrated increased B and T cell infiltrates. Thus, activation of the innate immune system alone may be sufficient for regression of some injected tumors, followed by activation of the acquired immune system which can mediate regression of some injected tumors, followed by activation of the innate immune system alone may be sufficient for regression of some injected tumors, followed by activation of the acquired immune system which can mediate regression of some injected tumors, followed by activation of the acquired immune system which can mediate regression of some injected tumors, followed by activation of the acquired immune system which can mediate regression of some injected tumors, followed by activation of the acquired immune system which can mediate regression of some injected tumors.

Conclusion Our data demonstrate that intratumoral injection of CFA has major antitumor effects in a proportion of treated animals and is safe for use in human cancer patients. Further trials in human cancer patients are therefore warranted. Our novel treatment provides a simple and inexpensive cancer immunotherapy, immediately applicable to a wide range of solid tumors, and is suitable to patients in developing countries and advanced care settings.

INTRODUCTION

Induction of a systemic anti-cancer immune response by intratumoral injection of bacterial products has been previously proposed by our group1 and has been recently confirmed in a seminal paper.2 The rationale is that the immune system has evolved to recognize conserved pathogen-associated molecular patterns (PAMPs). Such recognition is the key to initiating a systemic immune response. Thus, injection of PAMPs directly into tumors has the potential to induce or restore the host immune response against the cancer.

Enlisting bacteria to treat cancers has a long history, dating back well over a century. Best known, historically, is the successful work of William Coley who repeatedly injected (every 2 to 3 days for several months) preparations of dead bacteria into hundreds of patients with inoperable cancers. For the first several years, he injected these ‘Coley’s toxins’ into and around tumors. He later switched to intravenous injections. While both approaches resulted in durable cancer remissions in many patients (eg, of 312 inoperable sarcoma cases, 190 patients experienced complete tumor regressions3), it appears that he ultimately considered intratumoral injections most effective.4

More recently, interest has been revived in using bacteria and viruses to treat cancer.5 6 Several therapies are based on live, often ‘oncolytic’ bacteria or viruses.7 8 Others are based on dead bacteria or viral products.9 10 Regardless of the published rationale, in all these cases, modulation of the immune response is a likely mechanism of action.11 10

Unexpectedly, bacteria have also been implicated in the mechanism of action of chemotherapies11 12 13 and of checkpoint inhibitor cancer therapies14 15 16 We have recently proposed possible mechanisms for the latter.17


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CSEC, ERA, LM and KFE contributed equally. MO, DY, RA and AMF contributed equally.

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It has been known for several years that bacteria can colonize intestinal tumors. Very recently, bacteria have been identified in human cancers previously assumed to be sterile, and bacterial peptides have been identified on the major histocompatibility complex (MHC) molecules of melanomas, thereby providing an extra target for lysis of melanomas by bacteria-specific cytotoxic T cells. Thus, harnessing bacteria in anticancer therapies is a promising and rapidly evolving field of research.

Here, we describe in vivo trials of a novel form of intratumoral immunotherapy, injection of emulsified complete Freund’s adjuvant (CFA). CFA is an extremely potent immune stimulant, developed in the 1950s. It is made up of three components: mineral oil and surfactant (together making up incomplete Freund’s adjuvant (IFA), or Montanide ISA-51, which is already licensed for use in humans) and heat-killed Mycobacteria. Thus, both chemical components of CFA have previously been licensed for human use, and dead Mycobacteria are inherently safer than the live Mycobacteria used both in the widely administered childhood intradermal BCG vaccine against tuberculosis and as an intravescical treatment for superficial bladder cancer. If correctly emulsified, CFA forms a slow-release depot at the injection site, meaning that one injection provides continuous immunostimulation over a period of weeks. CFA therefore has the potential to be a simple and inexpensive form of cancer immunotherapy, accessible for use in both developed and emerging economies.

In this study, we describe the use of CFA in three preclinical species and in human cancer patients. We show that intratumoral injection of CFA induces anticancer responses in a significant proportion of treated animals, and is safe to use in human cancer patients.

**MATERIALS AND METHODS**

**Complete freund’s adjuvant**

CFA for mouse, dog, and horse experiments, and for the Canberra patient trial, was from Becton Dickinson (New Jersey, USA, catalog number: 263810) containing 0.5 mg/mL Mycobacterium butyricum. CFA was emulsified 1:1 with sterile saline using two 5 mL Luer lock glass syringes or sterile saline using two 5 mL Luer lock glass syringes or needle (Cadence Science, Virginia, USA) to obtain a water-in-oil emulsion.

CFA used in Swiss patients 1 and 2 was from Sigma (Michigan, USA, catalog number: F5881). CFA used in patients 3 and 4 was AdjuLite from Pacific Immunology Corp (California, USA, catalog number: A5001). Both contain 1 mg/mL Mycobacterium tuberculosis strain H37Ra. CFA used in the Swiss patients was emulsified with Abnova Viscum (Pforzheim, Germany) or other non-fermented extracts of Viscum Album (Hiscia, Arlesheim, Switzerland).

**Mice and cell lines**

P815 (ATCC TIB-64) mastocytoma cells, 4T1 (ATCC CRL-2539) mammary adenocarcinoma and CT26 wt (ATCC CRL-2638) colorectal carcinoma (American Tissue Culture Collection, Virginia, USA) were cultured in medium supplemented with 10% fetal calf serum (FCS), 1 mM sodium pyruvate, 0.1 M HEPES, 100 IU/mL penicillin, and 100 µg/mL streptomycin (Gibco). P815 cells were cultured in Dulbecco’s Modified Eagle Medium (DMEM) containing 4.5 g/L D-glucose, and Glutamax (Gibco), 4T1 and CT26 cells in RPMI-1640 containing Glutamax (Gibco). Adherent cells were recovered using 0.25% trypsin (Gibco), and counted by hemocytometer, using Trypan Blue (Sigma-Aldrich) to exclude dead cells. Cells were re-suspended in 50% medium without supplements, 50% phosphate-buffered saline prior to injection.

Prior to P815 cell injection, female DBA/2 J mice between 6 and 12 weeks of age (Animal Resource Centre, Perth, Australia) were shaved at the injection site and anesthetized (2% isoflurane). Tumors were then induced by subcutaneous (orthotopic) injection of 3×10^6 P815 cells in a volume of 0.1 mL. 4T1 cells (1×10^5 cells in 15 µL) were injected orthotopically into the mammary fat pad or CT26 cells (5×10^5 in 0.1 mL) were injected subcutaneously into the shaved injection site (non-orthotopic) of anesthetized female 6-week-old to 10-week-old Balb/c mice (bred at the ANU).

Tumors typically reached 4–7 mm (average of two perpendicular measurements measured using electronic calipers) 7–14 days after injection. As tumor size was slightly variable, prior to treatment, mice were divided into groups based on tumor size (to the nearest 0.5 mm) and then mice in each size group were randomly assigned as treatment and control animals to avoid cage-to-cage variation. For many experiments, treatment was completely blinded, recorded separately (not on the cages), and sealed until the completion of the experiment, so as to prevent bias in tumor measurements. Tumors were measured using digital calipers every 1–2 days. Mice were euthanized when tumors reached 15 mm in diameter or showed signs of illness (hunched posture, inactivity).

**Analysis of tumor-infiltrating cells**

Fine-needle aspirates (FNAs) were taken with a 25G needle from anesthetized P815 tumor-bearing mice on days 1, 3, 5, 8, 11, 14, and 16 post-treatment, with individual mice receiving between two and five FNAs. Briefly, aspirates were digested at 37°C for 20 min using 5 mg/mL Collagenase type I (Worthington Biochemical, UK), 1.5 mg/mL Hyaluronidase (Sigma-Aldrich), and 0.01 mg/mL DNAse I (Worthington Biochemical) in DMEM supplemented with 1% FCS. Samples were then stained and analyzed by flow cytometry (LSRII, Becton Dickinson, USA; FlowJo, Treestar, USA). Two stains were used to identify immune cell types. Both stains contained a P815 tumor marker anti-CD63 (NVG-2) PE, pan-immune cell marker anti-CD45.2 (104) Alexa Fluor 488, and 7-aminoactinomycin-D (Life Technologies) for live/dead cell discrimination, as well as Stain...
A—anti-CD8α (53.6.7) APC Cy7 (BD Biosciences), anti-CD4 (GK1.5) PE-Cy7, anti-CD25 (PC61) Brilliant Violet 421, anti-CD19 (6D5) Brilliant Violet 510, and anti-Pan-NK (CD49b) (Dx5) APC. Stain B—anti-CD11b (M1/70) Brilliant Violet 510, anti-CD11c (N418) PE Cy7, anti-F4/80 (BMS) Brilliant Violet 421, anti-Ly6G/Ly6C (GR1) (RB6-8C5) APC Cy7, and anti-Siglec-F (E50-2440) Alexa Fluo 647 (BD Biosciences, USA). All antibodies were from Biolegend (California, USA) unless otherwise specified.

**Horses**

Gray horses diagnosed with melanoma were treated at the Adelaide Plains Equine Clinic (Gawler, South Australia). Informed consent was obtained from each owner and the veterinarian (author EWH) had general ethical research approval from the Department of Primary Industries and Regions, South Australia. Progression was followed by ultrasound measurements.

Equine melanoma biopsy material was fixed in 10% neutral buffered formalin before paraffin embedding, sectioning at 4 microns, and staining with either H&E by routine methods. Serial sections of tumors were subjected to melanin bleach.

**Patients**

Swiss patients were treated at the Ialta Wegman Klinik and Lukas Klinik, now merged into Klinik Arlesheim, Arlesheim, Switzerland. Patients volunteering for treatment signed informed consent forms, as required under Swiss law.

Australian trial: Australian New Zealand Clinical Trials Registry: ACTRN12616001637437. Due to administrative oversight, the trial was (retrospectively) registered after recruitment of the first patient, but before any further patients were recruited. The trial was approved by the ACT Health Human Research Ethics Committee (ETH.11.15.234) and by the Australian National University Human Research Ethics Committee (Protocol 2016/395), and all patients volunteering for treatment signed informed consent forms. In this ongoing dose-escalation study, two cohorts of patients with advanced cancer (squamous cell carcinoma of the skin, clear cell renal cell carcinoma, adenocarcinoma of the prostate, transitional cell carcinoma of bladder, and cervical carcinoma) after exhausting standard of care therapies were treated with either 0.5 mL or 1 mL of CFA. CFA was administered into primary or metastatic cancer site either in clinic or under imaging guidance depending on tumor location. All patients underwent tumor biopsy 4 days after CFA administration. Clinical examination and laboratory assessments were performed every 6 weeks and CT scan repeated every 12 weeks. Patient history of BCG vaccination was not available. Tuberculin skin testing (TST) was not performed.

**Histology**

Four micrometer thick sections were made from formalin-fixed paraffin-embedded tissue. Sections were stained with H&E (Sigma-Aldrich, St Louis, Missouri, USA), and all specimens were examined by an experienced pathologist (JED).
Figure 1 (Continued)
Efficacy of intratumoral CFA treatment on survival of P815 tumor-bearing mice. Statistical analysis did not show a significant effect of intratumoral CFA treatment on survival compared with PBS-controls (p=0.0681, HR: CFA/PBS=0.61, 95% CI (0.360 to 1.037)). The median survival of CFA-treated mice was 14 days compared with 13 days for PBS controls. CFA n=38, PBS n=25. Data pooled from three separate experiments. (D–F) Tumor growth rates. To determine if the rate of growth differed between CFA-treated (red) and PBS-control treated (blue) mice, a random slopes model was developed using R software to analyze the interaction between treatment and tumor growth over time. Tumor growth slopes were compared up to the point at which approximately 50% of mice were euthanized (as the attrition of mice confounds the analysis beyond this point: P815=day 12, 4T1=day 18, CT26=day 14; represented by the dashed line), after which the raw means are shown. Error bars represent the SE. Tumor sizes for individual mice are shown by dots, with opacity set to 50% to visualize overlapping points. The gray shaded area indicates 95% CI, determined by the locally estimated scatterplot smoothing (LOESS) method. (D) CFA decreases growth of P815 tumors. ANOVA p=0.0028, CFA n=32, PBS n=29. Data pooled from five separate experiments. (E) CFA reduces growth of 4T1 tumors. ANOVA p=0.148, CFA n=19, PBS n=16. Data pooled from three separate experiments. (F) CFA does not reduce tumor growth of CT26 tumors. ANOVA p=0.1145, CFA n=38, PBS n=25. Data pooled from three separate experiments. Mice that received fine-needle aspirates were included in part A but not in parts B–F. ANOVA, analysis of variance; CFA, complete Freund’s adjuvant.

RESULTS

Mouse studies

Efficacy of intratumoral CFA injection was tested in three mouse models of cancer: P815 mastocytoma, 4T1 metastatic breast cancer, and CT26 colorectal cancer. Efficacy was observed in P815 and 4T1 (figure 1A,B). In both cases, tumor cell lines were injected orthotopically (subcutaneously or in the mammary fat pad, respectively). Statistically significant efficacy was not observed in the non-orthotopically injected CT26 colorectal cancer model (figure 1C). The tumors were allowed to grow to an average of 5 mm in diameter. They were then injected once with 0.05 mL of CFA emulsified in saline or with saline alone as a control. Mice were euthanized when they became ill or if the cancer reached 15 mm in diameter. As shown in figure 1A,B, mice treated with CFA survived longer than control mice (p<0.0001 for P815, p=0.0055 for 4T1). Tumor growth was significantly slowed in the P815 model and 4T1 models (figure 1D,E) but not in the CT26 model (figure 1F). Illness is almost always due to metastatic burden, and CFA was shown to slow the progression of liver metastases in the P815 model (online supplemental figure 1A). Six mice treated for mastocytoma showed complete regression of their tumor (figure 1A and online supplemental figure 1B) and survived for up to 2 years without tumor recurrence.

IFA was injected intratumorally as an extra control in some experiments. It was found that IFA was no better than saline, thus the mycobacteria rather than the mineral oil emulsion is key to success of the treatment (online supplemental figure 1C).

Canine studies

Given our success in treating mastocytoma in the mouse model, and the high incidence and associated morbidity and mortality of mastocytoma in the pet dog population, a pilot clinical trial using intratumoral CFA was conducted on pet dogs suffering from spontaneous mastocytoma. Dogs suffering from spontaneous cancers are increasingly being recognized as an excellent comparative oncology model in which to study cancer therapies.25–27 Unlike mouse models seeded with rapidly dividing cell lines already selected for immune escape, canine cancers, like human cancers, will have developed over a long time frame and in the presence of the host immune response. Mastocytoma is common in dogs accounting for 7%–21% of canine cutaneous neoplasms.24 High-grade mastocytoma has a median survival time of less than 4 months and is associated with paraneoplastic syndromes causing high morbidity.24

As shown in table 1, of 14 dogs treated with one injection of 0.05–0.2 mL of emulsified CFA, 3 dogs showed complete regression of their tumor, in 2 cases leading to substantially extended survival. The first in a Rottweiler whose nasal/lip mass returned following cessation of chemotherapy (predicted survival 8 weeks, actual 12 months), the second in a German short-haired pointer whose leg tumor recurred despite radical surgical resection (predicted survival 8–12 weeks, actual 16 months). Histology of pretreatment and post-treatment biopsies is shown in figure 2A, with few immune cells seen in the tumors before treatment, but residual immune cell infiltrates present following complete tumor regression. In
<table>
<thead>
<tr>
<th>Dog</th>
<th>MCT grade (Kiupel/ Patnaik)</th>
<th>Dose CFA</th>
<th>Clinical notes, response to therapy, side effects</th>
<th>Disease-free interval</th>
<th>Survival expected vs actual (weeks) PI</th>
<th>Response</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rottweiler 7 y MN</td>
<td>High/3</td>
<td>0.05</td>
<td>Permanent remission in injected unresectable tumor 5 weeks PI. Multiple de novo tumors from 4 months PI treated with surgery. Euthanased due to unrelated osteosarcoma. Side effects: local swelling, lethargy at 3 weeks post injection preceding mass regression.</td>
<td>4 months</td>
<td>8*/51</td>
<td>Remission. Extended survival</td>
</tr>
<tr>
<td>Maltese X Shih Tzu 8 y FN</td>
<td>Low/1</td>
<td>0.05</td>
<td>Gradual reduction in size PI. Tumor surgically resected 9 months post-treatment. Side effects: Nil reported</td>
<td>–</td>
<td>+104†/alive</td>
<td>Size reduction.</td>
</tr>
<tr>
<td>Maltese 13 y FN</td>
<td>High/3</td>
<td>0.05</td>
<td>Advanced disease on presentation. Rapidly progressive disease PI. Side effects: swelling and limping.</td>
<td>–</td>
<td>2*/2</td>
<td>–</td>
</tr>
<tr>
<td>German shorthaired pointer 6 y MN</td>
<td>Low/2</td>
<td>0.05</td>
<td>Permanent remission of injected tumor and distant untreated MCT 3 weeks PI. Euthanased after hemoptysis cause unknown. Side effects: nil reported within 12 months. Pruritus and coughing prior to euthanasia.</td>
<td>16.75 months</td>
<td>8–12*/67</td>
<td>Remission. Extended survival</td>
</tr>
<tr>
<td>Staffordshire bull terrier 9 y MN</td>
<td>Low/2</td>
<td>0.1</td>
<td>Static disease of injected tumor for 3 months. Euthanased for welfare reasons 6 months PI. Side effects: nil reported</td>
<td>–</td>
<td>ND/29</td>
<td>Static disease 3 months.</td>
</tr>
<tr>
<td>Golden retriever 9 y FN</td>
<td>High/2</td>
<td>0.1</td>
<td>Advanced disease on presentation. Rapidly progressive disease PI. Side effects: nil reported</td>
<td>–</td>
<td>2*/2</td>
<td>–</td>
</tr>
<tr>
<td>Shar pei 6 y FN</td>
<td>High/3</td>
<td>0.1</td>
<td>Advanced disease on presentation. Rapidly progressive disease PI. Side effects: local ulceration at injection site.</td>
<td>–</td>
<td>12*/13</td>
<td>–</td>
</tr>
<tr>
<td>Belgian Shepherd 12 y FN</td>
<td>Ungraded</td>
<td>0.1</td>
<td>Gradual progressive disease. Side effects: nil reported</td>
<td>–</td>
<td>+104†/alive</td>
<td>–</td>
</tr>
<tr>
<td>Staffordshire bull terrier 7 y FN</td>
<td>Low/1</td>
<td>0.2</td>
<td>Gradual progressive disease. Side effects: nil reported</td>
<td>–</td>
<td>+104†/alive</td>
<td>–</td>
</tr>
<tr>
<td>Staffordshire bull terrier 12 y FN</td>
<td>Low/1</td>
<td>0.2</td>
<td>Static disease. Side effects: central scab, pruritus.</td>
<td>–</td>
<td>+104†/alive</td>
<td>Static disease.</td>
</tr>
<tr>
<td>Jack Russell 7 y FN</td>
<td>Low/1</td>
<td>0.2</td>
<td>Gradual progressive disease. Surgical removal 16 months post Tx. Local reoccurrence 6.5 months post-surgery. Side effects: pruritus</td>
<td>–</td>
<td>+104†/alive</td>
<td>–</td>
</tr>
</tbody>
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Continued
the German short-haired pointer, another unsampled secondary mass previously diagnosed as a mast cell tumor regressed in addition to the injected tumor. Figure 2B shows immunohistochemistry on the injected tumor mass and on a de novo high-grade mastocytoma arising in the neck of the Rottweiler 17 weeks after treatment of his nasal/lip mass. B and T cells can be seen to clearly increase at the site of tumor regression and are also seen in a de novo neck tumor (not injected with CFA), which notably did not recur despite incomplete surgical regression. Further, the treatment was well tolerated (table 1). A subset of dogs which previously had ulceration of skin or mucous membrane prior to treatment exhibited some ulceration after CFA injection. In the Rottweiler on the days preceding lip mass regression, there was a 24-hour period of malaise and reduced activity reported by the owner. Thus, the side effect profile was favorable and could be classified as grade 1 on the veterinary cooperative oncology group-common terminology criteria for adverse events.

These results show that treatment with CFA can result in complete regression of naturally occurring tumors and importantly, suggest that the treatment can have a systemic immune-mediated effect.

Equine studies

As well as treating dogs, the treatment was trialed in horses suffering from naturally occurring melanoma. Melanoma is a common problem in gray horses (affecting >70%), as the mutation causing the gray colouration also predisposes to melanoma.29 Tumors often occur around the tail, peri-anal area, lips and eyelids.30 While the disease progression is not as aggressive as human melanoma, it is a substantial cause of morbidity and mortality in gray horses due to space occupying effects in the perineum and throat and the presence of tumors within the viscera and thoracic organs.30 Few treatment options are available other than surgical resection, which is often impractical as the disease is multicentric.

Eleven horses were treated with intratumoral injections of 0.1–0.3 mL of CFA emulsified with saline into their melanomas. Details are given in online supplemental table 1. Representative histology is shown in online supplemental figure 2. Tumors were imaged by ultrasonography to follow progression of tumors after treatment. Three horses (27%) showed evidence of clinical response. This included mass remissions and reductions in mass size.

Correlation of immune infiltrate with survival

To investigate the timing of immune infiltration into the tumor following CFA injection, we again made use of the mouse mastocytoma model. We have previously published a novel technique that allows serial quantitation of immune infiltrates without altering survival in mice injected with P815 tumors.23 As can be seen in figure 3, CFA treatment induced extensive infiltration of immune cells into the tumor compared with injection.
Figure 2  Histologic features of dogs showing regression. (A) Left column: macroscopic images, middle column: histology of pretreatment biopsies, right column: histology of post-treatment biopsies; in all three cases, the tumor has completely regressed and residual lymphocyte, plasma cell, and macrophage infiltrates are present in the dermal connective tissue. H&E stains magnification 400x, scale bar 50 microns. Top row: pretreatment medical imaging of ‘Rottweiler’ taken on October 9, 2012, showing a 3H×2.5W×1.8D cm tumor within the nasal philtrum and lip (lateral view shown); pretreatment biopsy (September 27, 2012) showing a high-grade mast cell tumour; post-treatment biopsy of the site of tumor regression (October 23, 2013) approximately 4.5 months postinjection. Middle row: pretreatment morphology of the lateral thigh tumor in ‘German short-haired pointer’ on October 22, 2013, large surgical scars from the previous radical surgical resection are visible; pretreatment biopsy (July 11, 2013) showing a low-grade MCT; post-treatment biopsy at the site of tumor regression (November 29, 2013) approximately 22 days post-injection. Bottom row: pretreatment morphology of limb MCT in ‘miniature poodle’ on February 25, 2015; initial pretreatment biopsy (February 25, 2015) low-grade MCT; post-treatment autopsy tissue (November 13, 2015) at the site of tumor regression, approximately 8.5 months after injection. (B) Immunohistochemistry for T (CD3) top row and B (CD79a) bottom row lymphocytes on paraffin-embedded formalin-fixed tissue of high-grade MCTs from ‘Rottweiler’. Left: pretreatment biopsy taken of the nasal/lip tumor (September 27, 2012) shows very rare T cells and rare plasma cells, clusters of lymphocytes and immune cells were absent. Middle: post-treatment biopsy of the site of lip/nasal tumor regression (October 23, 2013) shows clusters of co-associated T and B lymphocytes (serial sections). Right: representative de novo high-grade mastocytoma arising on the neck 17 weeks post-complete Freund’s adjuvant treatment for the initial nasal/lip mass. Clusters of T and B lymphocytes were prominent throughout the tumor, which did not reoccur despite incomplete surgical resection. All panels magnification 400x; scale bar 50 microns. Note: vascular wall staining is a feature of the CD79a antibody.
Figure 3  Enumeration of tumor-infiltrating immune cells in P815 tumors following treatment. Tumor-infiltrating immune cells identified by fine-needle aspirates (FNAs) of P815 tumors in mice. Tumor samples were collected by FNA on days 1, 3, 5, 8, 11, 14, and 16 following CFA/PBS treatment and analyzed by flow cytometry to identify tumor cells and tumor-infiltrating immune cells using the gating strategy previously described (Carroll et al, 2015). (A) The percentage of tumor-infiltrating immune cells are shown for individual CFA-treated mice (red dots) and PBS-control treated mice (blue dots). To avoid fluctuations in percentages due to low numbers of cells, any percentages from populations with less than 15 cells in the previous gate were excluded. The raw means and SEs are shown. A linear mixed effects model (to determine which cell types changed significantly in response to treatment, when considering overall data from all seven sampling days) revealed a significant effect of CFA treatment on the infiltration of granulocytes (p=0.0025) and dendritic cells (p=0.038). However, no significant increases in any of the other cell types were observed: CD4+ T cells (p=0.8691), CD8+ T cells (p=0.2232), NK cells (p=0.468), and macrophages (p=0.6494). Contrast analyses were also performed for each FNA day (not corrected for multiple comparisons). CFA-treated mice had significantly increased granulocytes on days 1 (p<0.0001), 3 (p<0.0001), and 5 (p=0.0438) post-treatment and significantly increased dendritic cells on day 8 post-treatment (p=0.0037). In addition, macrophages were increased on day 1 (p=0.0385) and CD4 cells decreased on day 11 (p=0.0137). Data pooled from six separate experiments. These data were used to generate (B). (B) The relative percentages of tumor-infiltrating immune cells and tumor cells for CFA-treated and PBS-control mice are shown, and the number of mice analyzed at each time point is shown above each bar. CFA, complete Freund’s adjuvant; PBS, phosphate-buffered saline.
Figure 4 (Continued)

A

[Scatter plot showing survival (day post-treatment) vs. infiltrating neutrophils (%).]

B

[Survival curves showing <20% Neutrophils in orange and >20% Neutrophils in red. Log-rank p<0.0001.]
with saline (control). Even in this highly controlled cancer model, there was substantial variation in the proportion of immune infiltrates induced by intratumoral CFA injection (figure 3A). Importantly, mice with the highest levels of granulocytes at days 1–5 post intratumoral CFA injection lived significantly longer than mice with low granulocyte infiltrates (figure 4). Thus, in this model, early immune cell infiltration into the tumor was predictive of treatment benefit. The granulocyte infiltrate was shown to be composed almost entirely of neutrophils. Depletion of neutrophils in the P815 model led to shorter survival and abrogated the efficiency of CFA treatment (figure 5).

**Human trials**

Following evidence of benefit of CFA treatment in natural and induced animal models of mastocytoma, breast cancer, and melanoma, the question obviously arises: can this treatment be of benefit to human cancer patients? We provide preliminary reports from two human studies of CFA injected intratumorally in human cancer patients.

Four patients were treated at the Arlesheim Klinik in Switzerland; a 77-year-old man with incurable non-small cell lung cancer (NSCLC), a 17-year-old girl with metastatic osteosarcoma, and two 54-year-old women with invasive ductal breast cancer (one lost to follow-up). These patients received between one and four injections of CFA (in this series of patients, emulsified with Viscum). Details are given in online supplemental table 2A. One breast cancer patient exhibited local control for 2 years, confirmed by repeated ultrasound and MRI scans, but ultimately progressed.

Eight patients were treated as part of an ongoing phase I clinical trial being performed at The Canberra Hospital, Australia (Australian New Zealand Clinical Trials Registry: ACTRN12616001637437). In this ongoing dose-escalation study, two cohorts of previously treated patients with advanced cancer received either 0.5 mL or 1 mL of CFA on day 1 (online supplemental table 2B). CFA was administered into primary or metastatic cancer sites either in clinic or under imaging guidance, depending on tumor location. All patients had a mandatory tumor biopsy on day 5 (4 days after CFA injection) and were allowed to undergo optional tumor biopsy on day 28 to gauge the level of immune infiltrates.

Five of the first eight patients treated showed evidence of substantial immune infiltrate into the tumor, accompanied by extensive tumor necrosis 4 days after treatment (figure 6A and online supplemental figure 3). Clinical assessments and tumor biopsies did not show significant differences between patients who received 0.5 mL or 1 mL CFA. Patients are currently being enrolled into a 2 mL CFA cohort. Treatment was well tolerated in all patients from both centers. Side effects attributed to the therapy were limited to temporary pain at the injection site, inflammation around the injected tumor, and overnight fever; each seen in some patients. Thus, side effects compare very favorably to standard cancer therapies.

One 69-year-old man with metastatic renal cell cancer was treated with CFA after progressing on multiple lines of standard treatments including tyrosine kinase inhibitors. He underwent three doses of 1 mL CFA (6 weeks interval between treatments) that was directly administered into a neck tumor deposit. His serial imaging and histology from tumor biopsy are shown in figure 6 and online supplemental figure 4. Extensive immune infiltrate and tumor necrosis are shown in figure 6A. Figure 6B–D shows CT scans performed before treatment and 6 weeks after the second injection of CFA. In figure 6B, the injected neck tumor deposit can be seen to decrease in size and exhibit radiological changes. Furthermore, a distant lung metastasis was also shown to shrink substantially, accompanied by a decrease in plural effusion (figure 6C). Other metastases, however, were stable or increased in size (figure 6D and online supplemental figure 4).

**DISCUSSION**

In this paper, we provide proof of concept that intratumoral injection of CFA emulsified with saline can act as an effective cancer immunotherapy in a proportion of animal models with cancer (both experimentally induced and naturally occurring). We also show that this therapy is safe for use in human cancer patients and may provide benefit to a proportion of patients, thus warranting further clinical trials. Further, we have demonstrated through the use of traditional laboratory animal models, and naturally occurring cancers in two companion animal species (dogs and horses), an alternative comparative oncology methodology for defining proof of concept, efficacy and safety in novel cancer therapies.

Mouse models are excellent for studying the early phases of the antitumor immune response. CFA prolonged life in two mouse cancer models: P815 mastocytoma and 4T1 mammary cancer (figure 1), with complete regressions seen in the former. In the P815 model, improved...
Figure 5 (Continued)

A. Survival Proportions

- **PBS**
- **PBS+anti-GR-1**
- **PBS+Isotype**
- **CFA**
- **CFA+anti-GR-1**
- **CFA+Isotype**

Day post-treatment:

0 2 4 6 8 10 12 14 16 18 20 22 24 26 28 30 32 34 36 38 40

B. Bone Marrow and Spleen

C. Tumour - FNA from CFA-treated mice day 3
survival was dependent on early neutrophil infiltration into the tumor. Dogs allow longer term investigation in naturally occurring cancers, which are genetically and behaviourally similar to human cancers, and have developed in co-evolution with the immune system.\textsuperscript{31} Our canine studies demonstrate the effectiveness of intratumoral CFA treatment in naturally occurring canine mastocytomas of both high and low grade, with a 21\% complete response rate and a 35\% overall response rate. It should be noted that we are targeting dogs with advanced terminal malignancies in our trial, and some animals have succumbed to their cancer in less than 2–4 weeks we predict it takes to mount a significant antitumor immune response. Histology of dog tissues after tumor regression (figure 2) showed B and T cell infiltration at the site of tumor regression, and in a de novo (not injected) cancer, providing evidence of a systemic immune response to the treatment in addition to regression of the injected tumor. In the dog trial, regressions were demonstrated after failure of chemotherapy and radical surgery, as well as in an untreated lesion, thus intratumoral CFA can be considered both as a first-line treatment, or after failure of other therapies.

Together, our data suggest that early stimulation of an innate immune response is the key to treatment success, sufficient for the resolution of some injected tumors. The ensuing adaptive (T and B cell) immune response (seen in late dog histology) is likely to be the key to the control of any metastases. This is very much in agreement with a similar hypothesis put forward by Colombo and colleagues.\textsuperscript{32}

In our human patients, we show that intratumoral injection of CFA is safe and well-tolerated. Histology from tumor biopsies taken 4 days after CFA injection is consistent with our mouse flow cytometry data, with inflammatory immune cells seen in 5/8 patients, in each case accompanied by extensive tumor necrosis. We cannot expect small phase I trials in mostly end-stage cancer patients to conclusively demonstrate treatment efficacy. Nonetheless, at least one patient (patient 4 in the Canberra trial, figure 4) is likely to have derived benefit from the therapy.

The use of bacteria in cancer treatment has a long history,\textsuperscript{11,12} but also a far more recent one. Live BCG is the current standard treatment for superficial bladder cancer\textsuperscript{34} (note that CFA, used in our study, contains a closely related dead mycobacterium). Two seminal papers in 2012 showed that common chemotherapies also act through bacteria: pretreatment with antibiotics in mouse models abrogated the effectiveness of chemotherapy.\textsuperscript{11,12} Chemotherapy was shown to damage the intestinal lining, allowing translocation of bacteria across the gut wall with bacteria found in the mesenteric lymph nodes and spleen.\textsuperscript{13} Three classes of cancer therapeutic checkpoint inhibitors, anti-PDL-1, anti-CTLA4, and anti-PD-1, have shown similar dependence on gut bacteria.\textsuperscript{14,35,36} with the effectiveness of the latter two also impaired by antibiotics. Routy et al\textsuperscript{44} note the presence of bacterial-induced granulomas in the cancer after treatment of mice with anti-PD-1. All three checkpoint inhibitors are known to cause gastrointestinal toxicities in patients and so impair the integrity of the intestinal lining. Associations between enterocolitis or immune-related adverse events after checkpoint inhibitor therapy and improved patient outcomes have been noted (reviewed by Soularue et al\textsuperscript{37}). Importantly, retrospective studies of patients taking checkpoint inhibitors have shown that co-prescription of antibiotics leads to significantly shorter survival.\textsuperscript{14,38} Taken together, these data support the thesis that the success of many cancer therapies relies on escape of bacteria from the gut; these bacteria can end up in tumors and in tumor-draining lymph nodes where they are able to activate first the.
Figure 6  Histology and CT scans of treated patient. Patient 4 received three injections at 6-week intervals of 1 mL of CFA into a neck deposit of clear cell carcinoma of the kidney. (A) H&E sections of tumor on initial biopsy taken 1 year before CFA

Figure 6  (Continued)
treatment (left x100) and on biopsy taken 4 days after the first injection of CFA (middle x100, right x400). The post-treatment biopsy shows extensive immune infiltrate (predominantly neutrophils and macrophages) and no viable tumor. (B–D) CT scans taken 8 days before the first injection (top row) and 5 weeks after the second injection (bottom row). An earlier scan is shown in online supplemental figure 5. (B) Scans of the neck showing the injected lesion (light blue arrow; 40×27 mm before treatment, 28×18 mm after two injections). (C) Scans of lung showing tumor shrinkage (green arrow; 33 mm before treatment, 21 mm after) and substantially reduced pleural effusion (orange arrows). (D) Scans of lung showing a tumor that continued to grow despite treatment (dark blue arrow; 9 mm before treatment, 33 mm after). CFA, complete Freund's adjuvant.

innate immune response and then to activate or re-activate the anticancer acquired immune response.

Evidently, if this is correct, a bacterial-based intratumoral cancer treatment could synergize with many current cancer treatment options or be used alone with dramatically fewer side effects. In support of this is (1) the original work of Coley, (2) our current study, and (3) the recent paper by Sagiv-Barfi et al, which uses intratumoral injection of TLR9 or of TLR7/8 agonists (mimicking bacterial or viral PAMPs, respectively); these are effective at eliminating tumours into which they have been injected, and when combined with an immune-stimulating antibody against OX-40, produce a spectacular, systemic curative immune response in mice.

The question of which bacteria are most effective in cancer therapy has been addressed recently by a series of studies looking at gut bacteria in patients and animals who do or do not respond to chemotherapy or checkpoint inhibitor therapy. Different papers reach largely different conclusions. This suggests that many different bacterial genera may be able to effectively stimulate the anticancer immune response. Anticancer effects of different viral and bacterial vectors being trialed for oncolytic therapy are also likely to be based, at least in part, on the same mechanism, further increasing the range of potentially effective microbiological agents.

Our study investigated injection of emulsified CFA (a slow-release preparation of killed mycobacteria) directly into tumors. Experiments are underway to further elucidate the mechanism of action and to see if the efficacy can be improved. Given the low side effects, potentially wide applicability, and low cost of use (making this therapy applicable even in underdeveloped countries), our therapy warrants larger-scale investigation.

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Contributors CSEC and ERA performed mouse experiments, analyzed data, and edited manuscript. AAA analyzed mouse experiments and edited manuscript. LM organized Canberra clinical trial, drafted human ethics, and recruited patients. KFE, MB, and CL are veterinarians who designed and executed the canine clinical trial including patient treatment, data, sample collection, and analysis. PMP assisted with the research trial and conducted the histology and immunohistochemistry on the dog and horse samples. JM and EWH are veterinarians who performed equine trial, including provision and analysis of equine ultrasonics. K-MS treated patients in Canberra trial and provided input into experimental planning and analysis. RT treated patients in Canberra trial who required injection under ultrasound or CT; assessed and analyzed radiology. JED organised and interpreted Canberra patient histology samples. TN provided expert statistical advice for design and interpretation of mouse experiments. AH and MO treated Swiss patients. DY was in charge of Canberra Hospital clinical trial and edited manuscript. RA was in charge of the dog clinical trial, interpretation of samples collected for histology and immunohistochemistry for dogs and horses, manuscript drafting, and editing. AF oversaw study; was in charge of mouse experiments and analysis of data; prepared CFA and helped draft human ethics for Canberra clinical trial; helped prepare figures and tables; and wrote manuscript.

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Competing interests None declared.

Patient consent for publication Not required.

Ethics approval Mouse experiments were approved by the Australian National University (ANU) animal experimentation ethics committee; approval numbers A2011/020, A2014/03, A2017.46.

Provenance and peer review Not commissioned; externally peer reviewed.

Data availability statement Data are available upon reasonable request. All data relevant to the study are included in the article or uploaded as supplementary information. We are happy to provide any further information. Please contact senior authors DY, MO, RA or AMF.

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REFERENCES
Supplementary figure 1
Supplementary figure 1. These data correspond to figure 1. **A. metastasis in tumour-bearing mice at necropsy.** P815, 4T1, and CT26 tumours were induced as described in figure 1 and treated with 50µl PBS (blue) or CFA (red). At the time of euthanasia (due to tumour size or illness) mice were analysed for the prevalence of metastasis to the lungs or liver. (Note that in the P815 and 4T1 tumour models, CFA treated mice live longer, on average than PBS-treated mice, so would have had more time, on average, to develop metastases). A generalized linear regression model was applied to binomial data for statistical analysis. Error bars represent the binomial uncertainty of the data. P-values are displayed above the bar plots. A trend of reduced lung metastases with CFA treatment was observed in the 4T1 model (p=0.056). P815 (exp. N=5); PBS (n=29), CFA (n=32). 4T1 (exp. N=3); PBS (n=16), CFA (n=19). CT26 (exp. N=3); PBS (n=25), CFA (n=38). **B. Tumour growth of regressing mice in the P815 model treated with CFA.** These data correspond to Figure 1A. Six complete tumour regressions were observed in CFA-treated mice and one mouse initially regressed but relapsed approximately 50 days post-treatment. Some mice received FNAs at 6-7 day intervals (up to three analyses) or 2-3 day intervals (up to seven analyses), and some mice did not receive FNAs. The mean tumour growth of PBS treated mice in the corresponding experiments (n=18) is shown for comparison. **C. IFA (incomplete Freund’s adjuvant) is not an effective treatment for P815 mastocytoma.** P815 mastocytoma murine tumours were induced as previously described and treated intratumourally with 50µl PBS (blue), incomplete Freund’s adjuvant (IFA, black), or CFA (red). Data were analysed using Tukey adjustments for multiple comparisons. CFA-treated mice had significantly increased survival compared to IFA-treated mice (coxph p=0.0203, HR: CFA:IFA=0.3101, 95%CI[0.115, 0.834]). There was no significant survival difference between PBS-control and IFA-treated mice (coxph p=0.6314, HR: PBS:IFA=0.8145, 95%CI[0.352, 1.883]). The significant survival difference between CFA-treated and PBS-control mice was maintained (coxph p=0.0492, HR: CFA:PBS=0.3656, 95%CI[0.134, 0.996]).
Supplementary figure 2. Histopathology post-CFA of grey horse melanoma. Panels A and B show intratumoral lymphocytic infiltrates in clusters (A: #003: 11 weeks after treatment) or more evenly dispersed (B: #008; 8 weeks after treatment) in horses which showed tumour regressions post treatment. Animals which showed no tumour regression typically had no (C: #009; 6 weeks after treatment) or sparse lymphocytic infiltrates (D: #001; 18 weeks after treatment). Paraffin-embedded formalin-fixed tissue stained with HE after melanin bleaching procedure; magnification 200x. # refers to numbering of horses in supplementary table 1.
Top: Extensive immune infiltrate; patients 3, 5, 6.

Middle: Prominent necrosis patients 3, 5, 6

Bottom: Less immune infiltrate, minimal necrosis patients 1, 2, and 7

Supplementary fig 3
Supplementary figure 3. Histology of patients after CFA injection. Biopsies were taken from patients on day 5 (compulsory) and day 28 (optional) after CFA injection (day 1). Biopsies were stained with H&E. All images x400. The top row shows biopsies with extensive immune infiltrate at day 5, the middle row shows biopsies from the same patients, all with prominent necrosis (patients 3, 5, and 6 shown left, middle and right, respectively in both the top and middle rows). The bottom row biopsies (left =patient 1 on day 5; middle two panels= patient 2 on days 5 and 28; right = patient 7 on day 5) have less immune infiltrate and minimal necrosis (all x400). Patient samples are numbered according to supplementary table 2, which contains details of each patient, and the type of cancer from which they are suffering. Histology from patient #4 is presented in figure 4, so is not included here. * indicates viable tumour; # indicates necrotic tumour; circles show focal inflammation.
Supplementary figure 4. CT scans of chest of patient #4, 3 months before CFA treatment. These images correspond to figures 6 C and D, and show that the lung metastatic deposit that reduced in size after CFA treatment, had also decreased after previous treatment with everolimus, the last dose of which was administered 6 weeks before the first CFA injection. However as the half-life of everolimus is only 30 hours, it was considered very unlikely that the shrinkage shown in Fig 6C could be attributed to this previous treatment. Tumour measurements: 39mm (left, green arrow), and 5mm (right, dark blue arrow).
<table>
<thead>
<tr>
<th>#</th>
<th>Age</th>
<th>Sex</th>
<th>Breed</th>
<th>Mass location</th>
<th>Inj. (Y/N)</th>
<th>Vol. CFA (mL)</th>
<th>responder</th>
<th>comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>001</td>
<td>24</td>
<td>Mare</td>
<td>Thoroughbred x</td>
<td>Left thorax</td>
<td>Y</td>
<td>0.3</td>
<td>no</td>
<td>Two approximately equal sized contralateral tumours; one injected, other &quot;control&quot;</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Right thorax</td>
<td>N</td>
<td>0</td>
<td></td>
<td>Third tumour injected 3 months after initial injections.</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Left thorax</td>
<td>Y</td>
<td>0.2</td>
<td></td>
<td></td>
</tr>
<tr>
<td>002</td>
<td>13</td>
<td>Mare</td>
<td>Warmblood</td>
<td>Tail</td>
<td>Y</td>
<td>0.2</td>
<td>YES</td>
<td>Complete regression of injected tumour in the tail six weeks after injection. Vulval tumour still present.</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Vulva</td>
<td>Y</td>
<td>0.1</td>
<td></td>
<td>(other small tumours in the tail are still present)</td>
</tr>
<tr>
<td>003</td>
<td>4</td>
<td>Gelding</td>
<td>Welsh x</td>
<td>Anus</td>
<td>Y</td>
<td>0.1</td>
<td>YES</td>
<td>Young horse with melanomas. Complete regression of injected tail tumour at 10 weeks, and injected anal tumour at 4 months. However growth of new anal tumours.</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Tail</td>
<td>Y</td>
<td>0.2</td>
<td></td>
<td></td>
</tr>
<tr>
<td>004</td>
<td>14</td>
<td>Mare</td>
<td>Warmblood</td>
<td>Anus (super)</td>
<td>Y</td>
<td>0.3</td>
<td>no</td>
<td>Anal tumour removed by surgery 4 months after treatment.</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Anus (deep)</td>
<td>N</td>
<td>0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>005</td>
<td>16</td>
<td>Mare</td>
<td>Welsh</td>
<td>Anus</td>
<td>Y</td>
<td>0.3</td>
<td>no</td>
<td>Injected a small nodule, part of a very large anal tumour &gt;10 x 15cm. Euthanased due to suspected melanoma associated impaction.</td>
</tr>
<tr>
<td>006</td>
<td>10</td>
<td>Mare</td>
<td>Quarter Horse</td>
<td>Anus</td>
<td>N</td>
<td>0</td>
<td>no</td>
<td>One tumour injected.</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Tail</td>
<td>Y</td>
<td>0.2</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Salivary gland</td>
<td>N</td>
<td>0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>007</td>
<td>20</td>
<td>Gelding</td>
<td>Aust Riding Pony</td>
<td>Tail (prox)</td>
<td>Y</td>
<td>0.2</td>
<td>maybe</td>
<td>Reduction in size of un-injected tumour 3 months after treatment.</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Tail (dist)</td>
<td>N</td>
<td>0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>008</td>
<td>10</td>
<td>Gelding</td>
<td>Thoroughbred</td>
<td>Anus</td>
<td>Y</td>
<td>0.2</td>
<td>YES</td>
<td>Injected tumour did not regress, however subjective major reduction in size and number of melanomas at commissures of lips and on head. Reduction in size of neck melanoma (too big to fit on ultrasound screen on first visit, so not measured; fitted easily on screen at 3 months review.)</td>
</tr>
<tr>
<td>009</td>
<td>22</td>
<td>Mare</td>
<td>Andalusian</td>
<td>Anus</td>
<td>Y</td>
<td>0.25</td>
<td>no</td>
<td>Very large &gt;25cm mass around anus and base of tail. Small tip of mass was measured, injected, and got smaller. Injected a second area on tail 3 months after first injection.</td>
</tr>
<tr>
<td>010</td>
<td>10</td>
<td>Gelding</td>
<td>Warmblood</td>
<td>Tail (prox)</td>
<td>Y</td>
<td>0.1</td>
<td>n.d.</td>
<td></td>
</tr>
<tr>
<td>011</td>
<td>15</td>
<td>Mare</td>
<td>Welsh Pony</td>
<td>Tail</td>
<td>Y</td>
<td>0.2</td>
<td>n.d.</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Vuvla</td>
<td>Y</td>
<td>0.2</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Supplementary Table 1. Grey horses with melanoma treated with Complete Freund's Adjuvant.
<table>
<thead>
<tr>
<th>Patient gender, age</th>
<th>Cancer type</th>
<th>Site of injection</th>
<th>Doses of CFA (emulsified with viscum)</th>
<th>Adverse events</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>M, 77</td>
<td>Non small cell lung carcinoma</td>
<td>Right thoracic tumour</td>
<td>4 doses 2x 1ml (75%CFA) at one month interval then 1x 2ml (50%CFA) at, two month interval, then 1x 1ml (50%CFA) at 6 week interval.</td>
<td>Fever following 3rd dose</td>
<td>Tumour changes on ultrasound, but tumour slowly progressed. Patient died of cancer 5 months after first CFA injection.</td>
</tr>
<tr>
<td>F, 54</td>
<td>Invasive grade 3 ductal breast cancer (ER/PgR+ve; HER2/neu –ve; Ki 40-40%).</td>
<td>Right breast</td>
<td>3x 2ml (50%CFA) at two month then three month intervals.</td>
<td>1st injection: Inflammation of breast, fever (39.6-40) requiring 24 hour hospitalisation. Abscess developed after viscum i.t. (no CFA) injection, resolved, but left scar. 2nd and 3rd injections: fever.</td>
<td>Tumour changes and gradual shrinkage on ultrasound. Local control for two years, after which progressed; underwent mastectomy/ chemotherapy/radiation. Patient well 5 years after initial CFA treatment.</td>
</tr>
<tr>
<td>F, 54</td>
<td>Invasive grade 2 ductal breast carcinoma (ER+/PgR-ve; Ki-67 45%; Her-2/neu –ve)</td>
<td>breast</td>
<td>1x 1.5ml CFA (33% CFA)</td>
<td>Inflammation of breast, lasting &gt; 1 month, sterile pus discharge</td>
<td>Patient lost to follow up.</td>
</tr>
<tr>
<td>F, 18</td>
<td>Metastatic osteoblastic osteosarcoma</td>
<td>Pulmonary metastatic lesion, adhering to pleura</td>
<td>2 x 2ml (50%CFA), 7 weeks apart</td>
<td></td>
<td>Patient died of widespread metastatic disease 4 months after first CFA injection.</td>
</tr>
</tbody>
</table>

**Supplementary Table 2A. Patients treated in Arlesheim, Switzerland.** Note: patients were additionally treated with intravenous and intratumoural doses of viscum without CFA which also caused inflammation and fever.
<table>
<thead>
<tr>
<th>Patient Initials, gender, age</th>
<th>Cancer type</th>
<th>Site of injection</th>
<th>Doses of CFA (emulsified in saline)</th>
<th>Adverse events</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. WR F, 79</td>
<td>Squamous cell cervical carcinoma</td>
<td>Supra-clavicular mass</td>
<td>1 x 0.5ml</td>
<td>Cancer progressed. Died 9 months after treatment</td>
<td></td>
</tr>
<tr>
<td>2. RPS M, 67</td>
<td>Squamous cell head and neck cancer</td>
<td>2cm deposit on neck</td>
<td>1 x 0.5ml</td>
<td>Withdrew from trial. Injected metastasis stable. Other metastases on face progressed. Treated with palliative chemotherapy, further radiation. Alive 4.25 years after CFA treatment.</td>
<td></td>
</tr>
<tr>
<td>3. AH M, 72</td>
<td>Prostate cancer, poorly differentiated</td>
<td>Metastasis on neck</td>
<td>2 x 0.5*ml</td>
<td>Metastasis kept growing, PSA increasing. Withdrew from trial. Neck metastasis regressed following radiation. Received further antiandrogen therapy, chemotherapy and radiation to pelvis/prostate. Alive 4 years after last CFA treatment.</td>
<td></td>
</tr>
<tr>
<td>4. GC M, 69</td>
<td>Metastatic renal cancer</td>
<td>Deposit on neck</td>
<td>3x 1ml*</td>
<td>1st injection: 'flu like symptoms for 2-3 days. 3rd injection Grade 2 adverse event. Fever, worsening dementia</td>
<td>Lung effusion and shortness of breath disappeared after first treatment. Able to resume daily activities. Withdrew from trial after third injection. Died of cancer 7 months after first treatment.</td>
</tr>
<tr>
<td>5. IF F, 57</td>
<td>Metastatic renal cell carcinoma</td>
<td>Deposits on groin, neck scalp</td>
<td>1x treatment of 1ml in each deposit</td>
<td>Died of cancer 48 days after treatment.</td>
<td></td>
</tr>
<tr>
<td>6. MW M, 61</td>
<td>Lung cancer. Additional pancreatic cancer confirmed at time of first treatment.</td>
<td>Lung (CT guided injection)</td>
<td>1x 1ml</td>
<td>Died of cancer 20 days after treatment.</td>
<td></td>
</tr>
<tr>
<td>8. DL M, 67</td>
<td>Urothelial cancer. Extensive lung metastases, brain metastases.</td>
<td>Deposit on left chest.</td>
<td>2x 1*ml in two different chest deposits.</td>
<td>Suspected seizure 7 days after injection, hospitalised for 2 days.</td>
<td>Died of cancer three months after first treatment.</td>
</tr>
</tbody>
</table>

**Supplementary Table 2B.** Patients treated at The Canberra Hospital, as part of clinical trial ACTRN12616001637437.  * doses given at 6 week intervals.  † doses given at 8 week interval.